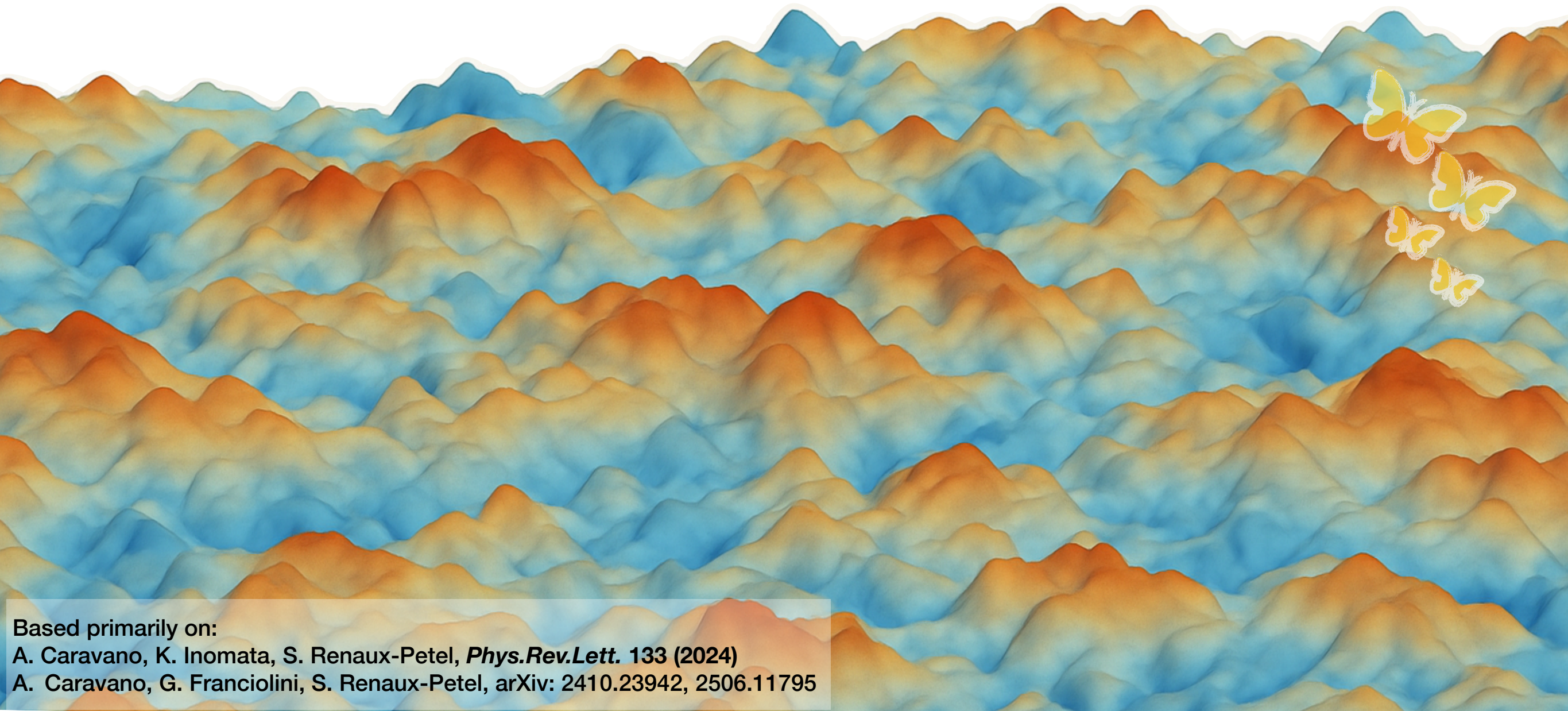


# A Tale of Cosmic Butterflies

non-perturbative journey into the physics of inflation

Angelo Caravano (He/Him) - University of Amsterdam



Based primarily on:  
A. Caravano, K. Inomata, S. Renaux-Petel, *Phys.Rev.Lett.* 133 (2024)  
A. Caravano, G. Franciolini, S. Renaux-Petel, arXiv: 2410.23942, 2506.11795

# Roadmap

0) Motivation: why simulating inflation?

**AC**, Komatsu, Lozanov, Weller

2102.06378  
2110.10695  
2204.12874

1) Lattice simulations of inflation

**AC**

2209.13616  
2506.11797

Jamieson, **AC**, Komatsu

2507.22285

2) Example: **inflationary butterfly effect**

**AC**, K. Inomata, S. Renaux-Petel

2403.12811

**AC**, G. Franciolini, S. Renaux-Petel

2410.23942  
2506.11795

3) Some ongoing work



# Why simulating inflation?

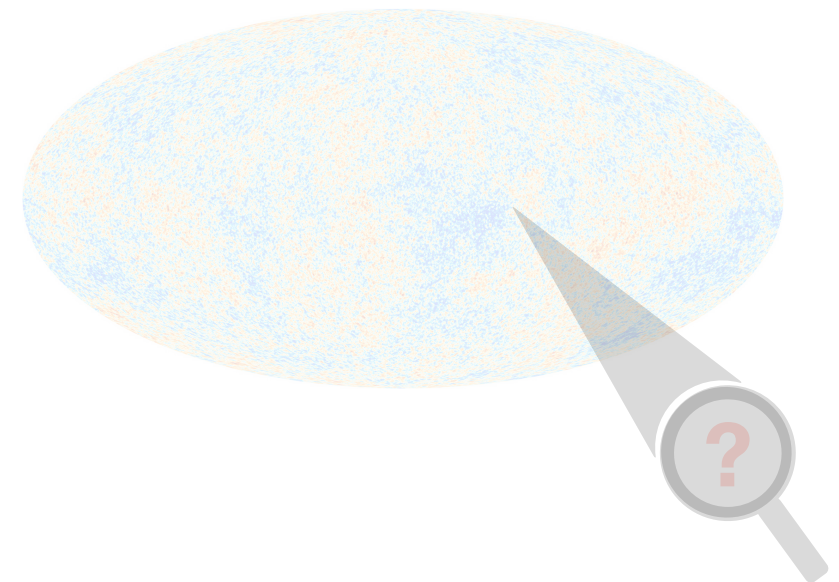
# Why simulating inflation?

Simulations are useful when dealing with **nonlinear** and/or **non-perturbative** physics.

Examples: non-Gaussianity, amplified perturbations, particle production.

Today, focus on the following question:

What is the physics of inflation at scales  $\lambda \ll \lambda_{CMB}$  ?  
Can we observe it?



Yes! But we need a large amplification:

$$P_{\zeta, CMB} \sim 10^{-9}$$
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$$\mathcal{P}_{\zeta} \sim 10^{-2} - 10^{-4}$$
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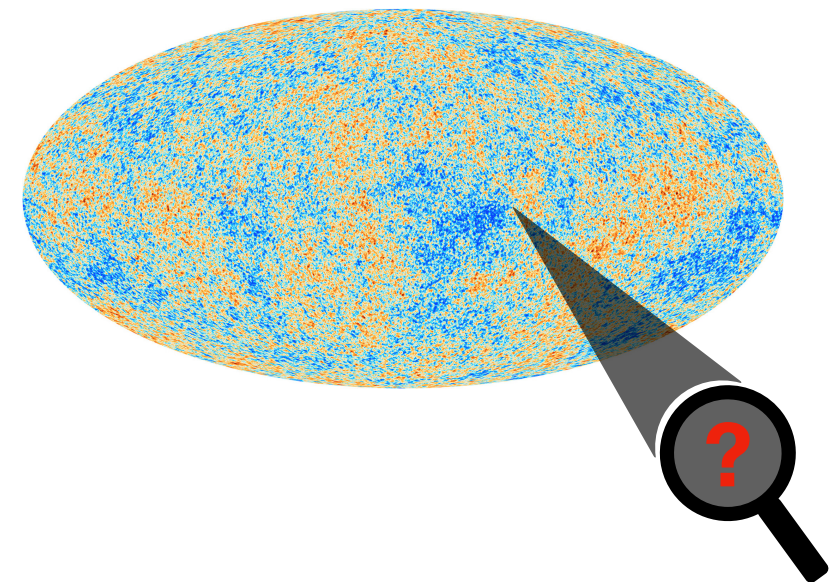
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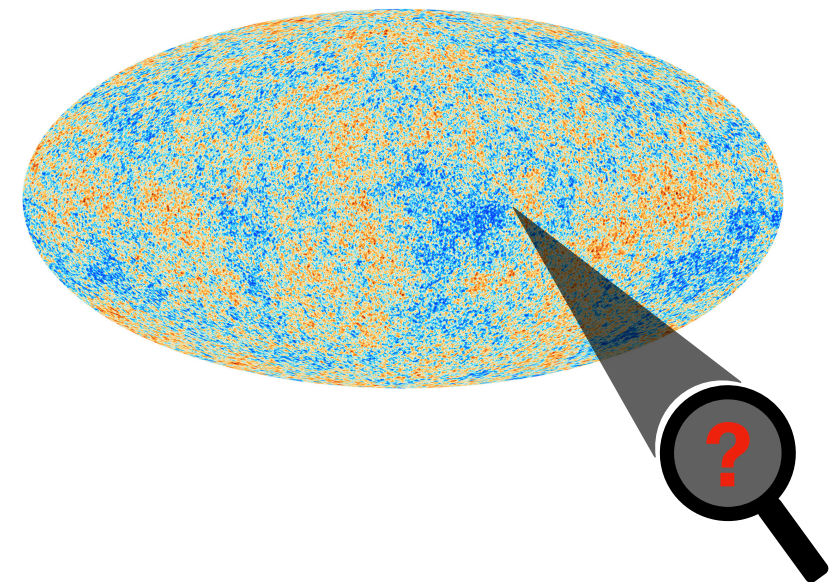
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[AC 2506.11797]

- Lattice simulations: known tool to study **non-perturbative** cosmological phenomena.

Examples: **reheating**, cosmological **phase transitions**

My goal:

Develop lattice techniques for inflation

This journey started **here at IAP in 2018!**

AC, Komatsu,  
Lozanov, Weller

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Jamieson, AC,  
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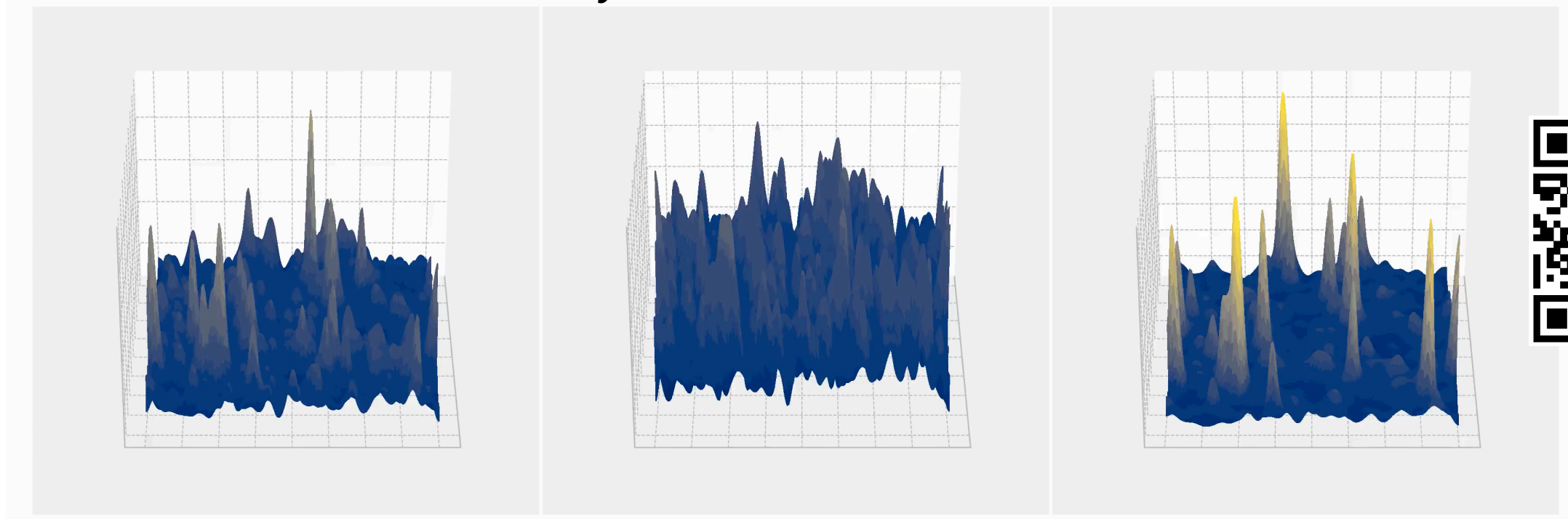
AC 2209.13616  
2506.11797

Jamieson, AC,  
Komatsu

2507.22285

Public code:

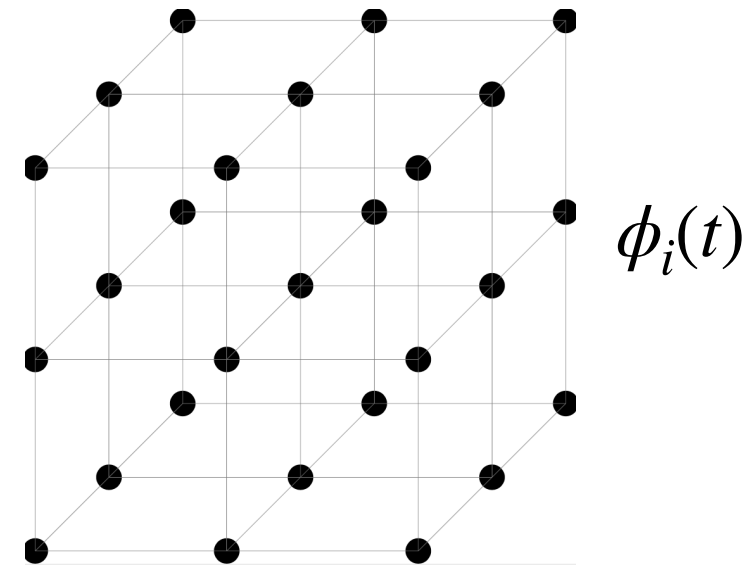
*InflationEasy*: A C++ Lattice Code for Inflation



# Lattice simulations

Put the continuous inflationary universe on a discrete cubic lattice:

$$\phi(\vec{x}, t)$$



$$\phi(\vec{x}, t) = \bar{\phi}(t) + \delta\phi(\vec{x}, t)$$

& perturbation  
theory on  $\delta\phi$



Nonlinear, non-perturbative evolution of  $\phi_i$

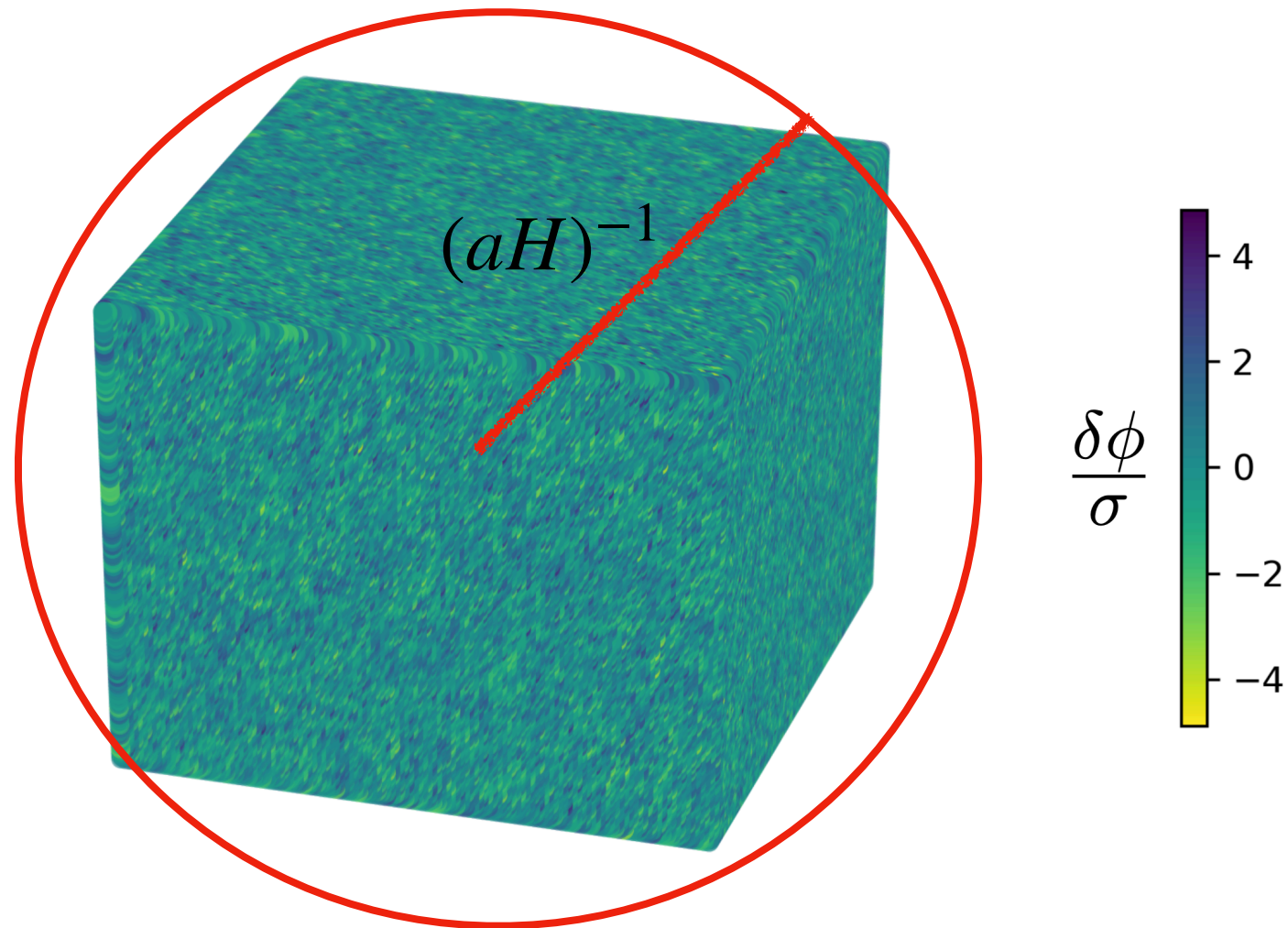
Numerically solve the classical eqs:

$$\frac{\partial \mathcal{L}}{\partial \phi_i} = \frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{\phi}_i} \right)$$



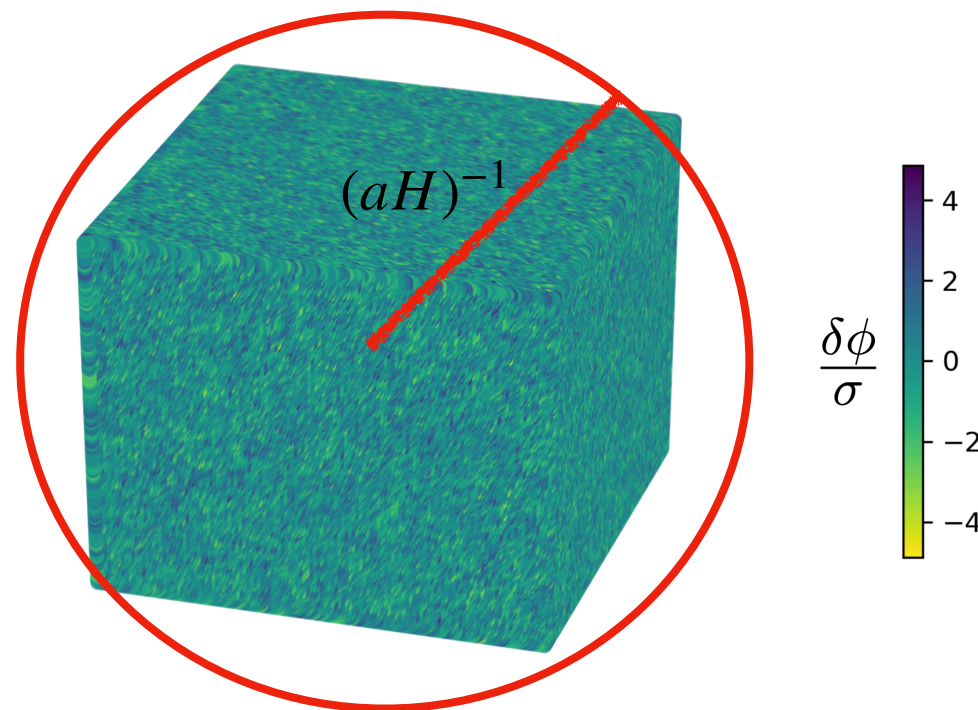
# Lattice simulations of inflation

Start with quantum fluctuations on sub-horizon box:



# Lattice simulations of inflation

Start with quantum fluctuations on sub-horizon box:



$$\hat{\phi}(\vec{n}) = \sum_{\vec{m}} \left[ \hat{a}_{\vec{m}} u(\vec{k}_{\vec{m}}) e^{i\frac{2\pi}{N}\vec{n}\cdot\vec{m}} + \hat{a}_{\vec{m}}^\dagger u^\dagger(\vec{k}_{\vec{m}}) e^{-i\frac{2\pi}{N}\vec{n}\cdot\vec{m}} \right]$$

$$u(\vec{k}) = \frac{L^{3/2}}{a\sqrt{2\omega_{\vec{k}}}} e^{-i\omega_{\vec{k}}\tau}$$

“Discrete Bunch Davies”  
[AC+ 2102.06378]

$$\hat{a}_{\vec{m}} = e^{i2\pi\hat{Y}_{\vec{m}}} \sqrt{-\ln(\hat{X}_{\vec{m}})/2},$$

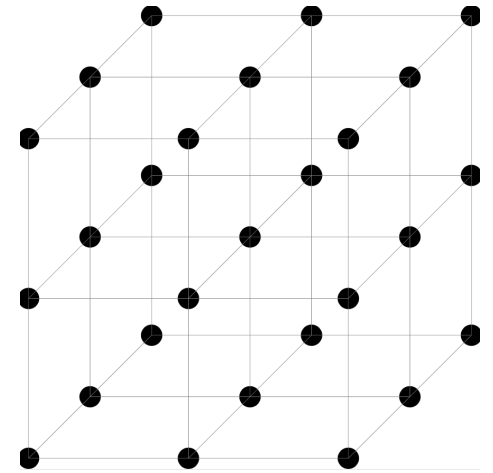
$\hat{X}_{\vec{m}}, \hat{Y}_{\vec{m}}$  uniform randoms between 0 and 1: **“stochastic” approximation of quantum noise**

# Lattice approach: evolution

Solve numerically for all lattice points:

$$\phi''(\vec{n}) + 2H\phi'(\vec{n}) - \nabla^2\phi(\vec{n}) + a^2\frac{\partial V}{\partial\phi}(\vec{n}) = 0$$

+ Friedmann equation for scale factor  $\frac{d^2a}{d\tau^2} = \frac{1}{6} (\langle\rho\rangle - 3\langle p\rangle) a^3$

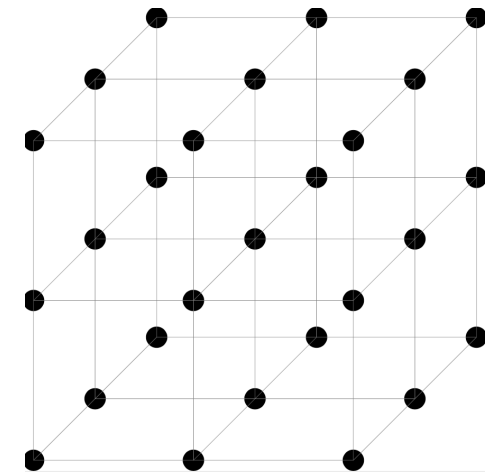


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Assuming **unperturbed metric**  $ds^2 = a^2(-d\tau^2 + d\vec{x}^2)$  because:

- $\delta g_{ij} \equiv 0$  (spatially flat gauge)
- $\delta g_{0\mu} \propto \epsilon = -\frac{\dot{H}}{H^2} = \frac{\frac{1}{2}\dot{\phi}^2}{M_{\text{Pl}}^2 H^2} \rightarrow 0$ , known as “decoupling limit” of gravity  $M_{\text{Pl}} \rightarrow \infty$

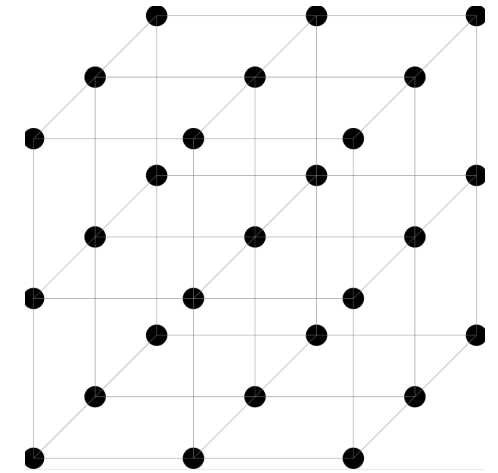
Cheung++ [0709.0293]  
 Behbahani++ [1111.3373]  
 Creminelli++ [2401.10212]  
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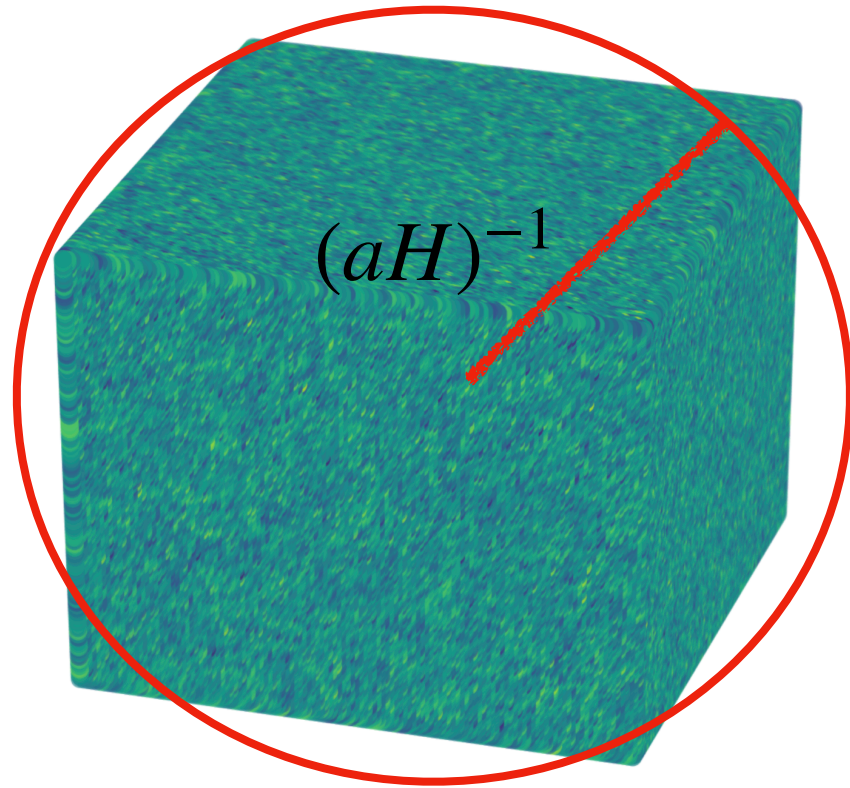
Not a fundamental limitation:

Gravity can be perturbatively  
included

AC, Peloso [2407.13405]  
Jamieson, AC, Komatsu [2507.22285]

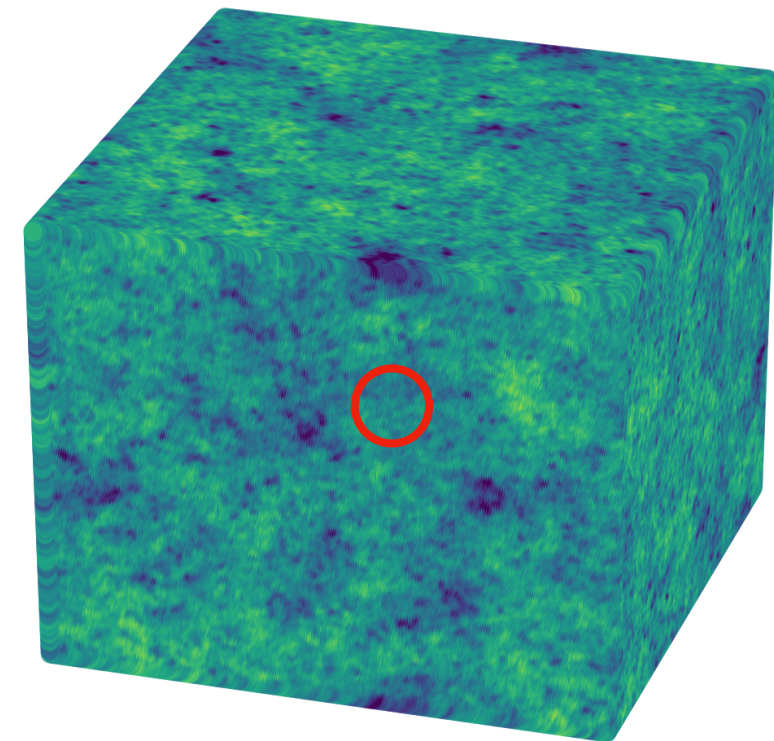


# Lattice simulations of Inflation



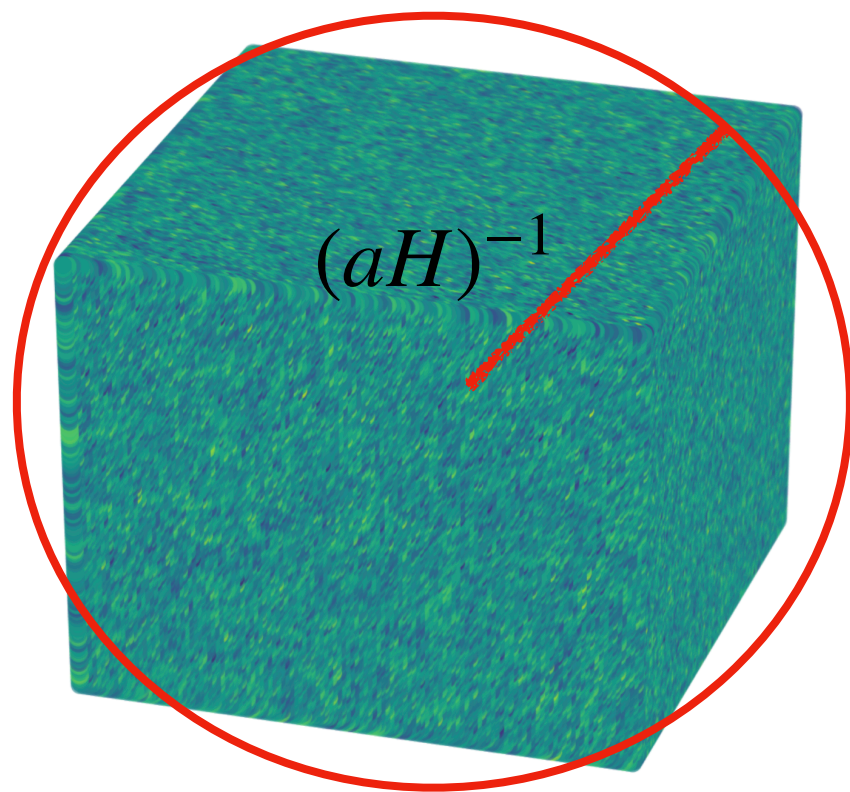
“sub-horizon” box

Nonlinear  
evolution



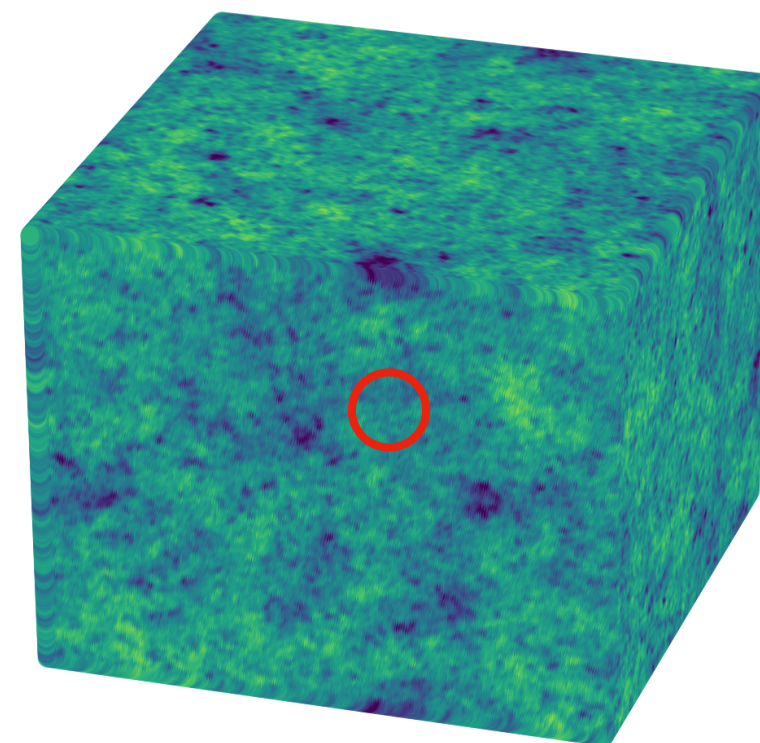
“super-horizon” box  
(frozen)

# Lattice simulations of Inflation



“sub-horizon” box

Nonlinear  
evolution



“super-horizon” box  
(frozen)

- Key point: non-perturbative  $\phi(\vec{x}, t) \neq \bar{\phi}(t) + \delta\phi(\vec{x}, t)$
- Assumptions: 1) Neglect gravitational interaction fixed metric  $ds^2 = a(\tau)(-d\tau^2 + d\vec{x}^2)$   
2) Semi-classical approach (neglect quantum tunneling, interference, etc...)





$1/(aH)$

# Quick detour: non-Gaussianity

AC, Komatsu, Lozanov, Weller [2204.12874]  
Jamieson, AC, Komatsu [2507.22285]

Interactions  $\rightarrow$   $\zeta = \zeta_G + \zeta_{NL}$  **Unknown**

To deal with this, we typically write:  $\zeta_{NL} \simeq f_{NL} K[\zeta_G, \zeta_G]$   
example, local NGs:  $\zeta_{NL} = f_{NL} \zeta_G^2$

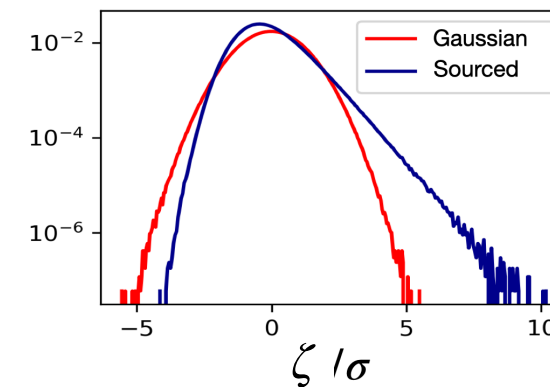
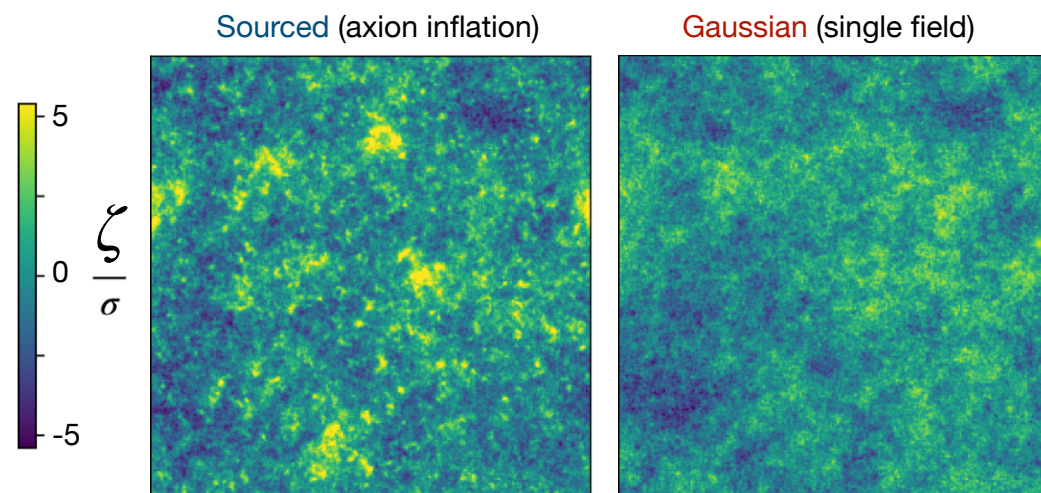
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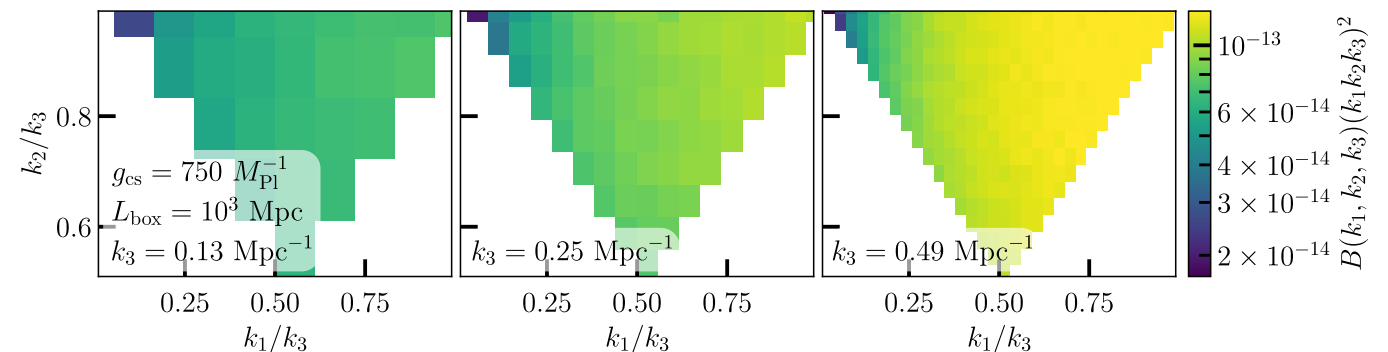
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Thanks to the simulation, **we finally know the nonlinear  $\zeta$**



$$\sigma = \sqrt{\langle \zeta^2 \rangle}$$

We learned that  
 $\zeta \neq \zeta_G + f_{NL} K[\zeta_G, \zeta_G]$





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1) Lattice simulations of inflation

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2) Example: **inflationary butterfly effect**

→ 2.1) Oscillatory potential

2.2) Ultra-slow-roll inflation

→ AC, K. Inomata, S. Renaux-Petel

2403.12811

AC, G. Franciolini, S. Renaux-Petel

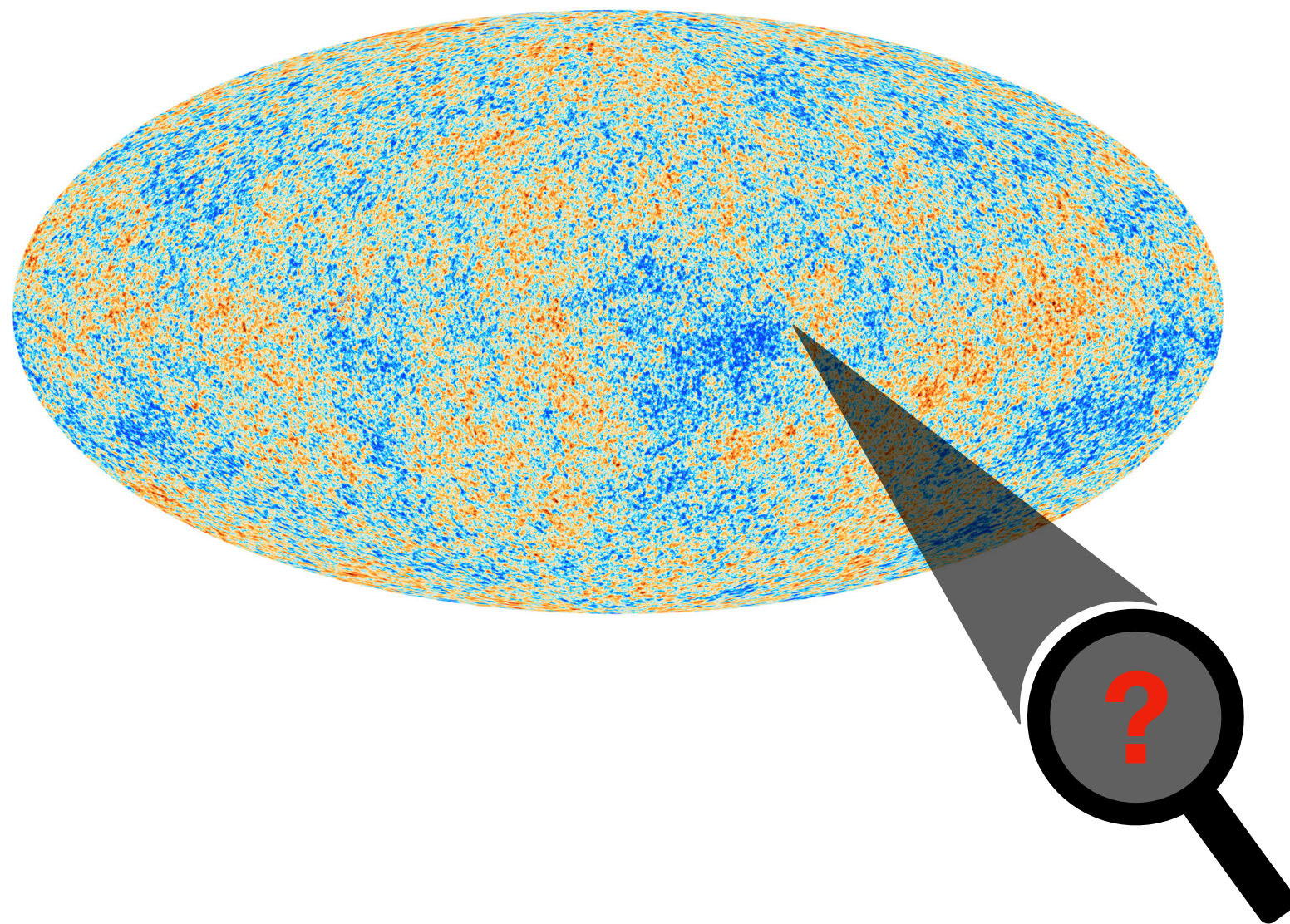
2410.23942  
2506.11795

3) Some ongoing work

# Inflation at small scales



What is the physics of inflation at scales  $\lambda \ll \lambda_{CMB}$  ?



Inflation generates fluctuations at scales  $\sim e^{40}$  smaller than CMB scales

# Inflation on small scales

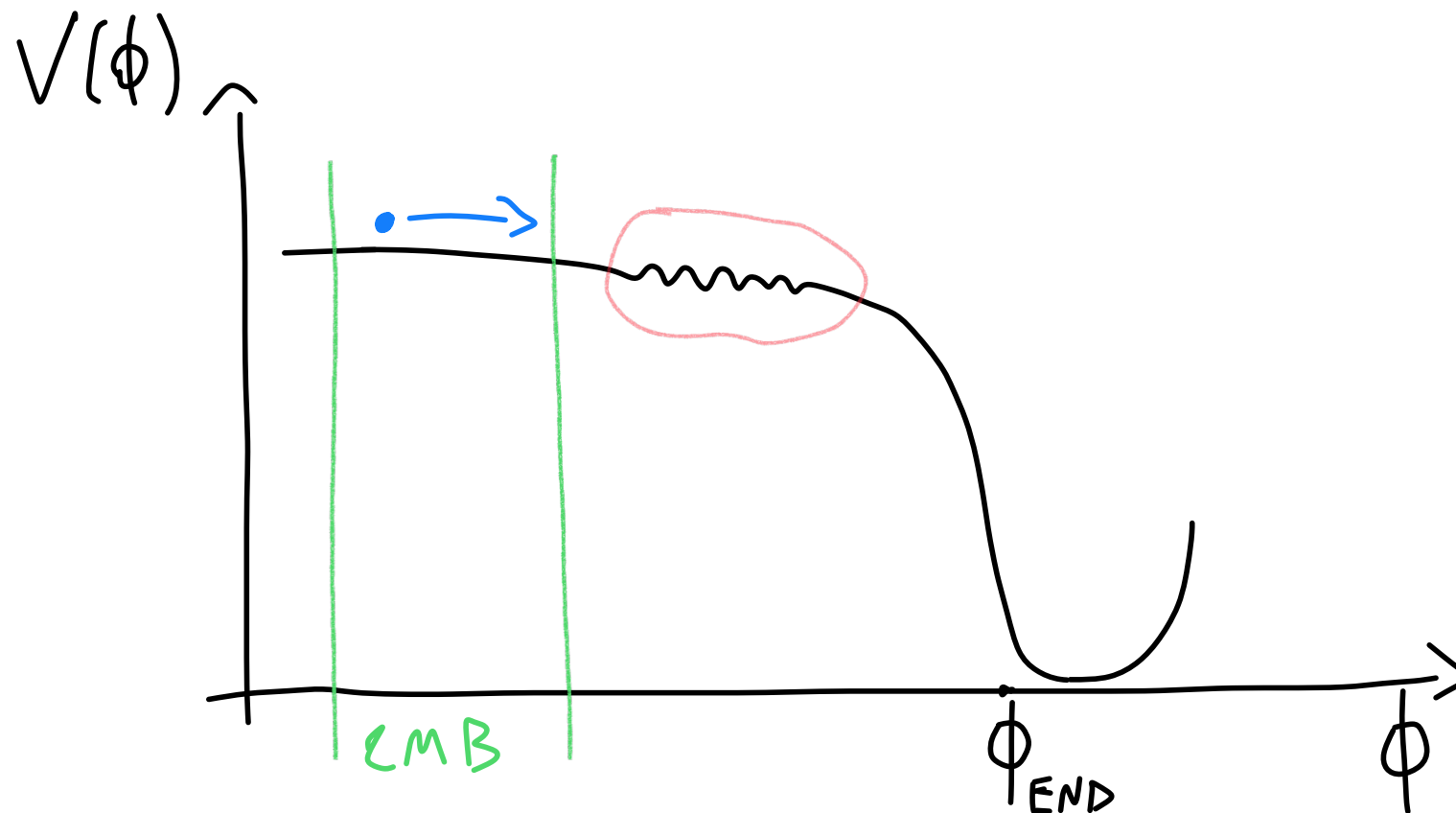
Toy model: a **small-scale modification** of the inflaton potential

$$V(\phi) = V_{\text{sr}}(\phi) + \Lambda^4 W(\phi) \left[ \cos \left( \frac{\phi - \phi_0}{f} \right) - 1 \right]$$

Slow-roll potential

Localised oscillation

$$W(\phi) = \frac{1}{4} \left( 1 + \tanh \left( \frac{\phi - \phi_0}{f} \right) \right) \left( 1 + \tanh \left( \frac{\phi_0 - \phi + \Delta\phi}{f} \right) \right)$$



# Oscillatory potential

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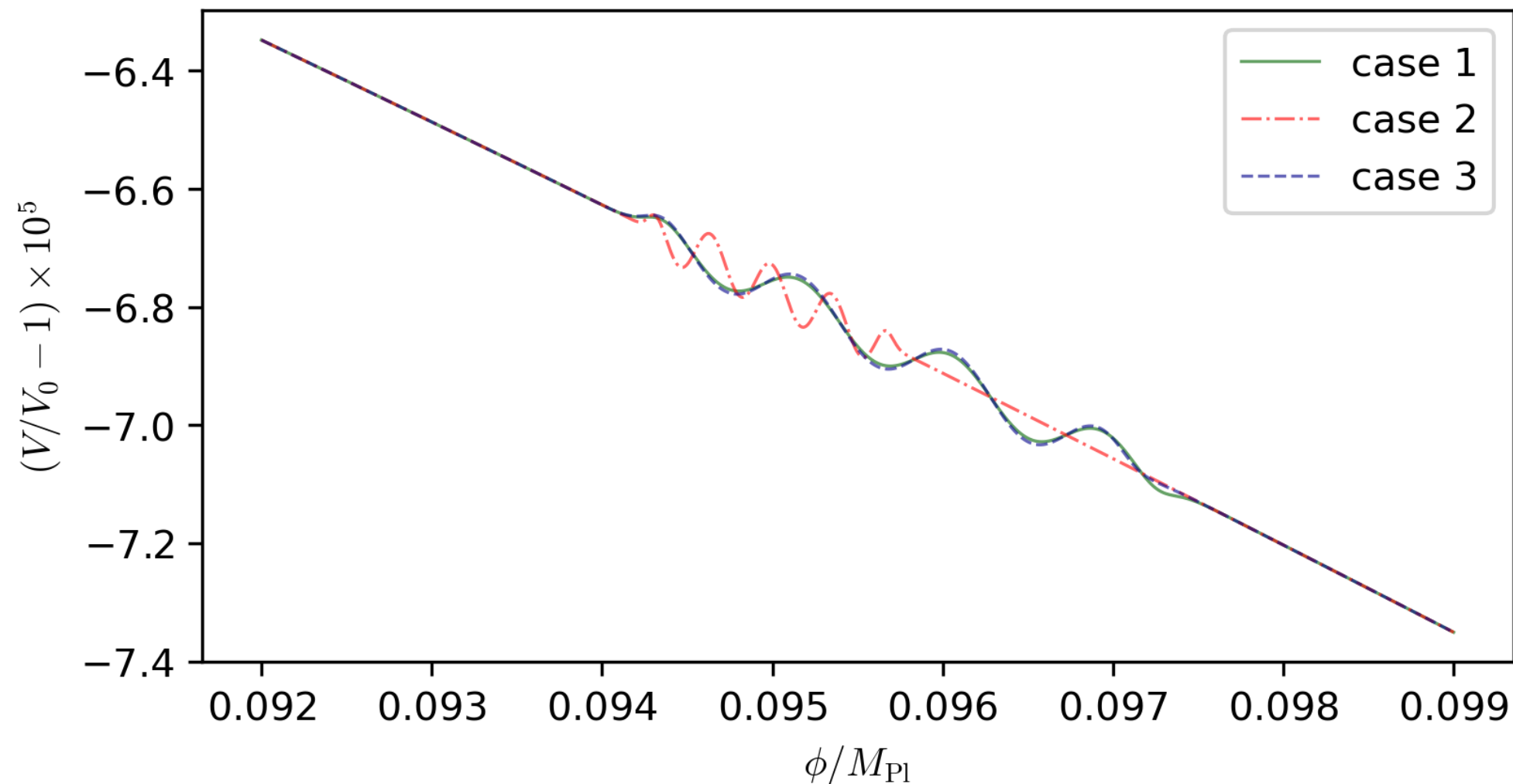
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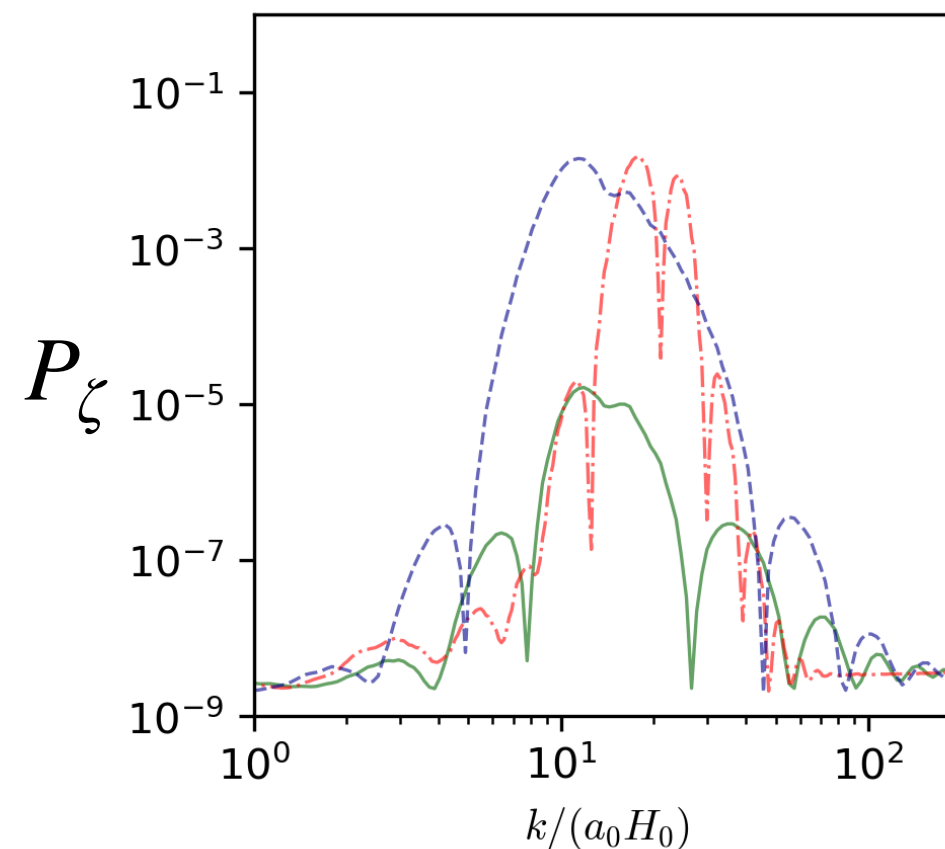
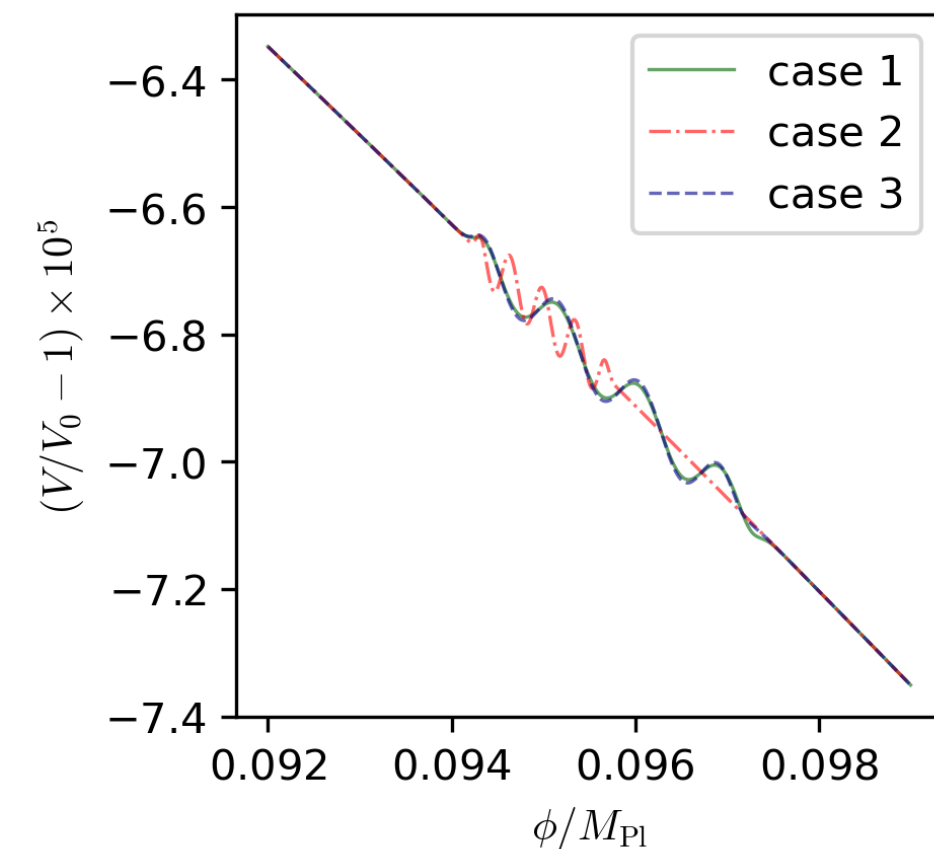


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The feature induces a growth of the power spectrum. [Linear](#) prediction:



Case 1:  $P_\zeta \simeq 10^{-5}$

Case 2:  $P_\zeta \simeq 10^{-2}$

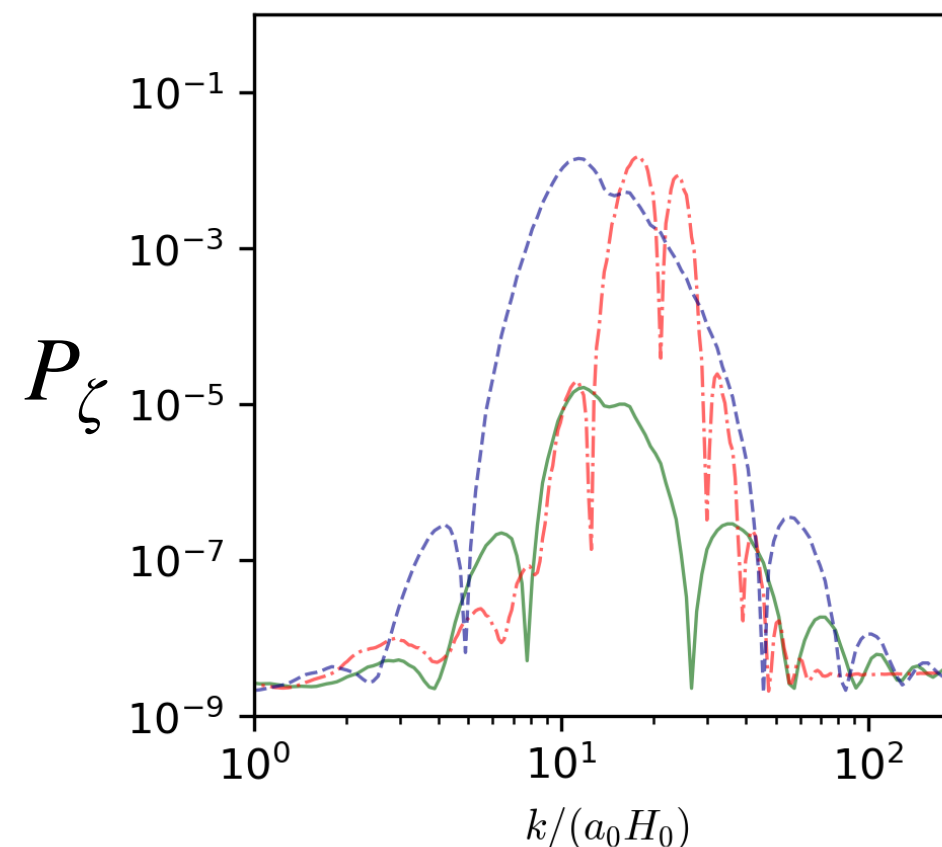
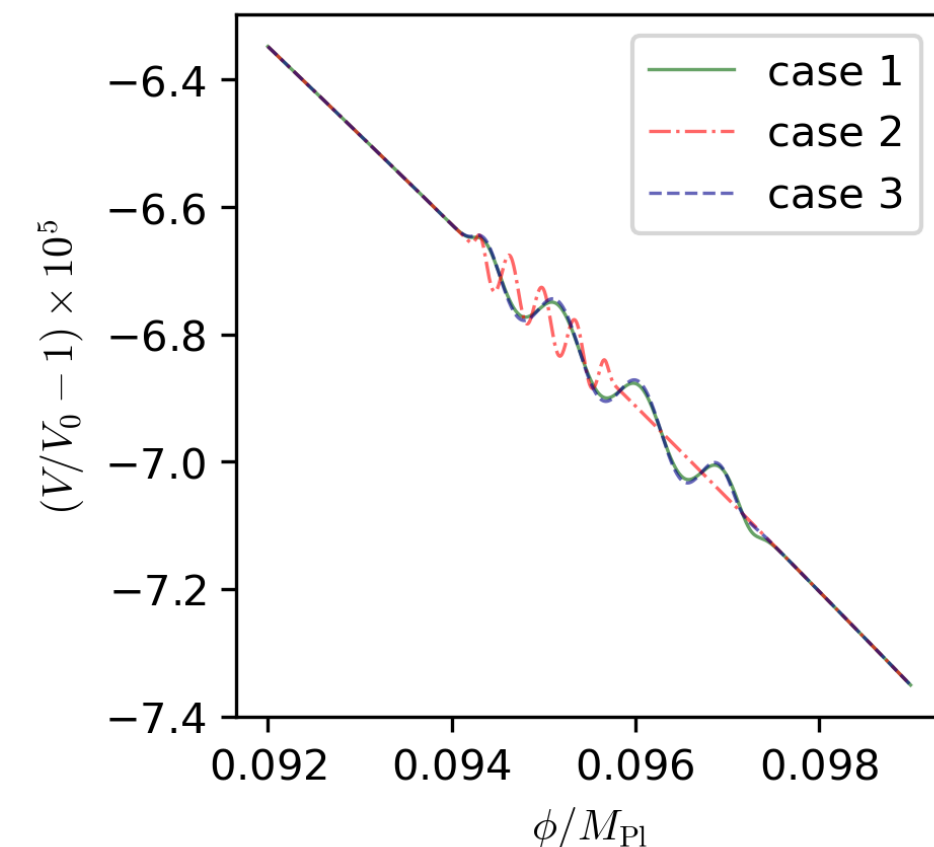
Case 3:  $P_\zeta \simeq 10^{-2}$

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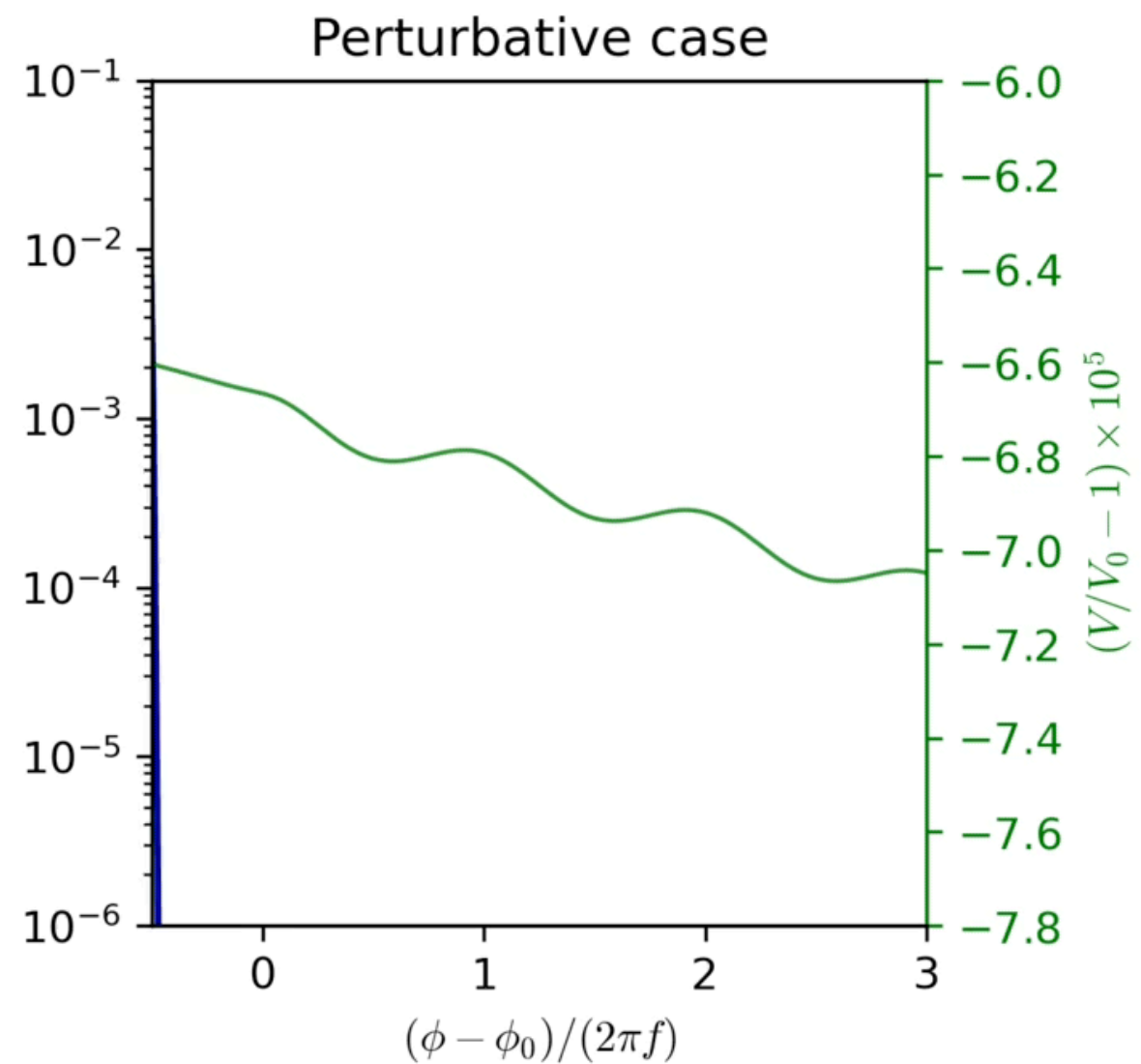
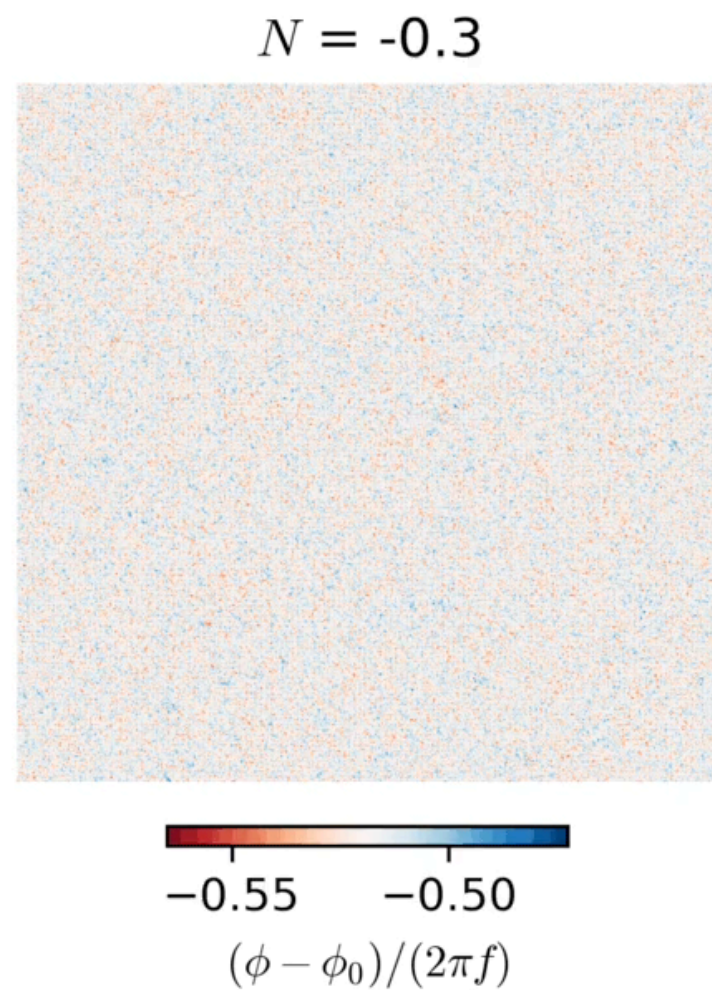
[K. Inomata, M. Braglia, X. Chen,  
 S. Renaux-Petel 2211.02586]

$$P_{\zeta, 1\text{-loop}} \gtrsim P_{\zeta, \text{tree}}$$

In case **3** and **2**, but not **1**

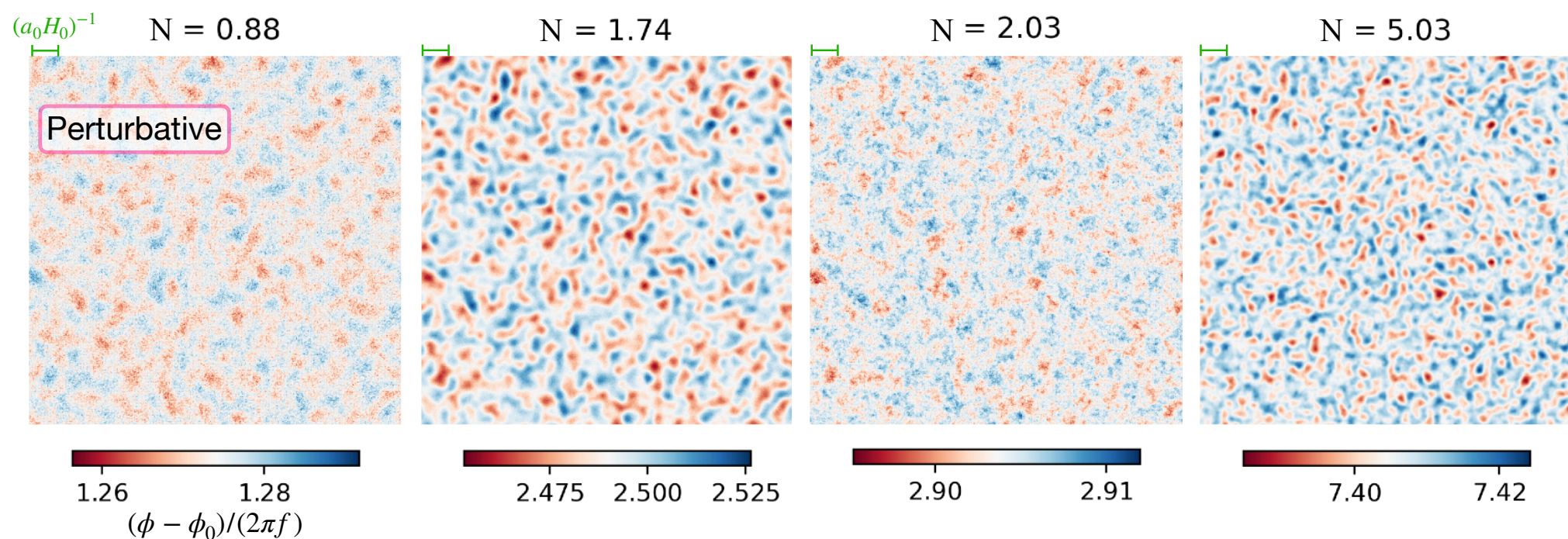
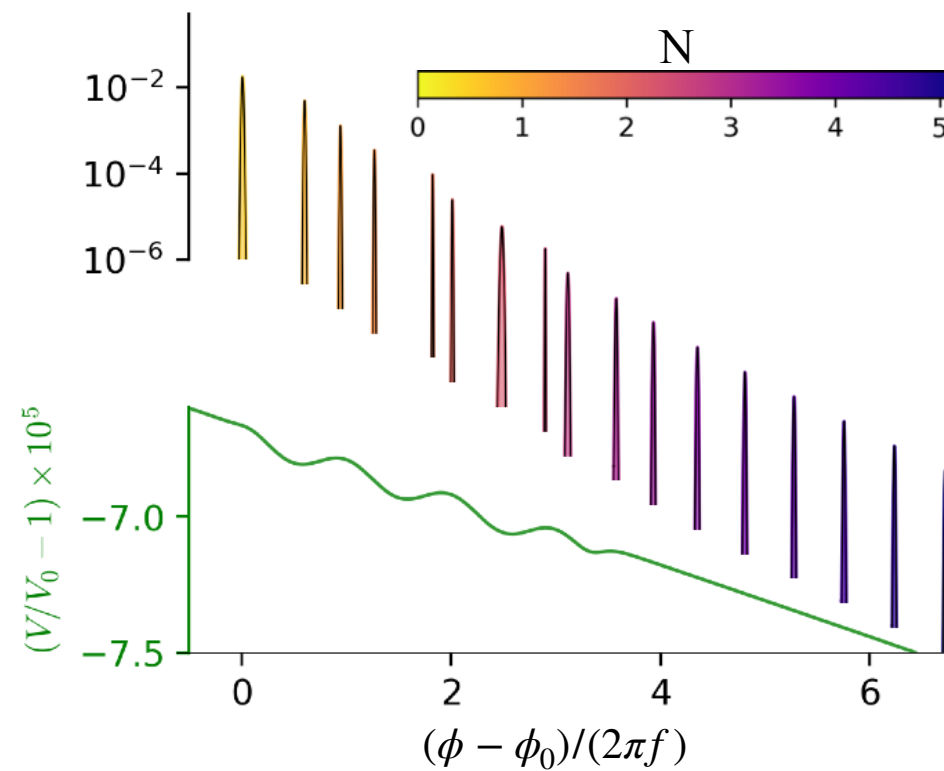
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Case 1 is perturbative



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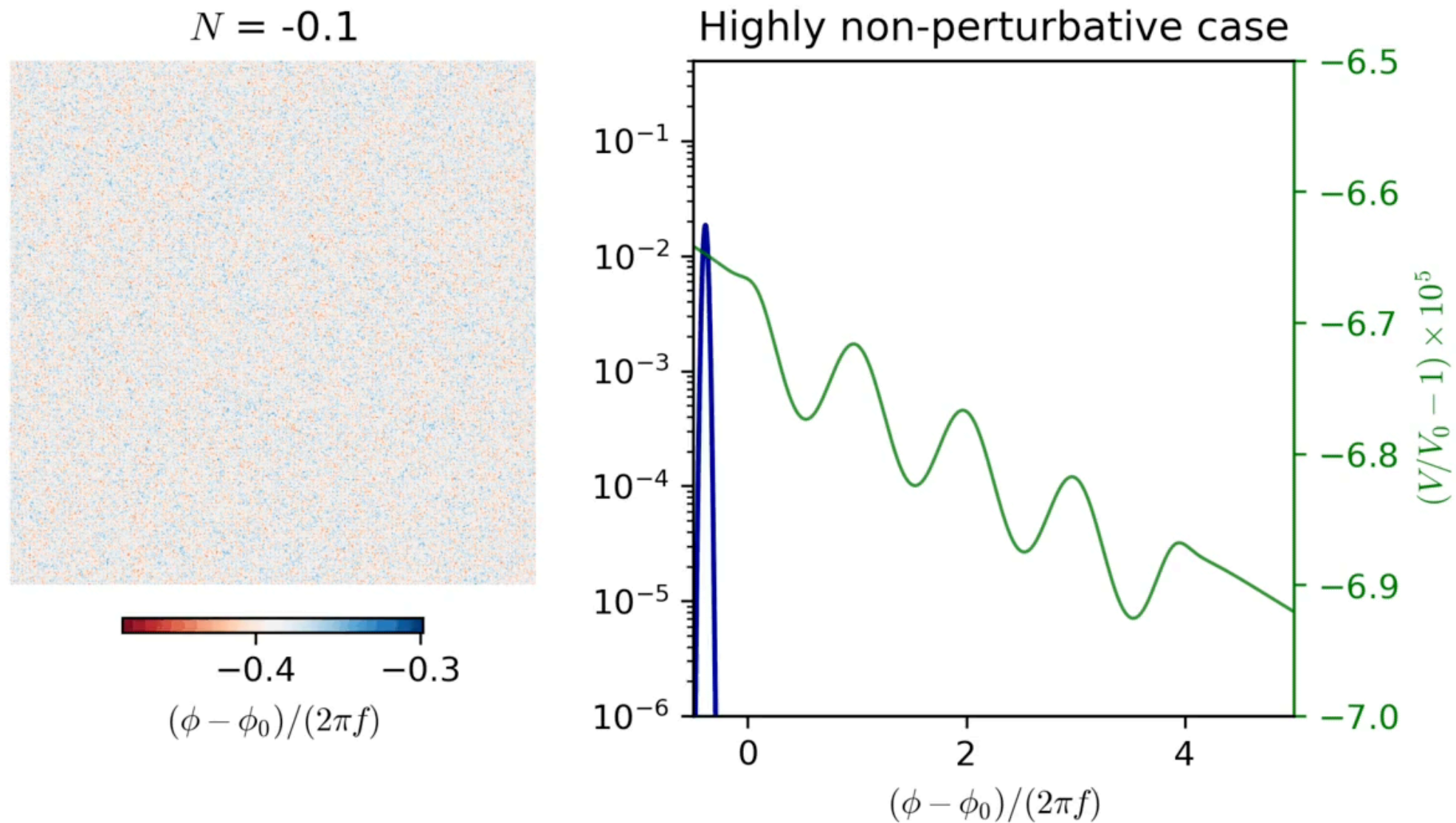
Case 1 is perturbative





## Case 2. ( $P_\xi \sim 10^{-2}$ )

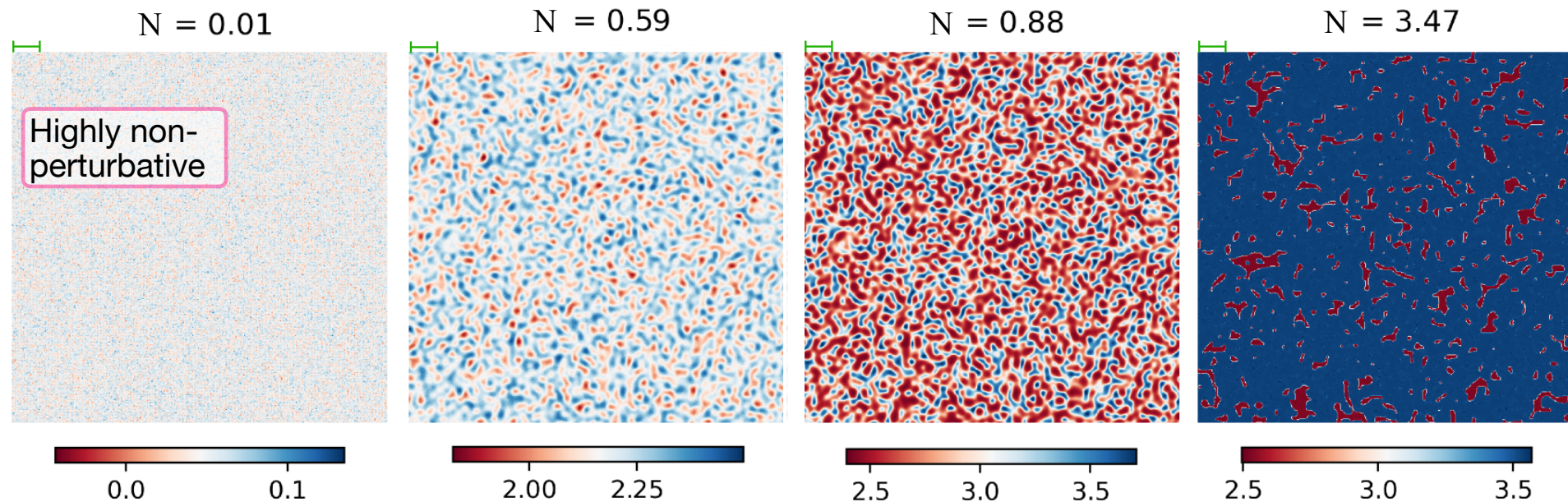
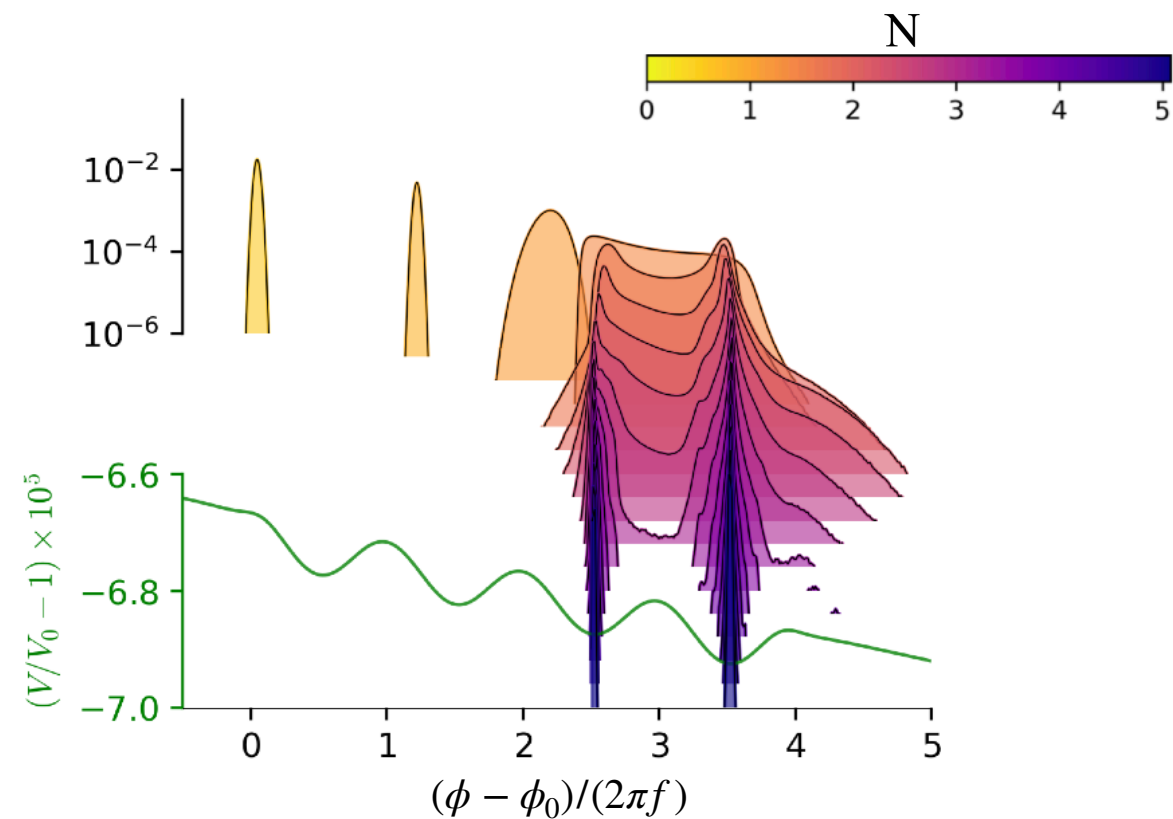
Case 2 is highly non-perturbative:  
Inflaton is stuck inside the oscillatory potential





## Case 2. ( $P_\xi \sim 10^{-2}$ )

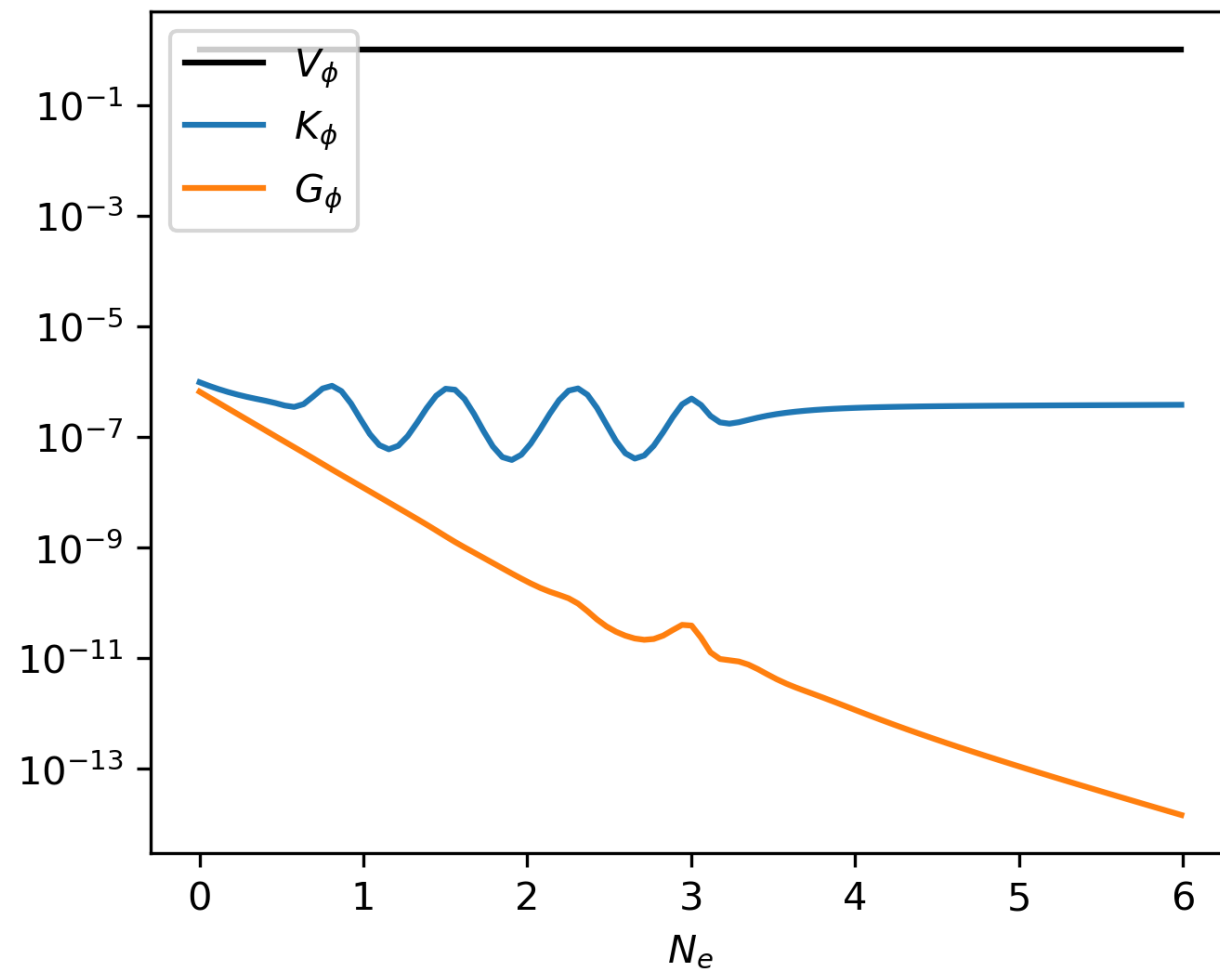
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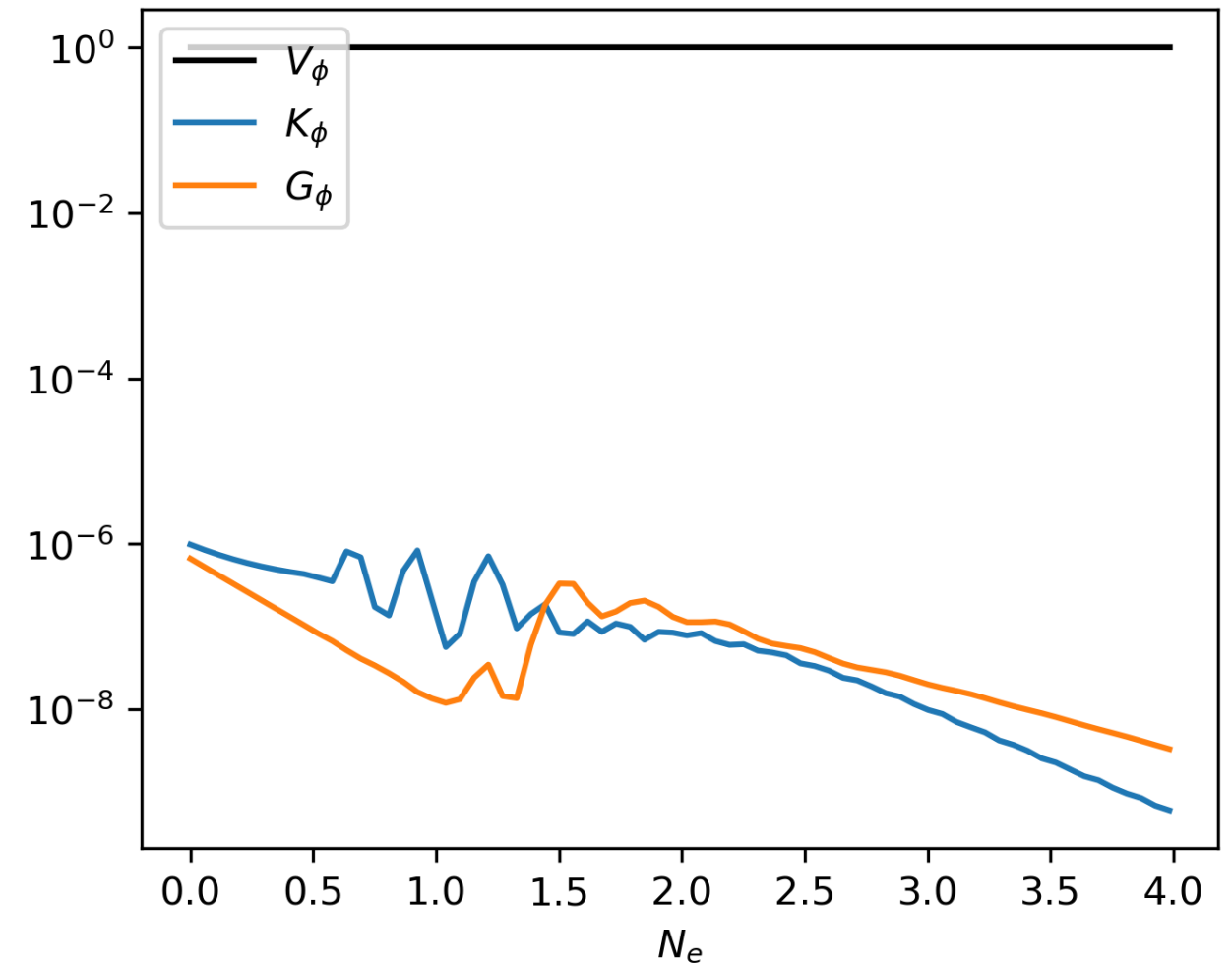
## Case 2. ( $P_\xi \sim 10^{-2}$ )

Why is this happening? Let's look at the **energy**:

Perturbative case



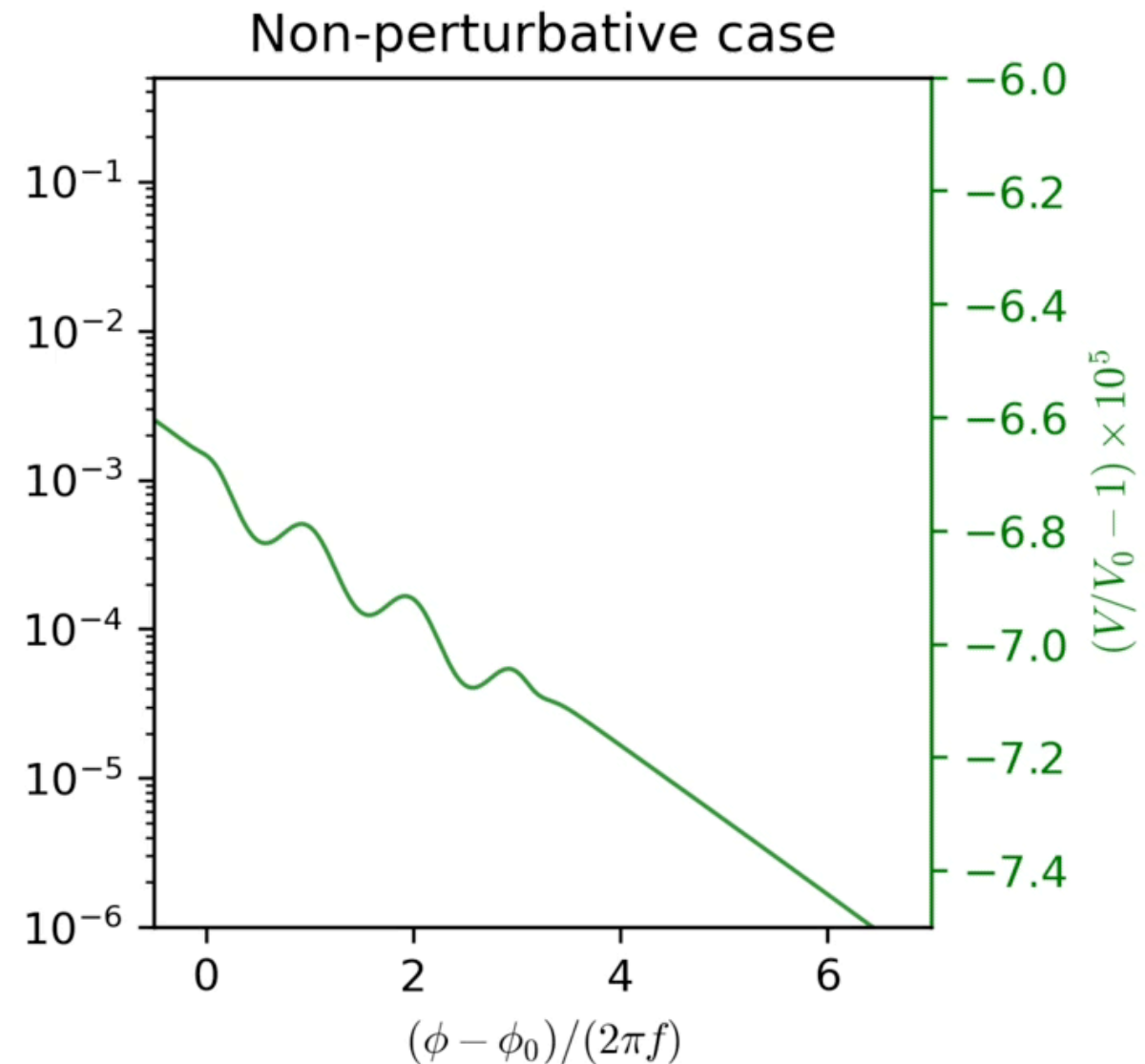
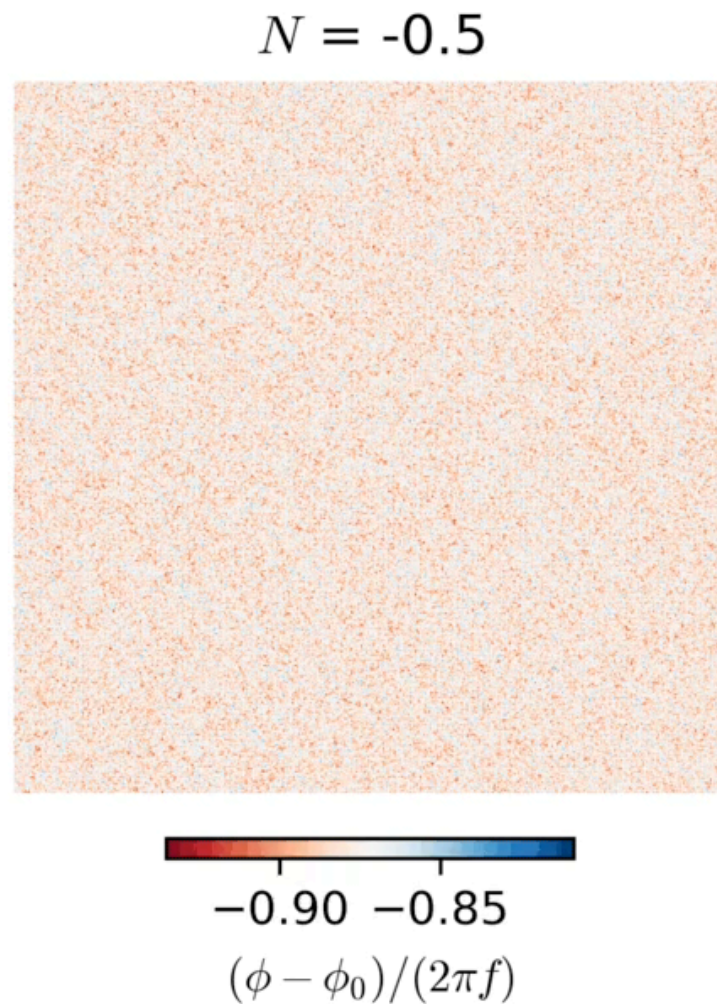
Highly non-perturbative case



## Case 3. ( $P_\xi \sim 10^{-2}$ )

Case 3: Only **some patches** are stuck in the resonant potential!

**The rest** continues slow-rolling

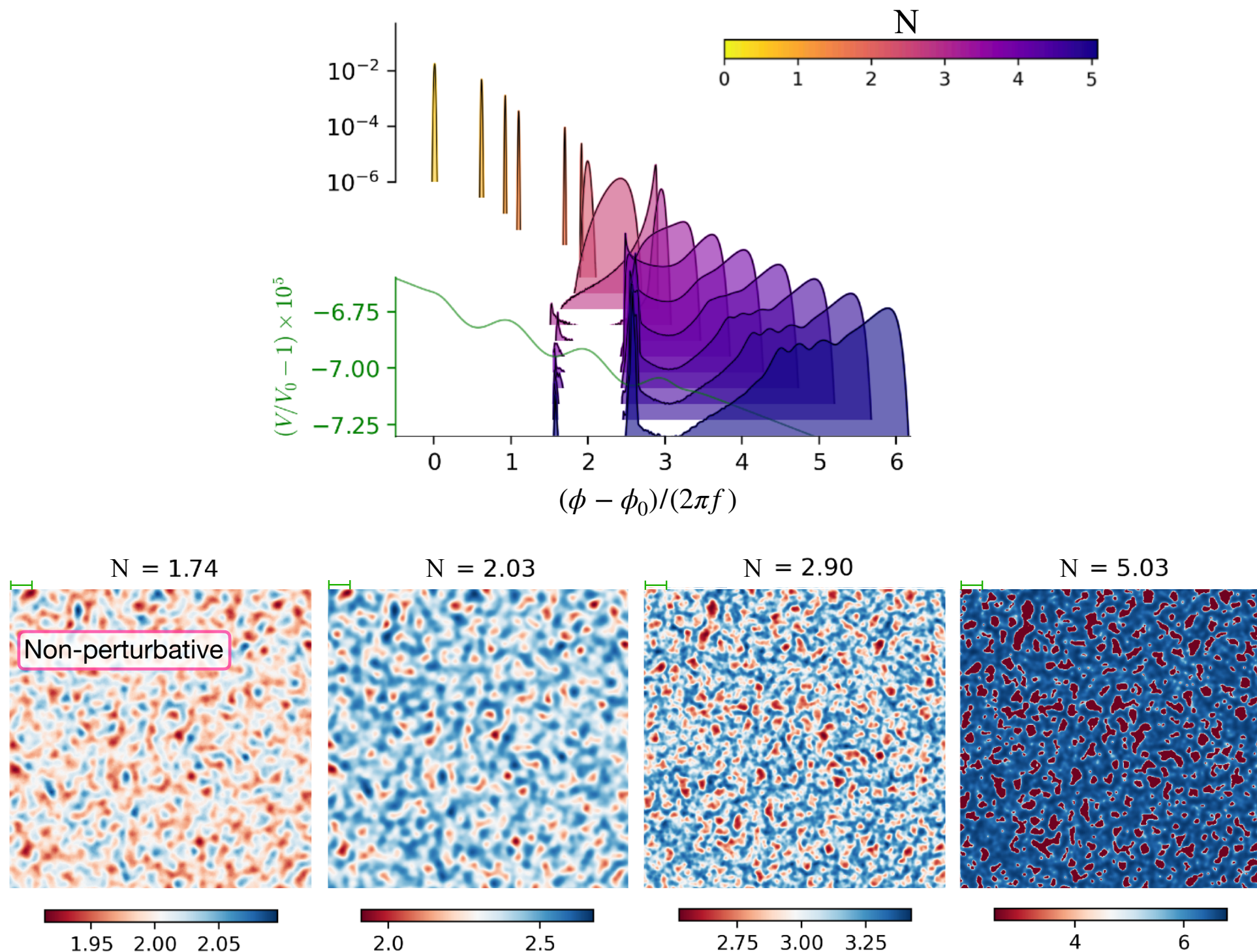




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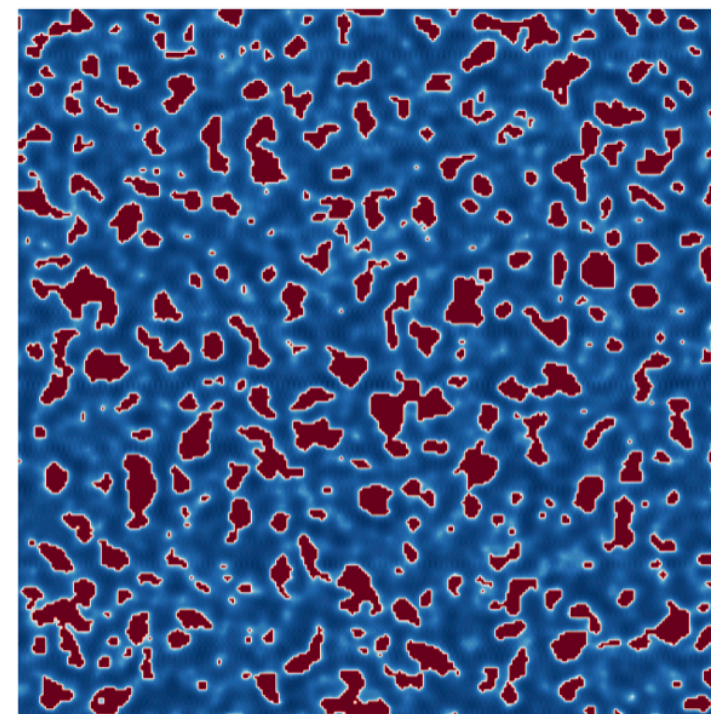
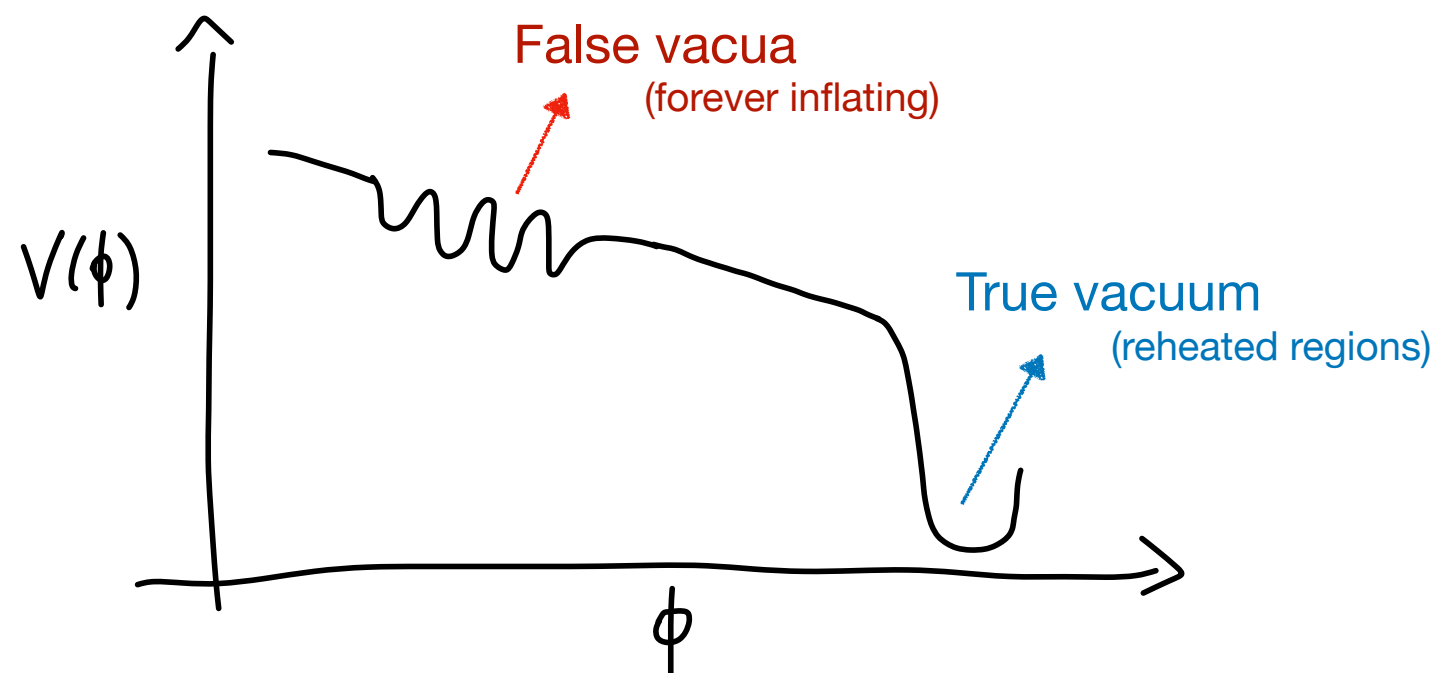


# Case 3: inflaton trapping

Case 3:

What happens to the trapped regions at the end of inflation?

Their fate is analogous to false vacuum trapping.





# Inflaton trapping and PBHs

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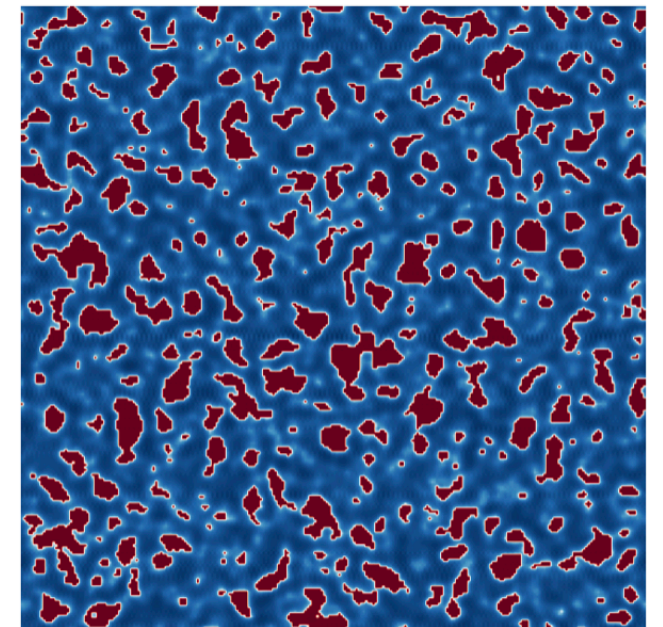
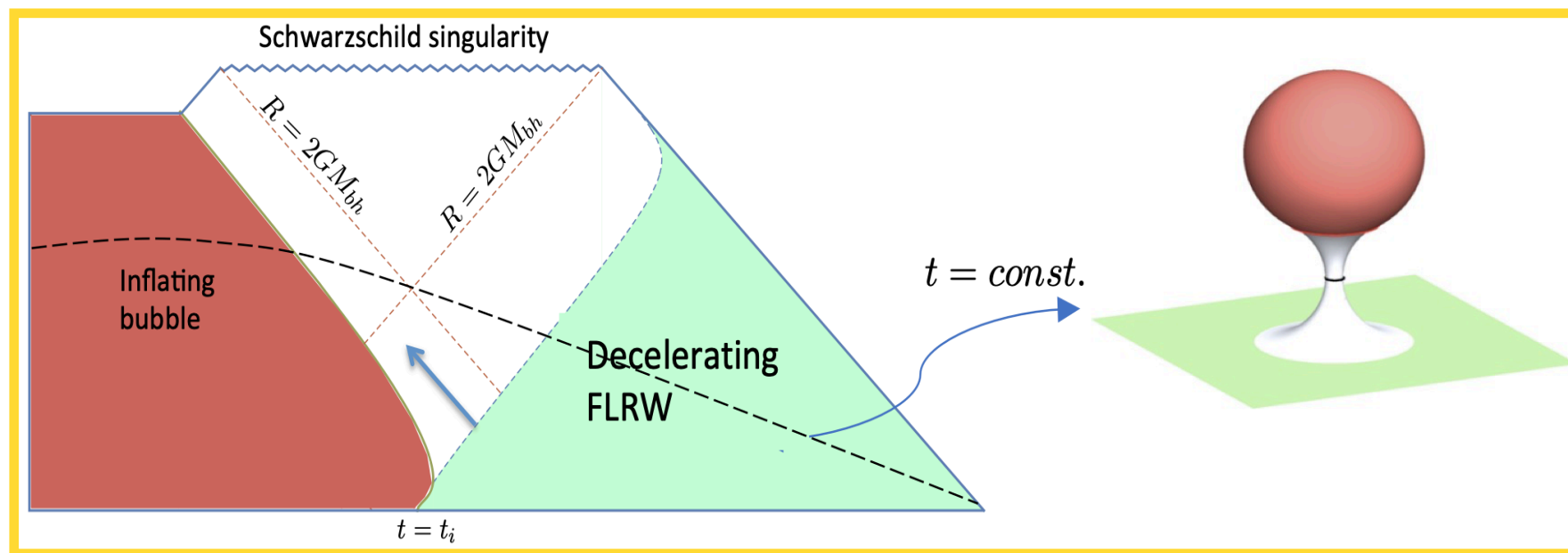


Figure credit:

[J. Garriga, A. Vilenkin, J. Zhang arXiv:1512.01819]

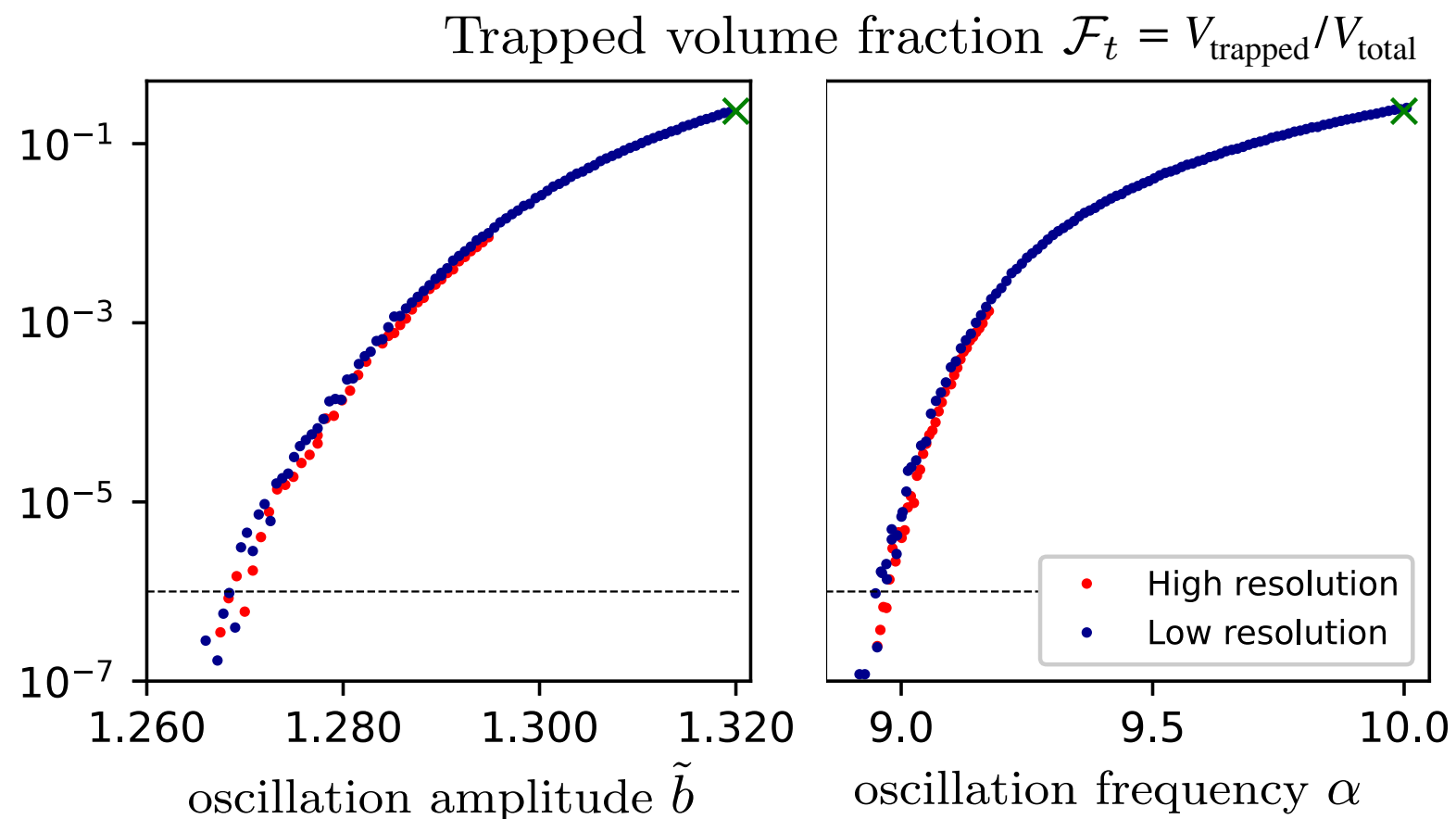
The **trapped regions** become PBHs at the end of inflation! (in the form of baby universes)

# PBH abundance

Case 3:

The trapped regions become PBHs at the end of inflation!

How many PBHs?



Mass fraction in PBHs at the time of formation

500 lattice simulations in this plot

# Inflationary Butterfly Effect



Lorenz (1972):

“Can the Flap of a Butterfly’s Wings in Brazil Set Off a Tornado in Texas?” [1,2]

[1]: E. N. Lorenz, American Association for the Advancement of Science (1972).

[2]: E. N. Lorenz, Deterministic Nonperiodic flow (1972).

Finite systems of deterministic ordinary nonlinear differential equations may be designed to represent forced dissipative hydrodynamic flow. Solutions of these equations can be identified with trajectories in phase space. For those systems with bounded solutions, it is found that nonperiodic solutions are ordinarily unstable with respect to small modifications, so that slightly differing initial states can evolve into considerably different states. Systems with bounded solutions are shown to possess bounded numerical solutions.

A simple system representing cellular convection is solved numerically. All of the solutions are found to be unstable, and almost all of them are nonperiodic.

The feasibility of very-long-range weather prediction is examined in the light of these results.

Special thanks are due to Miss Ellen Fetter for handling the many numerical computations and preparing the graphical presentations of the numerical material.



# Inflationary Butterfly Effect



Lorenz (1972):

“Can the Flap of a Butterfly’s Wings in Brazil Set Off a Tornado in Texas?” [1,2]

Can tiny, small-scale quantum fluctuations affect the dynamics of the entire Universe?

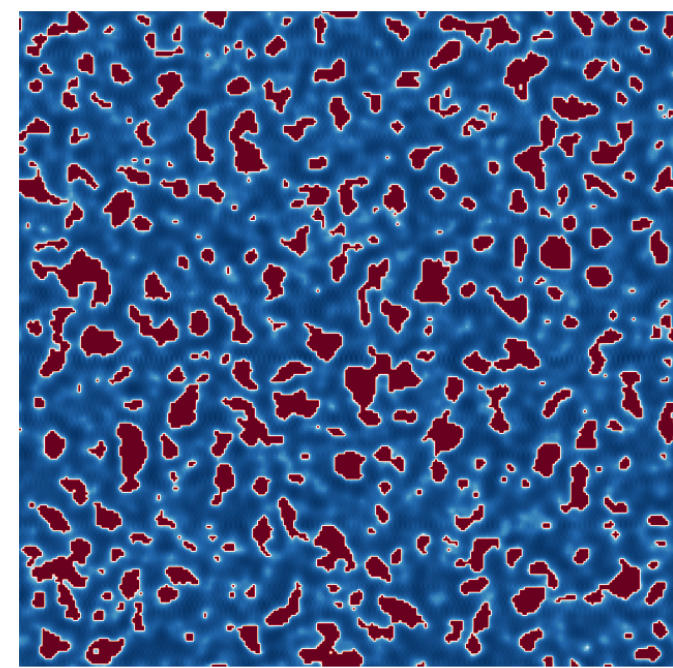
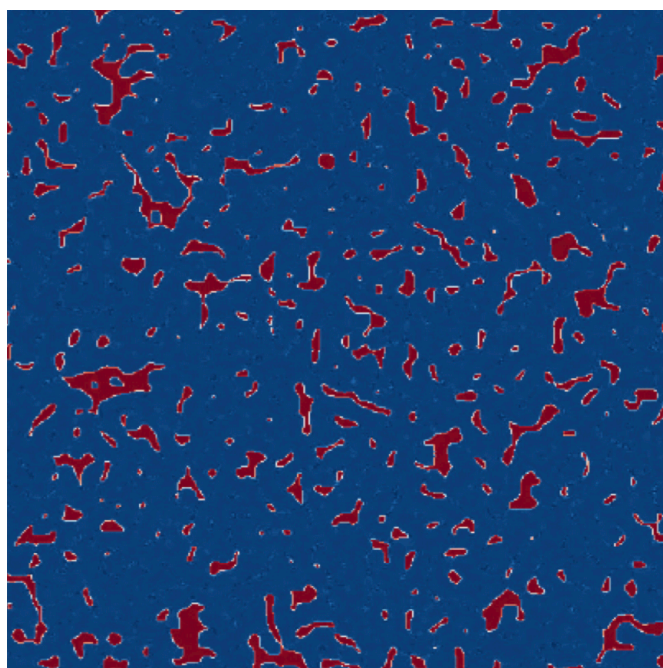
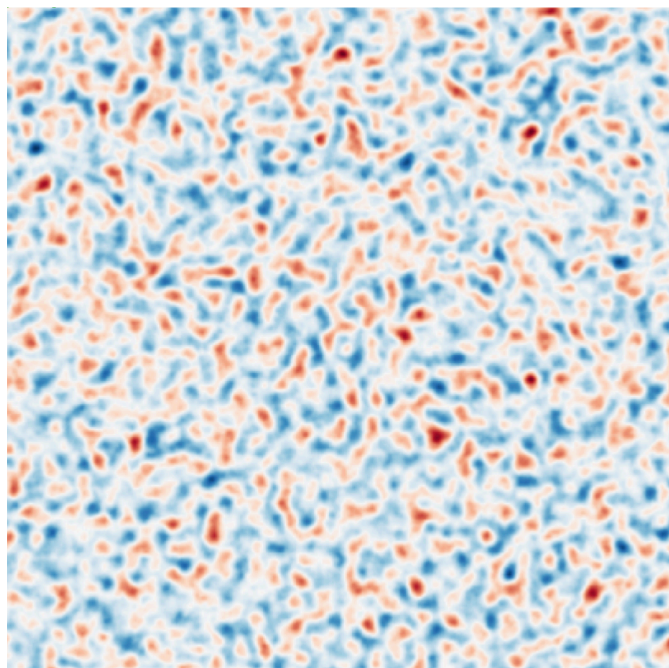


# Inflationary Butterfly Effect



Main lesson:

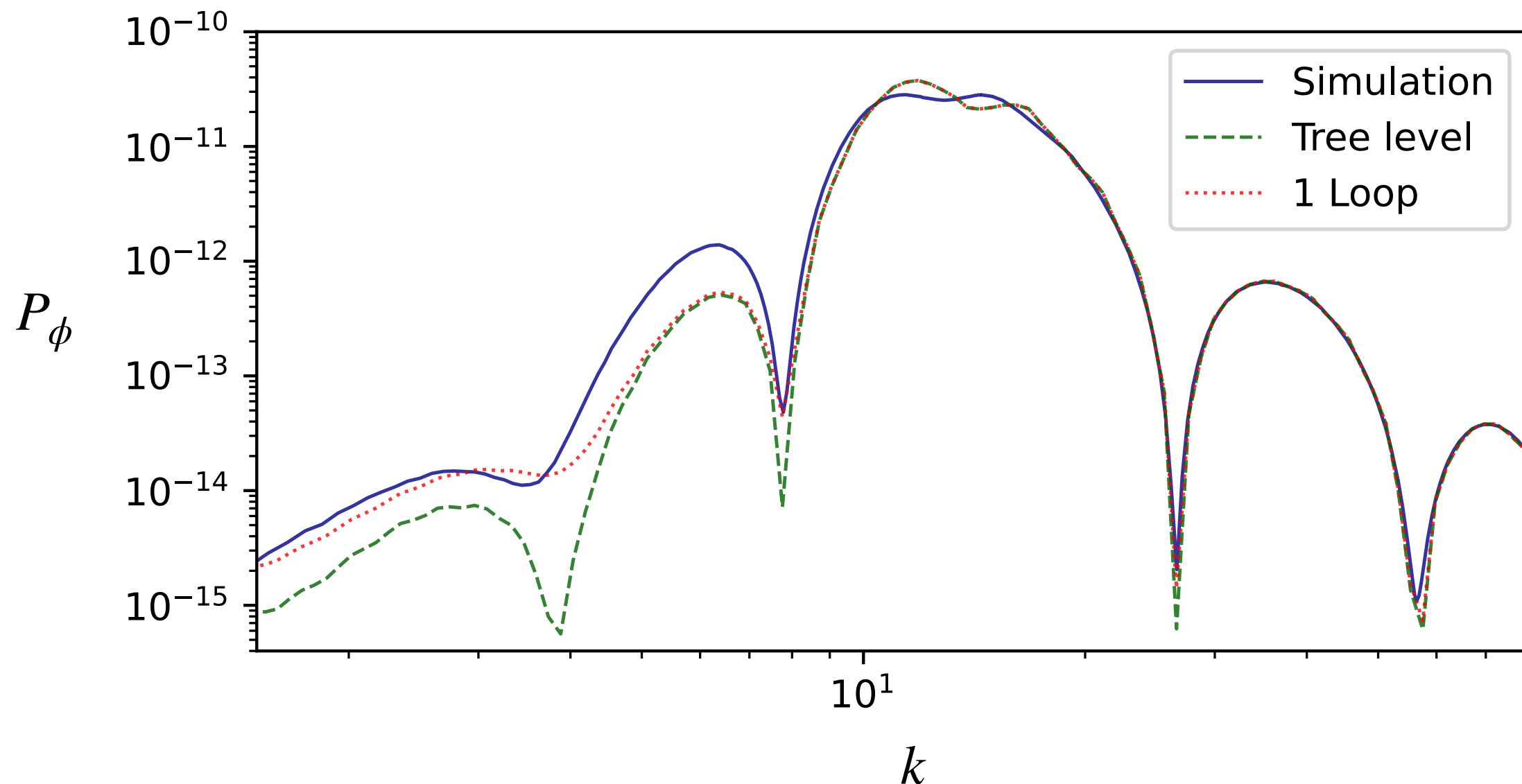
**Non-perturbative physics** at small scales can have drastic effects on the inflationary dynamics when  $\mathcal{P}_\zeta \sim 10^{-2}$





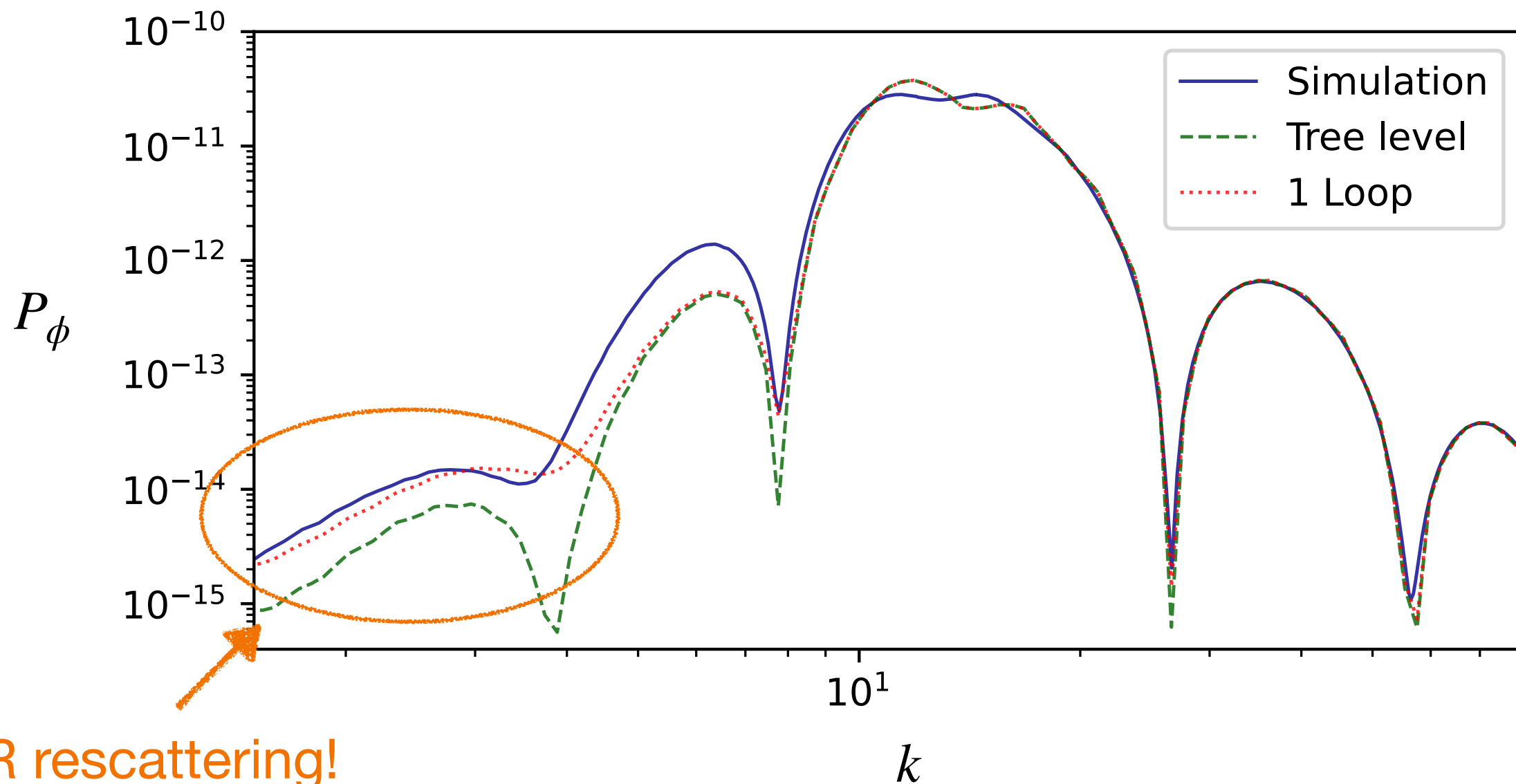
# Loop effects

In the perturbative setup (case 1),  
first **quantitative comparison** between full nonlinear, tree-level and 1-loop



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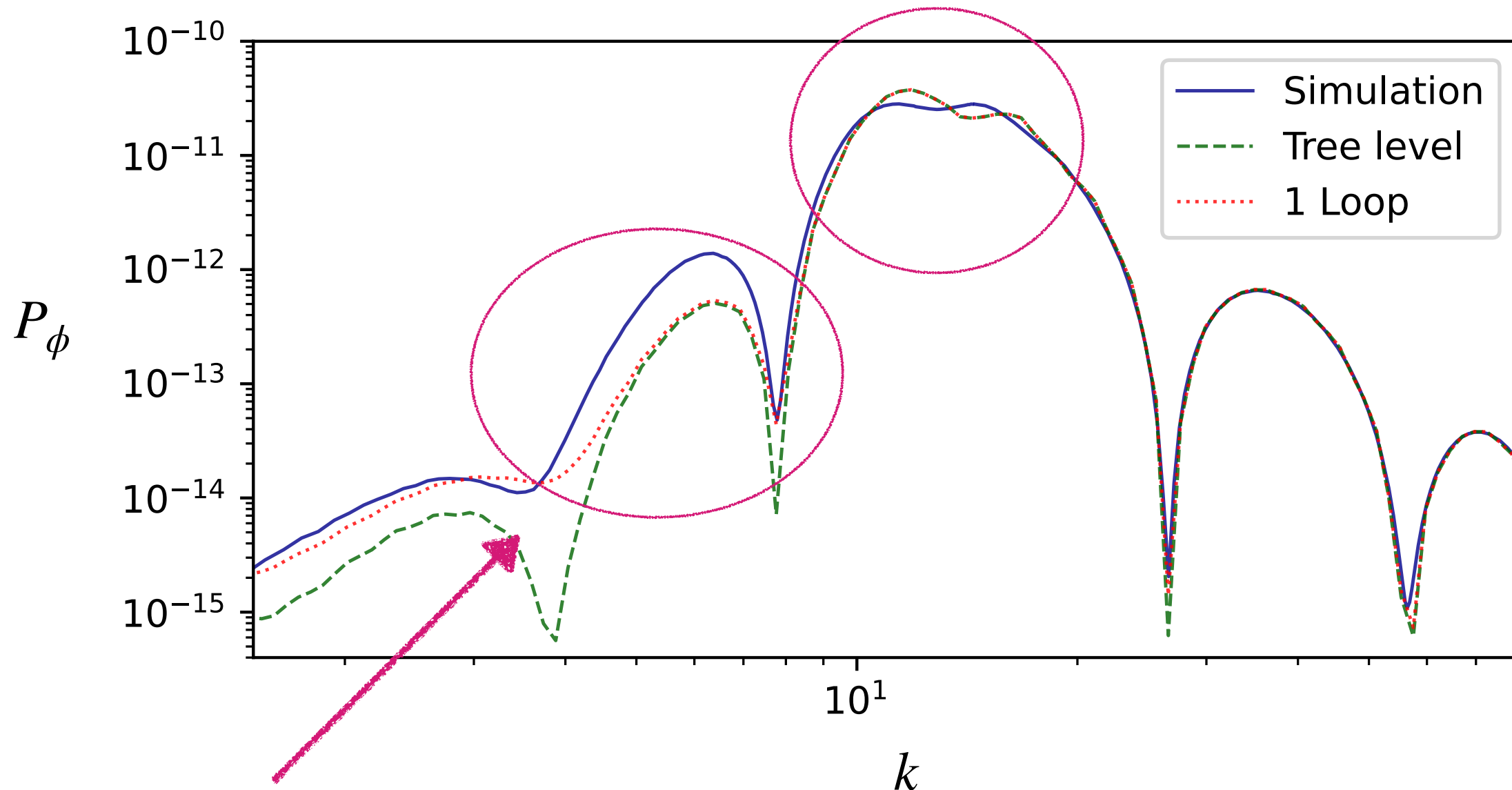


IR rescattering!

Fumagalli, Bhattacharya, Peloso, Renaux-Petel, Witkowski [2307.08358]

# Loop effects

In the perturbative setup (case 1),  
first **quantitative comparison** between full nonlinear, tree-level and 1-loop



Beyond 1-loop?? Other corrections??

# Roadmap

0) Motivation: why simulating inflation?

AC, Komatsu, Lozanov, Weller

2102.06378  
2110.10695  
2204.12874

1) Lattice simulations of inflation

AC

2209.13616  
2506.11797

2) Example: **inflationary butterfly effect**

2.1) Oscillatory potential

AC, K. Inomata, S. Renaux-Petel

2403.12811

→ 2.2) Ultra-slow-roll inflation

→ AC, G. Franciolini, S. Renaux-Petel

2410.23942  
2506.11795

3) Some ongoing work

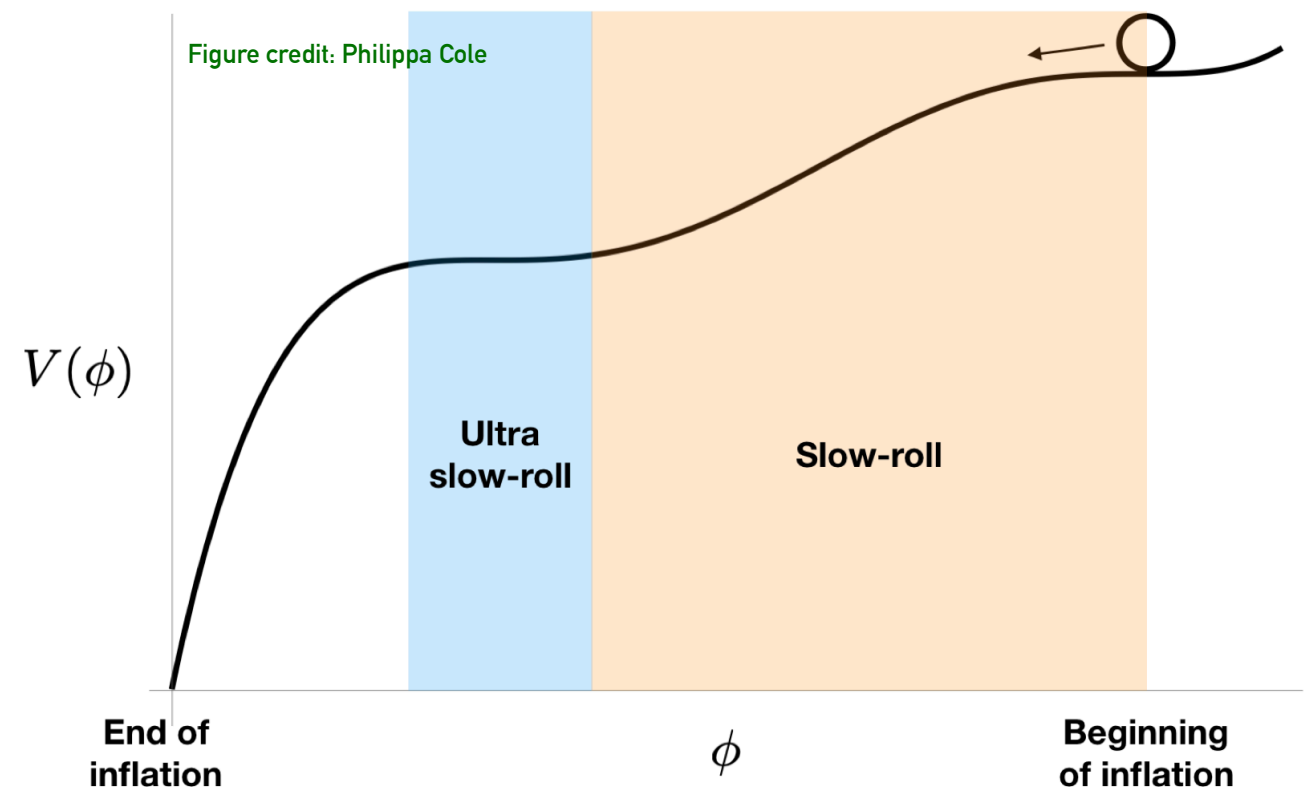
# Ultra-Slow-Roll inflation

A well-known mechanism to enhance density fluctuations is an **inflection point**

Fluctuations amplified via a deceleration of the inflaton

$$\epsilon_H = -\frac{\dot{H}}{H^2} \ll 1$$
$$|\eta_H| = \left| \frac{\dot{\epsilon}_H}{H\epsilon_H} \right| \sim 1$$

So-called “ultra slow-roll” phase

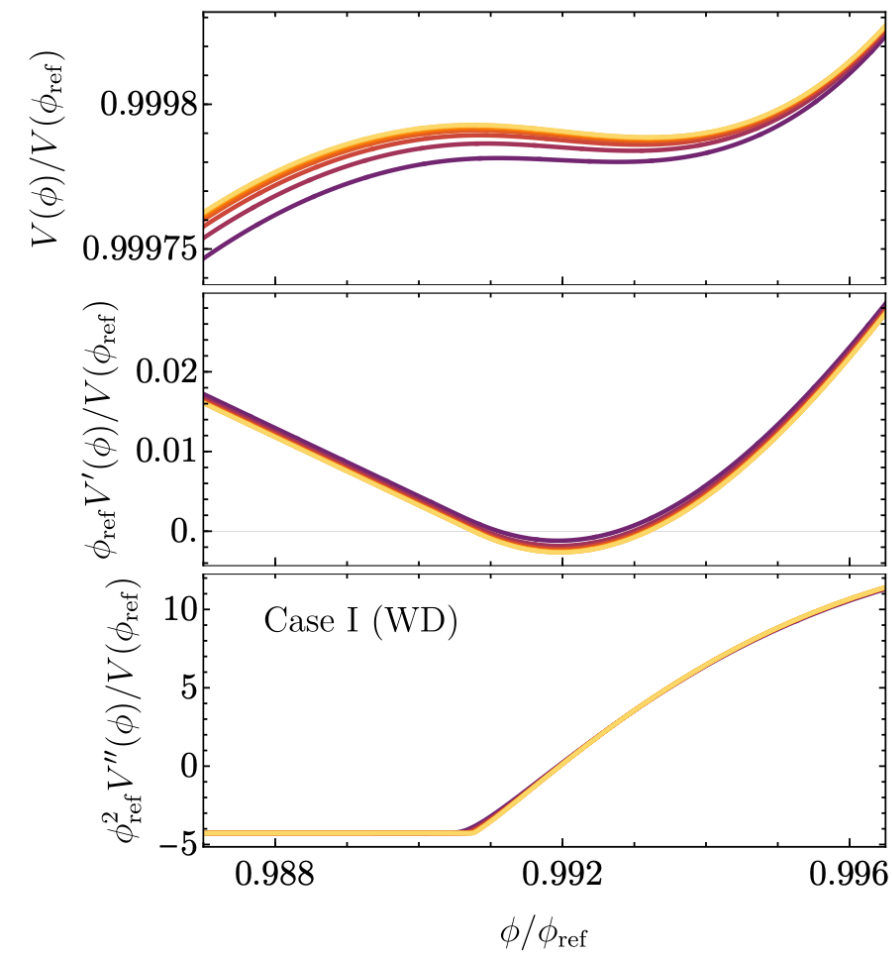




# Ultra-Slow-Roll inflation

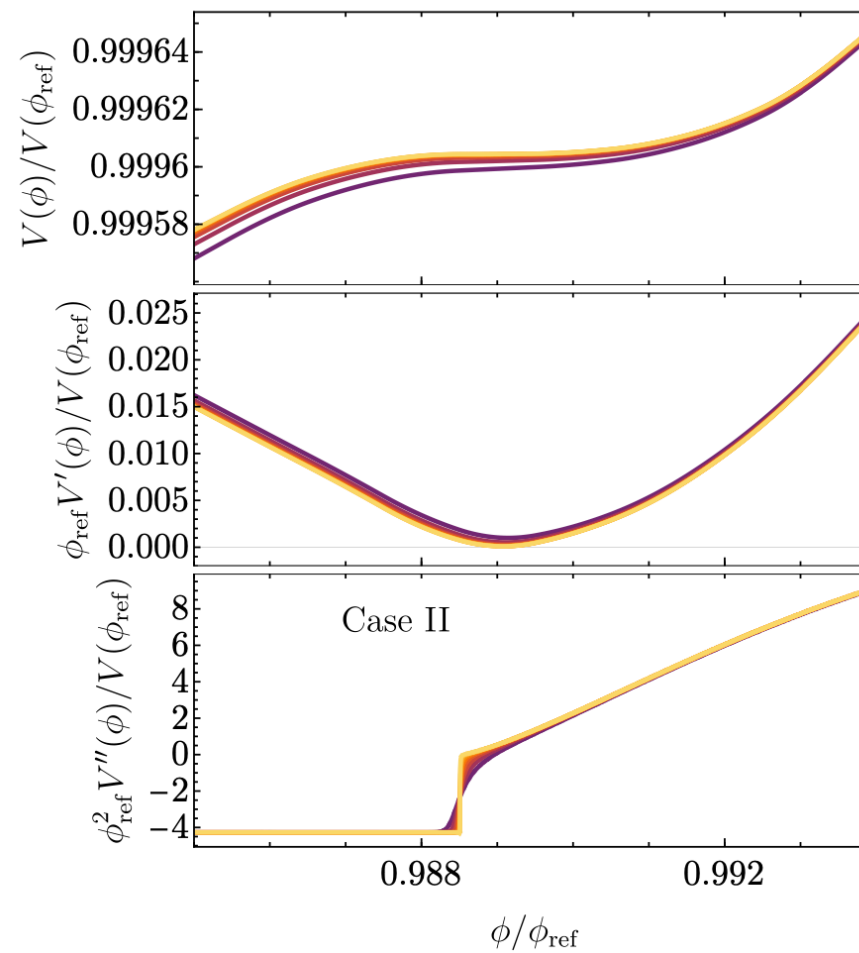
A systematic study of USR potentials:

Case 1



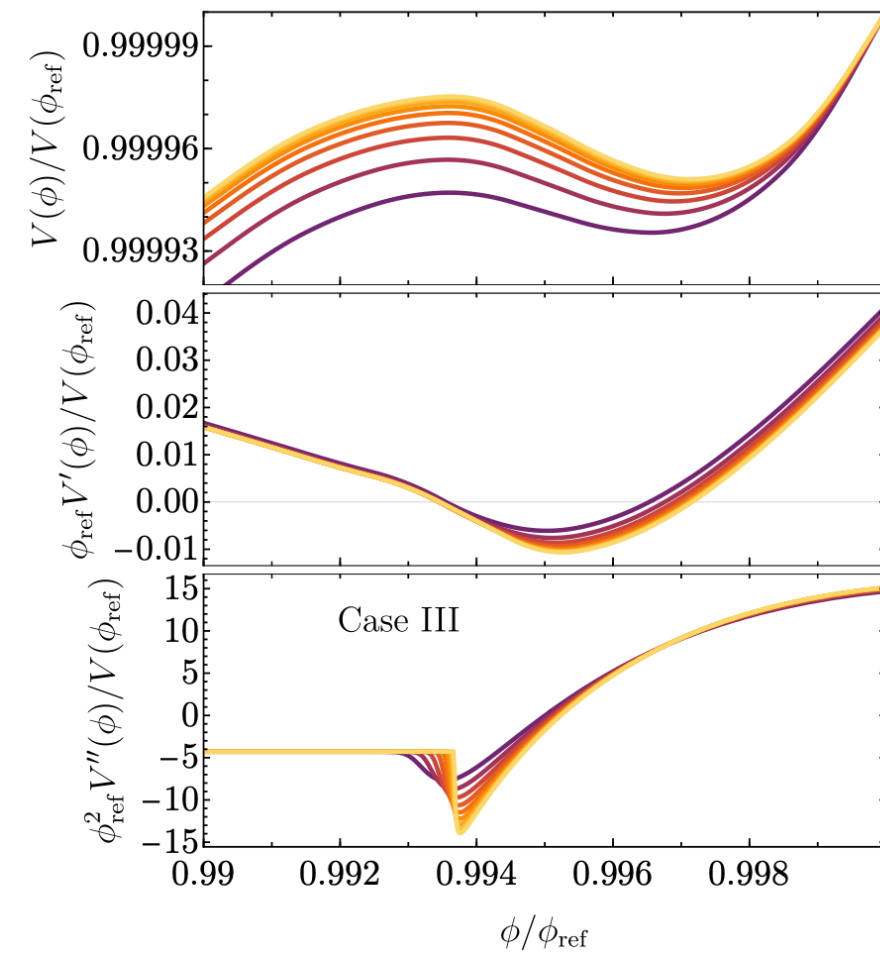
$$\frac{\partial^3 V(\phi)}{\partial \phi^3} \sim 0$$

Case 2



$$\frac{\partial^3 V(\phi)}{\partial \phi^3} > 0$$

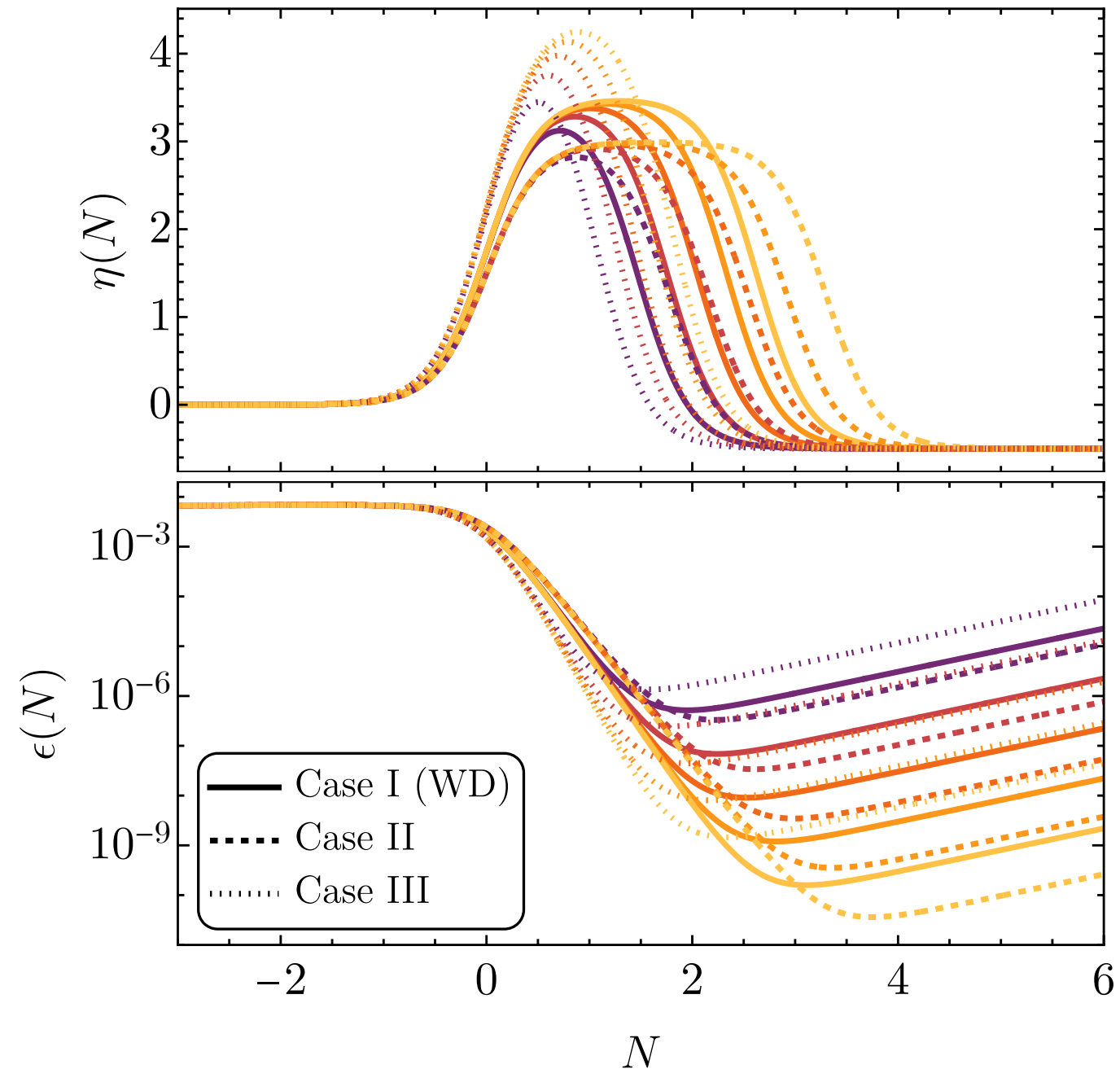
Case 3



$$\frac{\partial^3 V(\phi)}{\partial \phi^3} < 0$$

# Ultra-Slow-Roll inflation

A systematic study of USR potentials:



# Ultra-Slow-Roll inflation

$\frac{\partial^3 V(\phi)}{\partial \phi^3}$  is the leading self-interaction of the inflaton:

$$V(\bar{\phi} + \delta\phi) = \sum_n \frac{\delta\phi^n}{n!} \frac{\partial^n V(\phi)}{\partial \phi^n} \Big|_{\bar{\phi}}$$

Case 1

$$\frac{\partial^3 V(\phi)}{\partial \phi^3} \sim 0$$



Free theory  
Aka “Wands duality”

Case 2

$$\frac{\partial^3 V(\phi)}{\partial \phi^3} > 0$$



Repulsive  
self-interaction

Case 3

$$\frac{\partial^3 V(\phi)}{\partial \phi^3} < 0$$



Attractive  
self-interaction

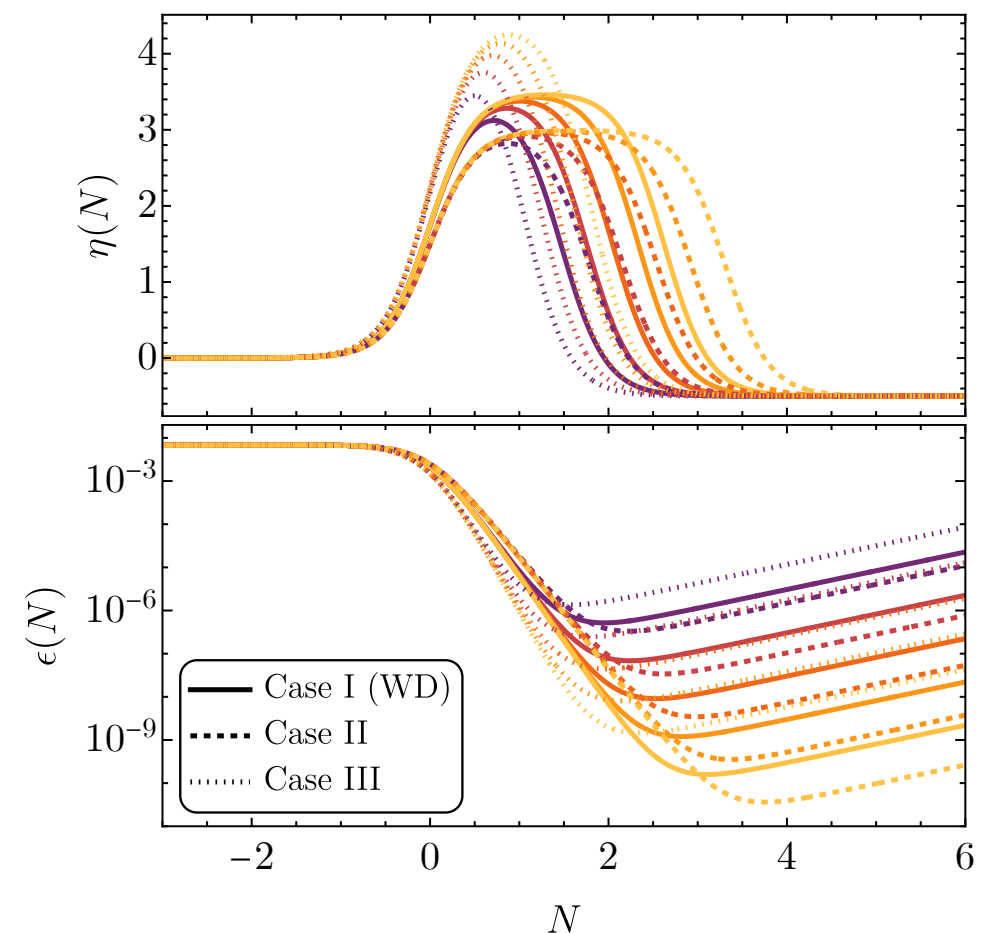
# Ultra-Slow-Roll inflation

**Wands duality:** [D. Wands (1998)]

Evolution of scalar field perturbation is invariant (dual) under the transformation of the background:

$$\eta \rightarrow 3 - \eta$$

Our potential in case 1 is constructed so that  $\eta_{USR} = 3 - \eta_{SR,2}$ , so the theory is approximately free



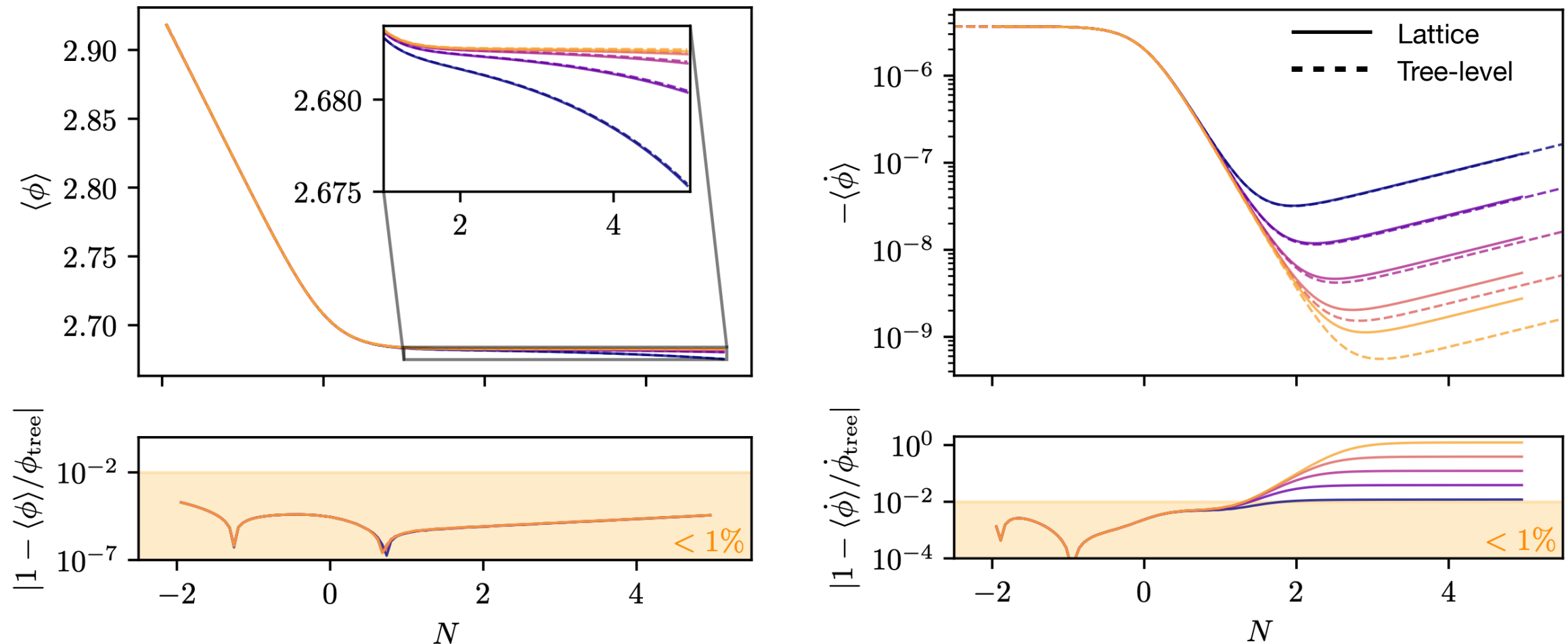


# Ultra-Slow-Roll inflation

## Result #1:

We find **backreaction**, i.e. an effect of fluctuations on the background evolution

case I (Wands duality)



This is a new effect!

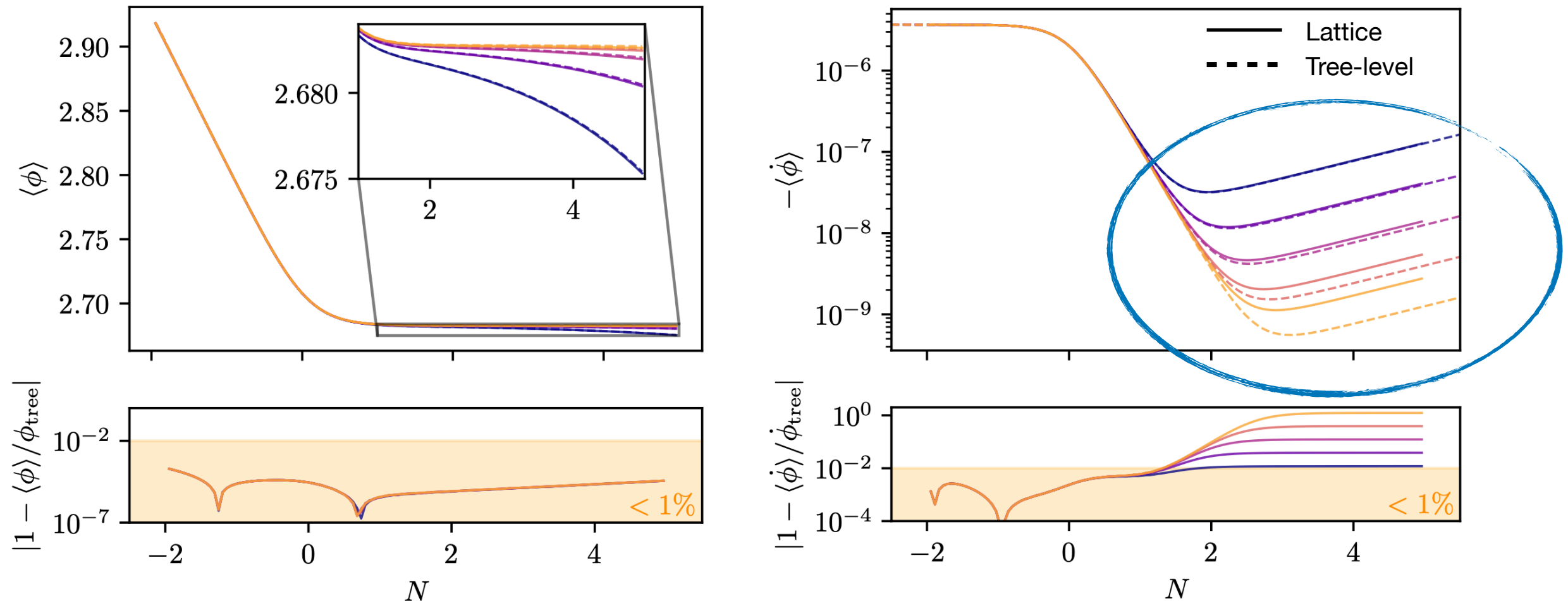
$\mathcal{P}_{\zeta, \text{tree}}^{\text{max}} =$  —  $10^{-4}$  —  $10^{-3}$  —  $10^{-2}$  —  $10^{-1}$  — 1

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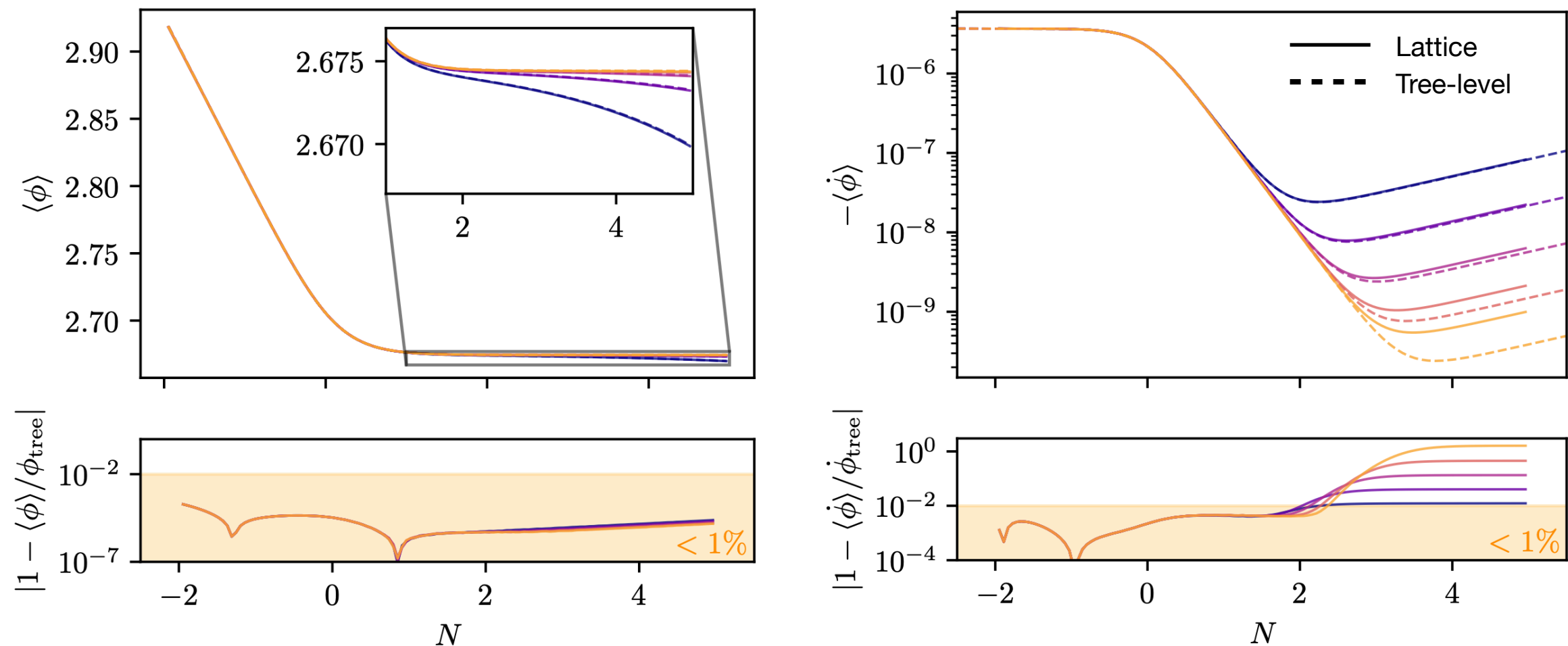
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# Ultra-Slow-Roll inflation

## Result #1:

We find **backreaction**, i.e. an effect of fluctuations on the background evolution

case II (repulsive)



This is a new effect!

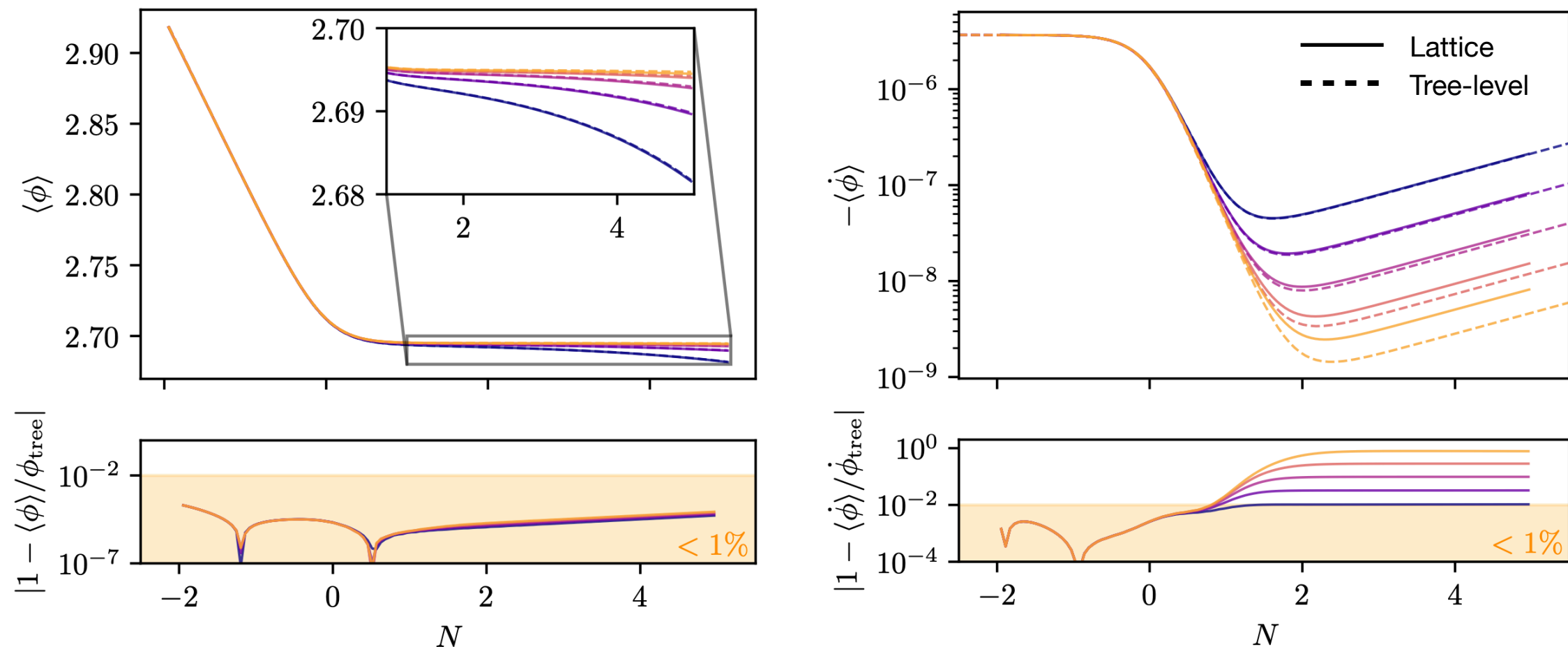
$\mathcal{P}_{\zeta, \text{tree}}^{\text{max}} =$  —  $10^{-4}$  —  $10^{-3}$  —  $10^{-2}$  —  $10^{-1}$  —  $1$

# Ultra-Slow-Roll inflation

## Result #1:

We find **backreaction**, i.e. an effect of fluctuations on the background evolution

case III (attractive)



This is a new effect!

$\mathcal{P}_{\zeta, \text{tree}}^{\text{max}} =$  —  $10^{-4}$  —  $10^{-3}$  —  $10^{-2}$  —  $10^{-1}$  — 1

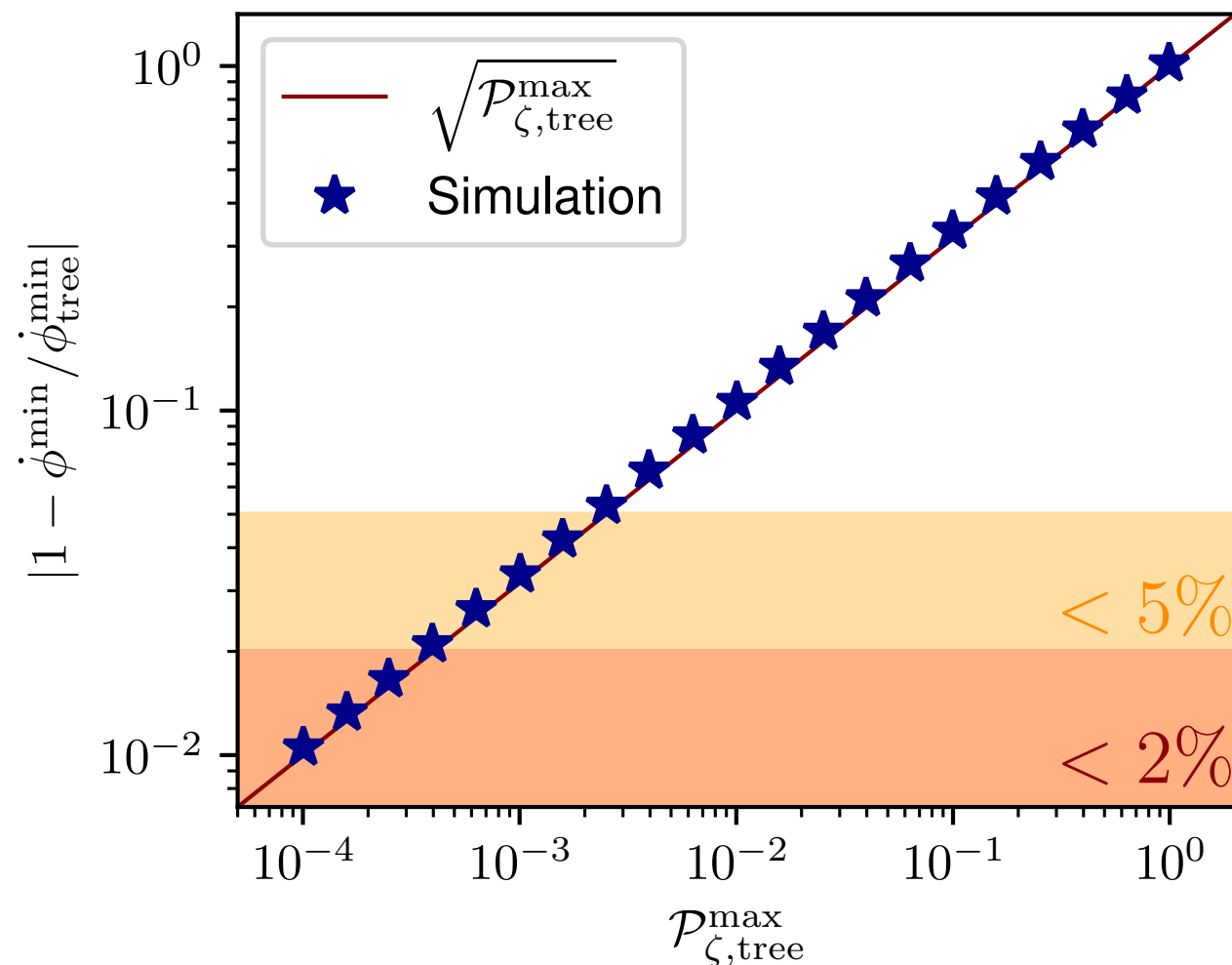


# Ultra-Slow-Roll inflation

## Result #1:

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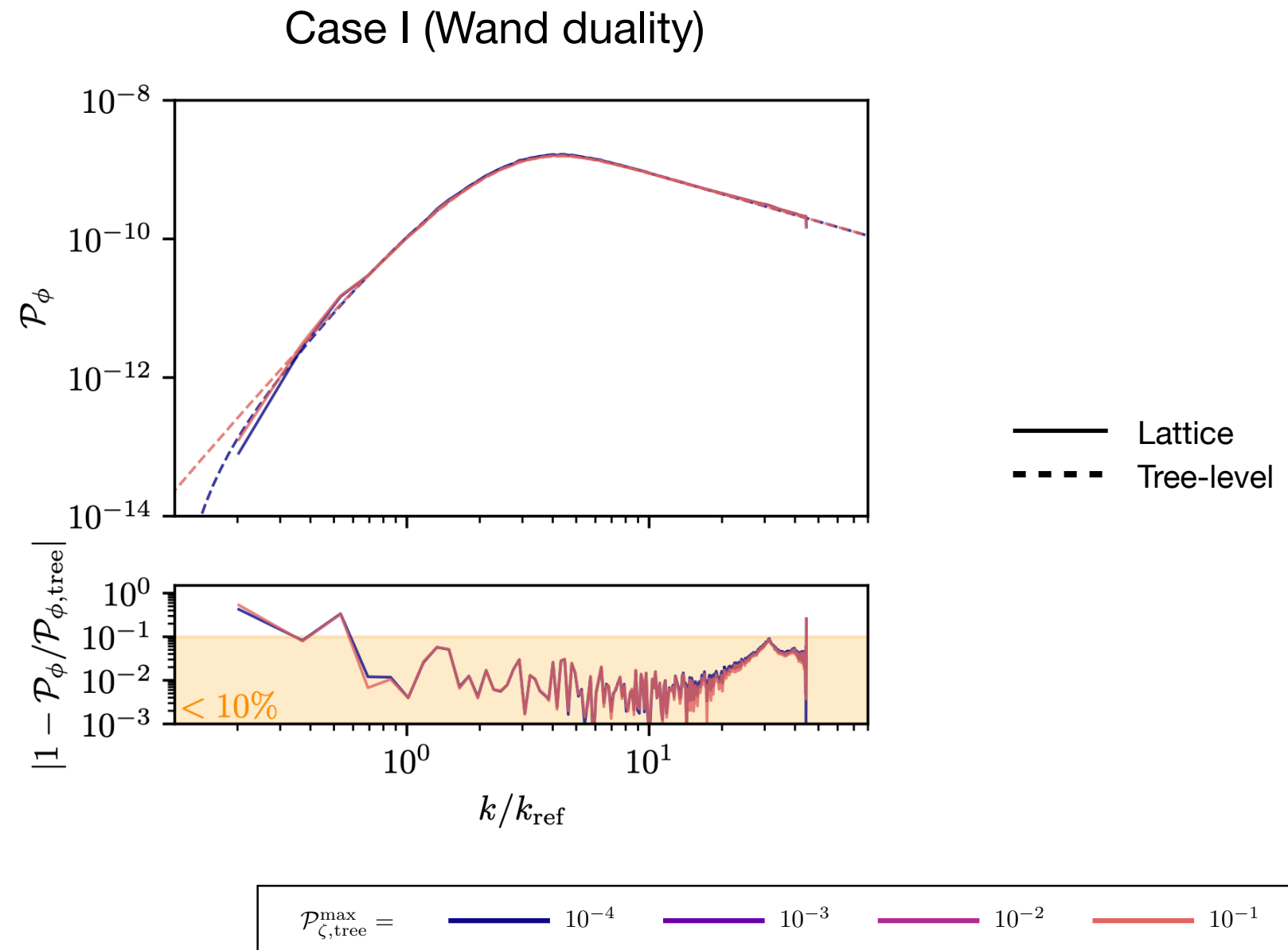
Backreaction follows a simple fitting formula:  $\dot{\phi} = \dot{\phi}_{\text{tree}} \left( 1 + \sqrt{\mathcal{P}_{\zeta, \text{tree}}^{\text{max}}} \right)$



# Ultra-Slow-Roll inflation

## Result #2:

How **nonlinearity** affects inflaton fluctuations

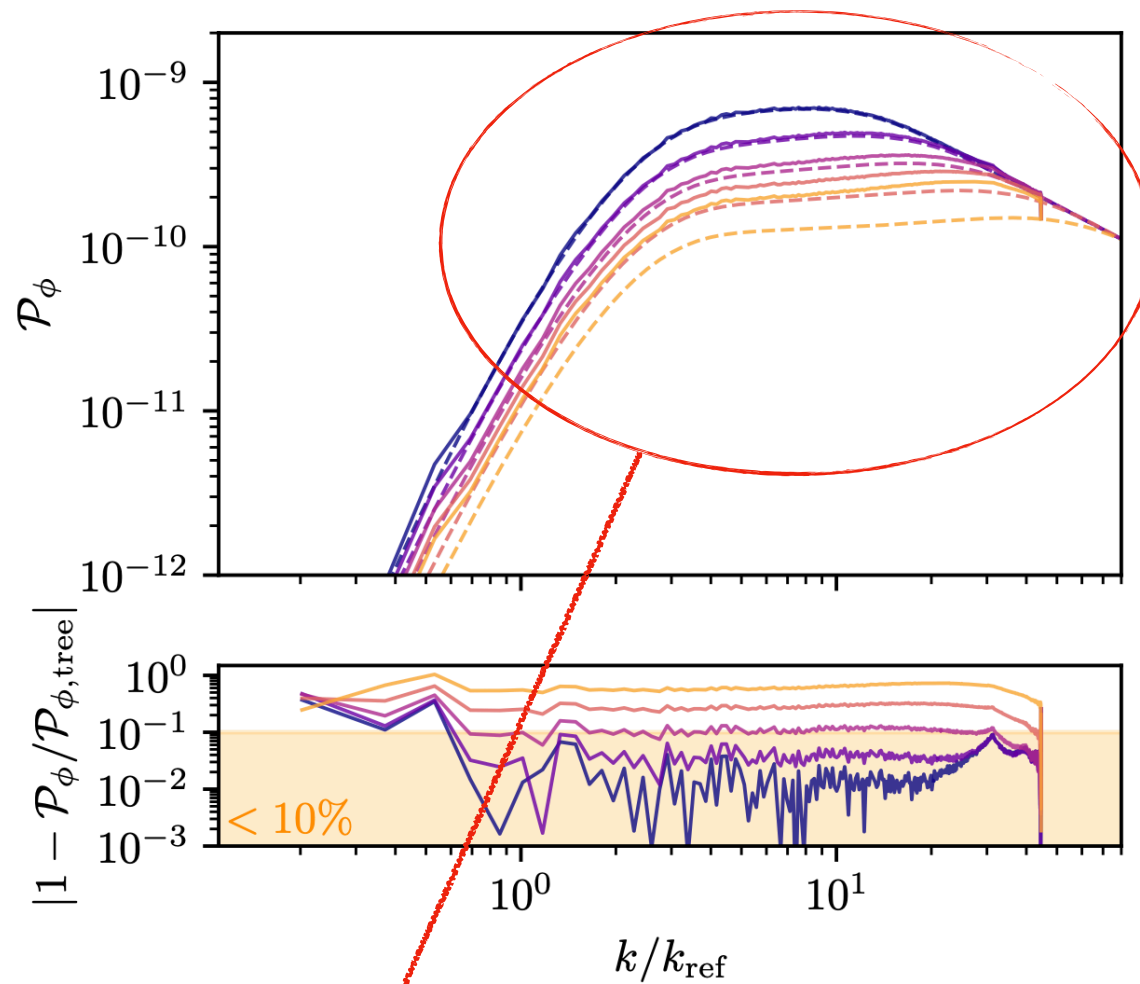


# Ultra-Slow-Roll inflation

## Result #2:

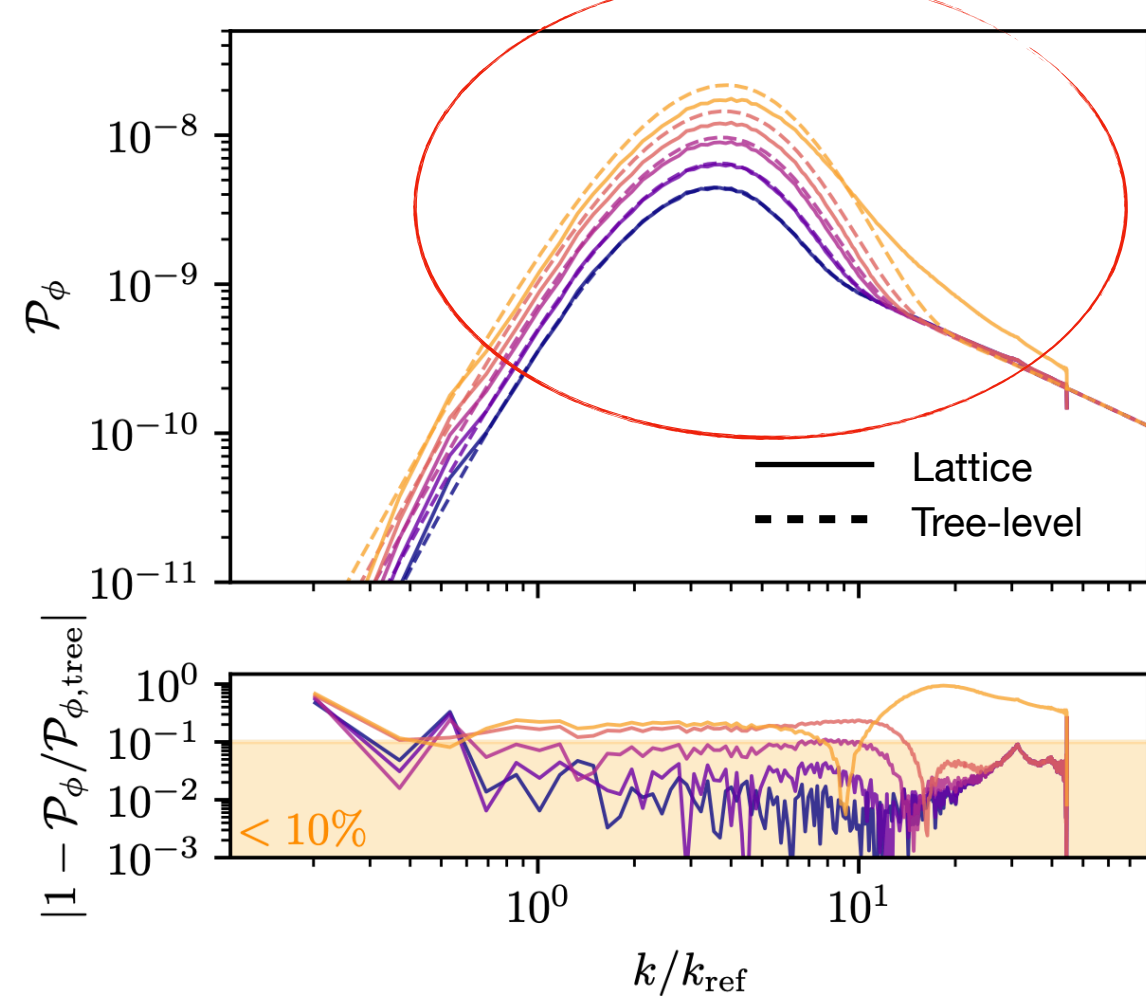
How **nonlinearity** affects inflaton fluctuations

Case II (repulsive)



Loop effects

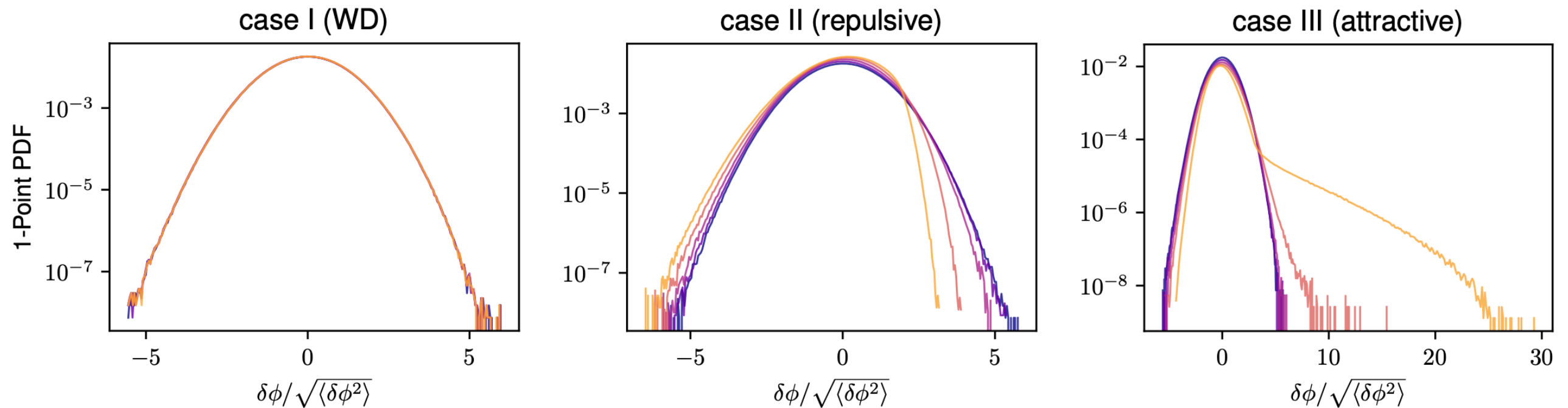
Case III (attractive)



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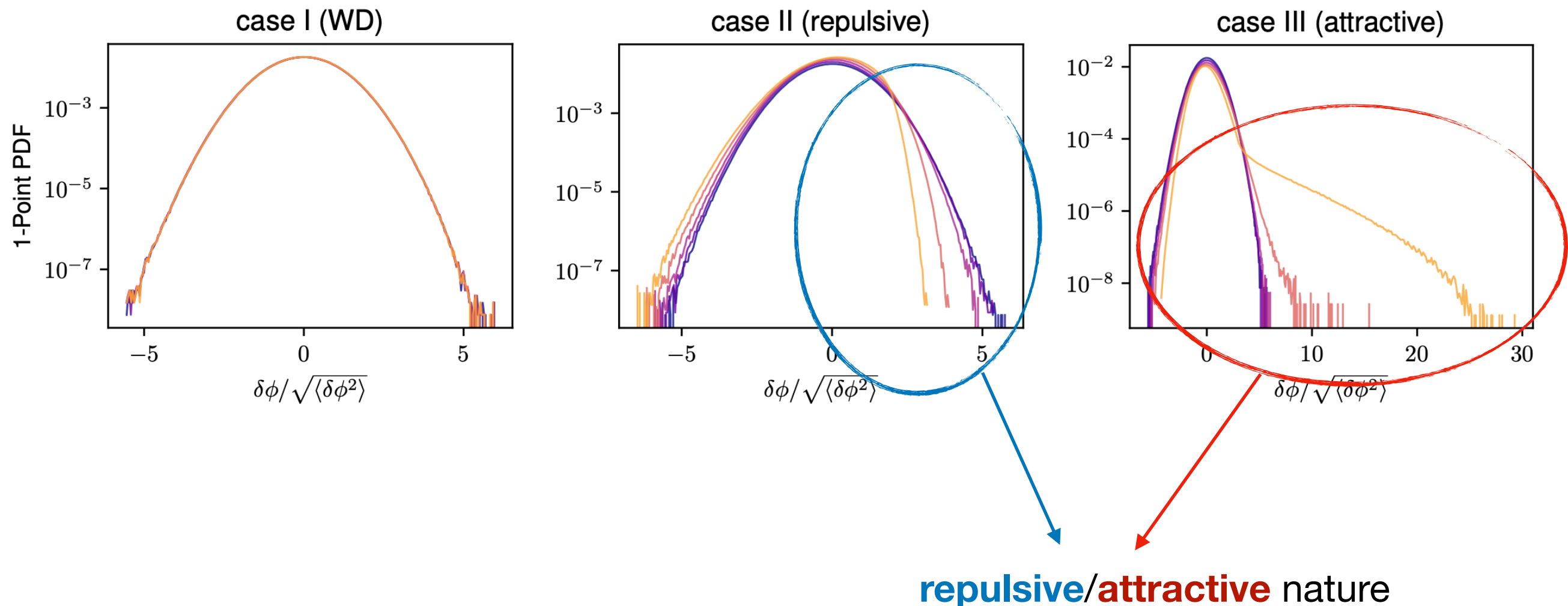
How **nonlinearity** affects inflaton fluctuations



# Ultra-Slow-Roll inflation

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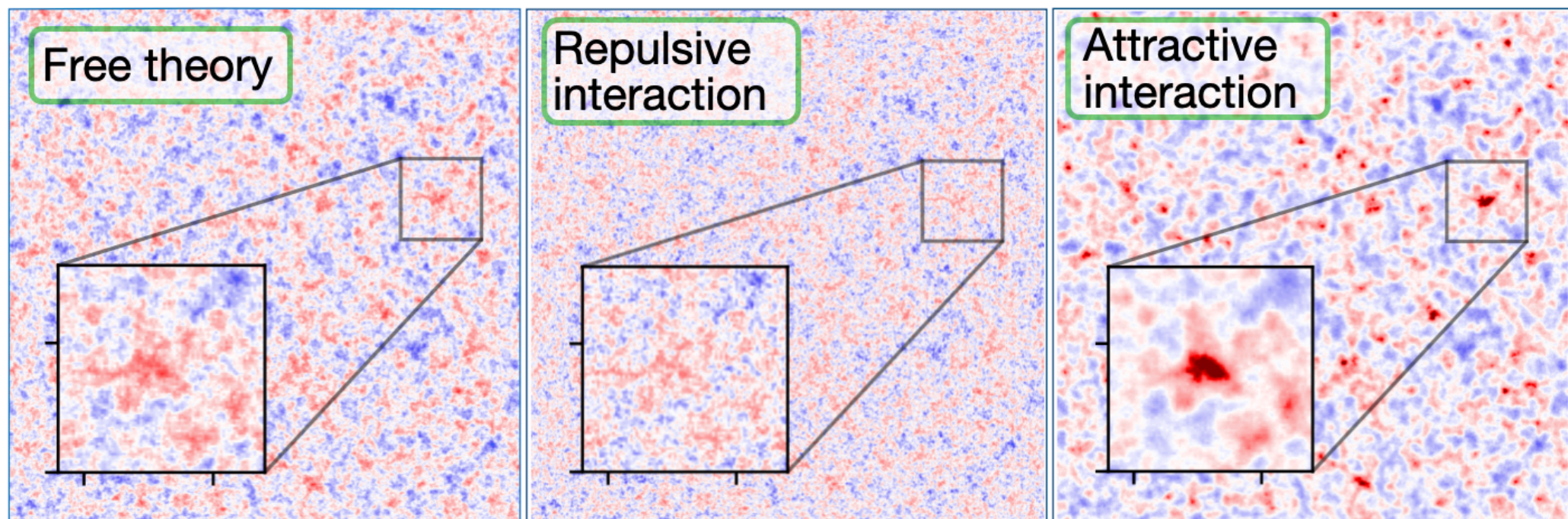




# Ultra-Slow-Roll inflation

Self-interactions matter.

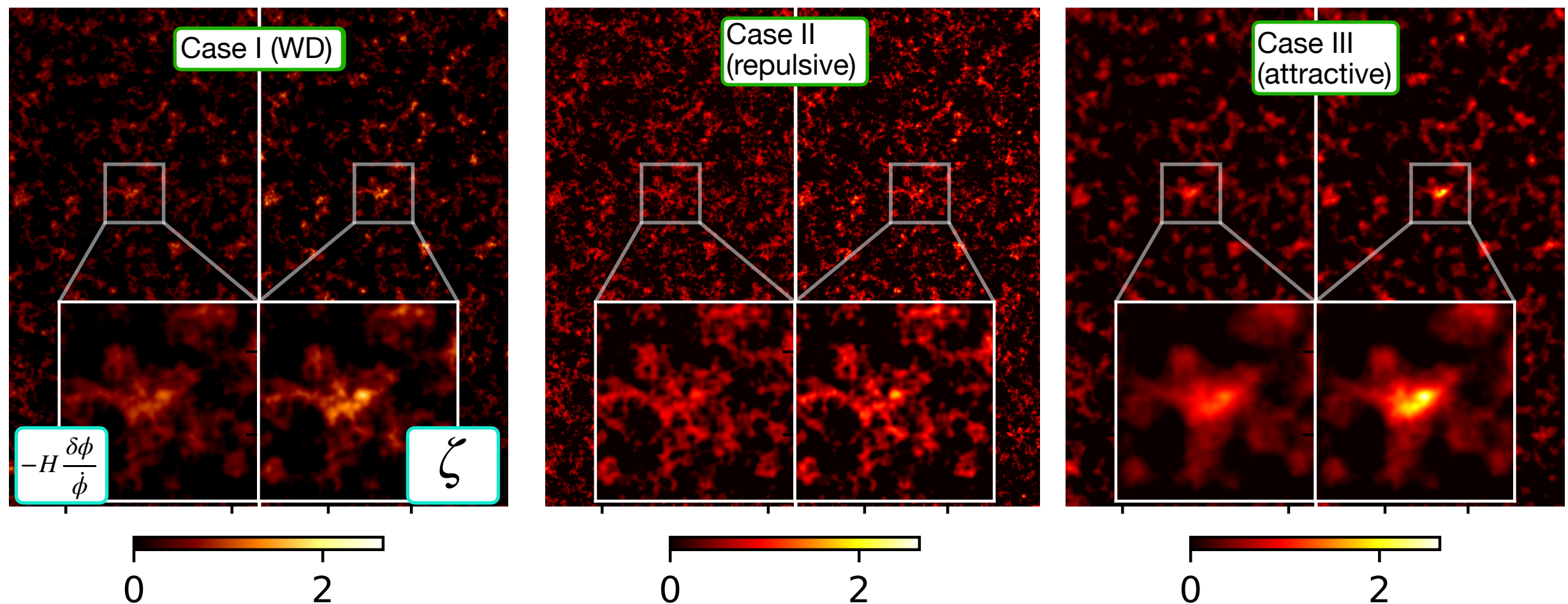
These interactions typically happen on (slightly) sub-horizon scales, and are **neglected by coarse-graining** in stochastic approaches



# Ultra-Slow-Roll inflation

So far, we only looked at the inflaton field  $\phi$

We calculate  $\zeta$  in a fully nonlinear way using a  $\delta N$  technique applied to simulation data

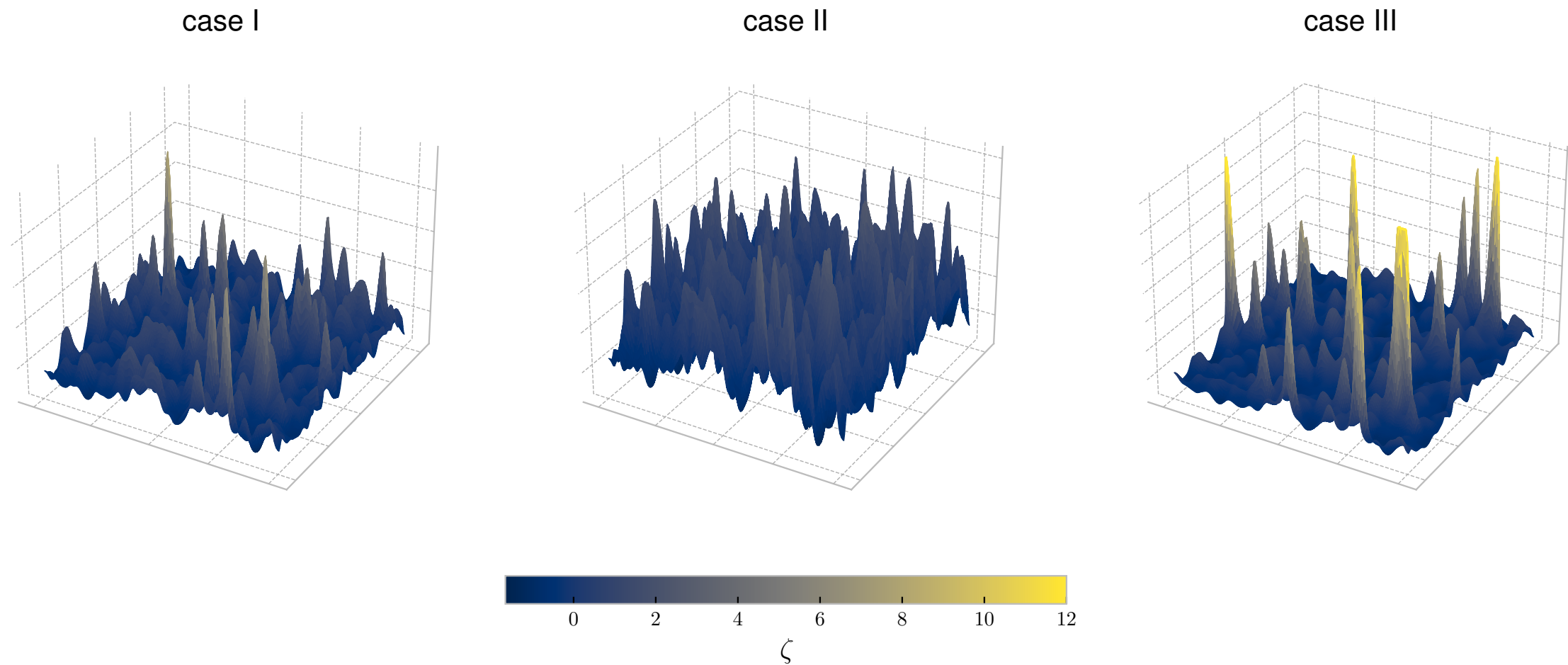




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So far, we only looked at the inflaton field  $\phi$

We calculate  $\zeta$  in a fully nonlinear way using a  $\delta N$  technique applied to simulation data

In all our models,  
 $\eta_{III} = \text{constant.}$

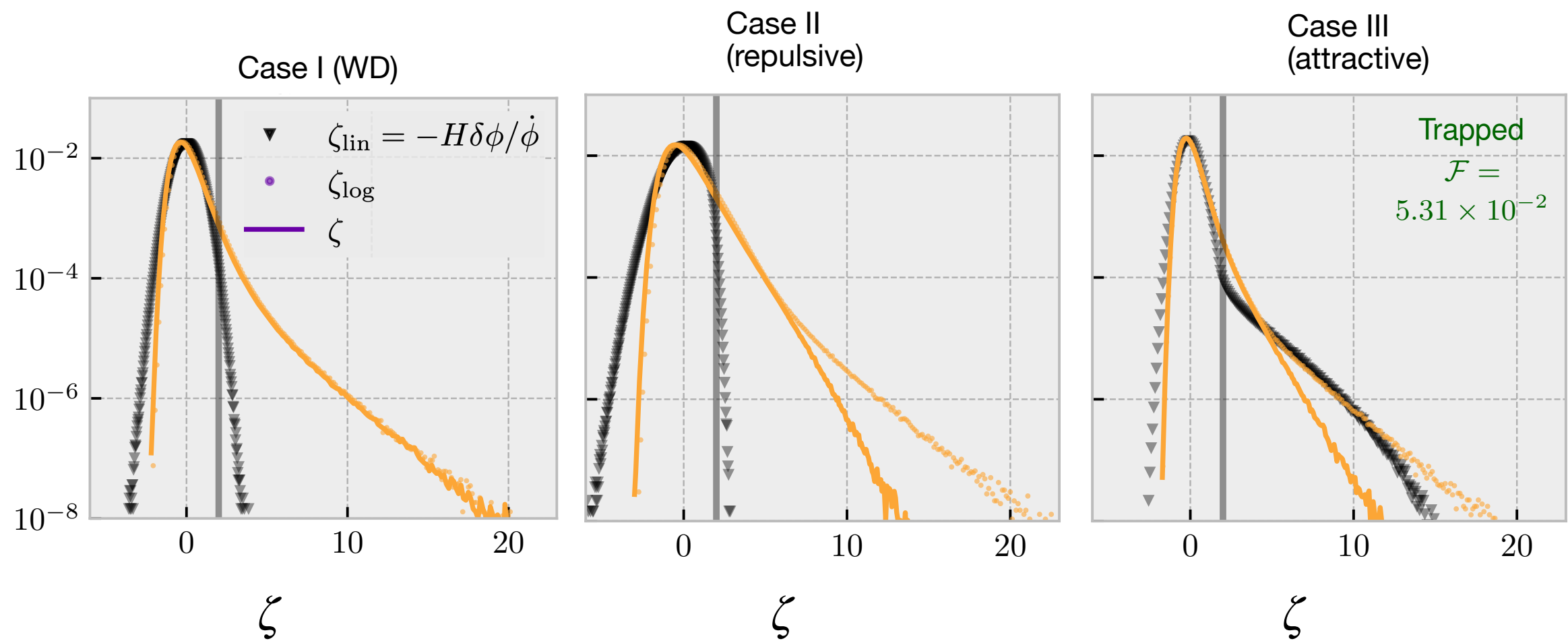


$$\zeta(\vec{x}) = \frac{1}{\eta} \log \left( 1 - \eta H \frac{\delta\phi(\vec{x})}{\dot{\phi}} \right)$$

# Ultra-Slow-Roll inflation

So far, we only looked at the inflaton field  $\phi$

It is interesting to see how the logarithmic relation breaks for very large fluctuations:

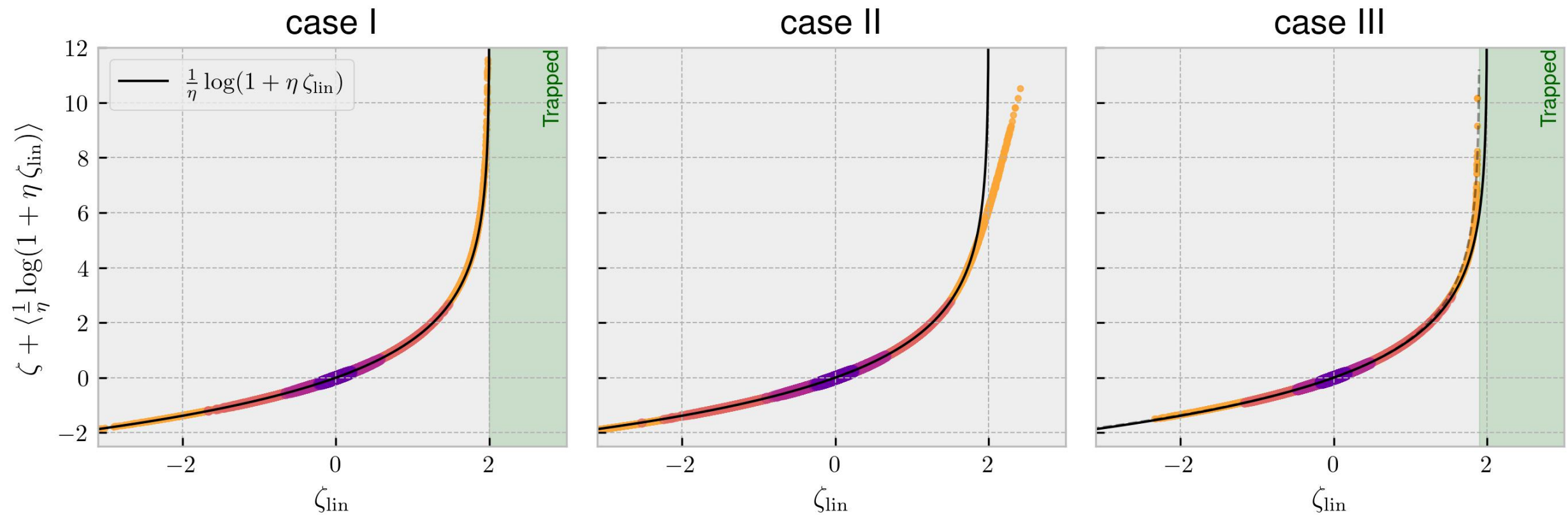




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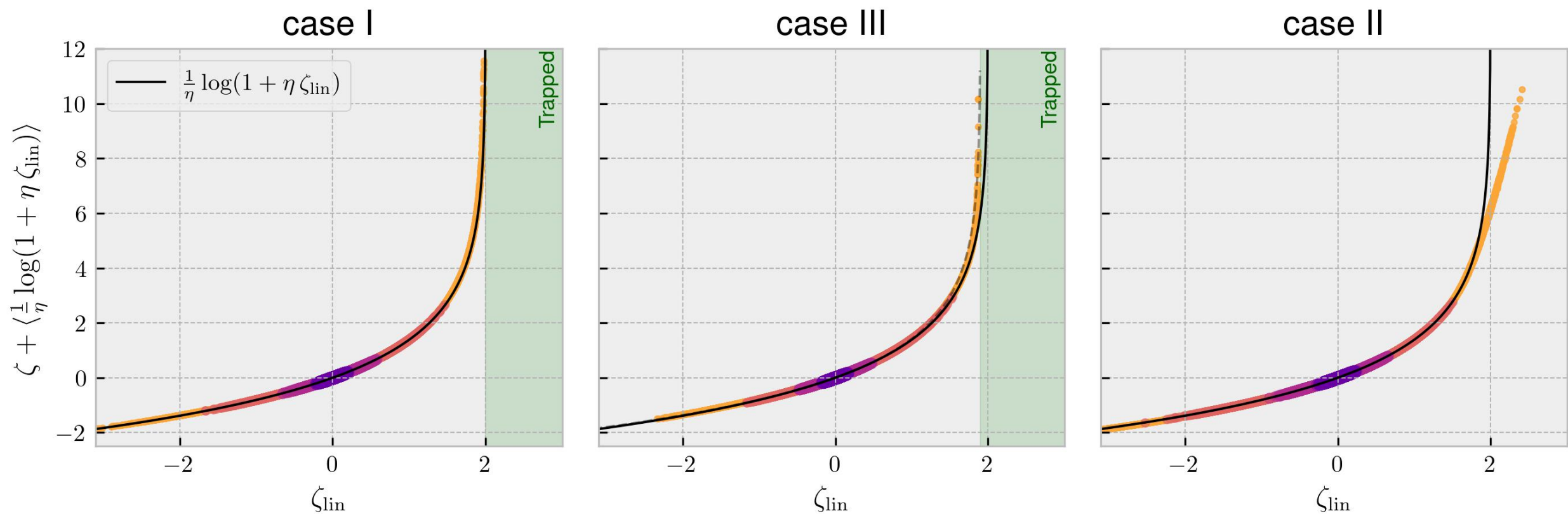
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It is interesting to see how the logarithmic relation breaks for very large fluctuations:



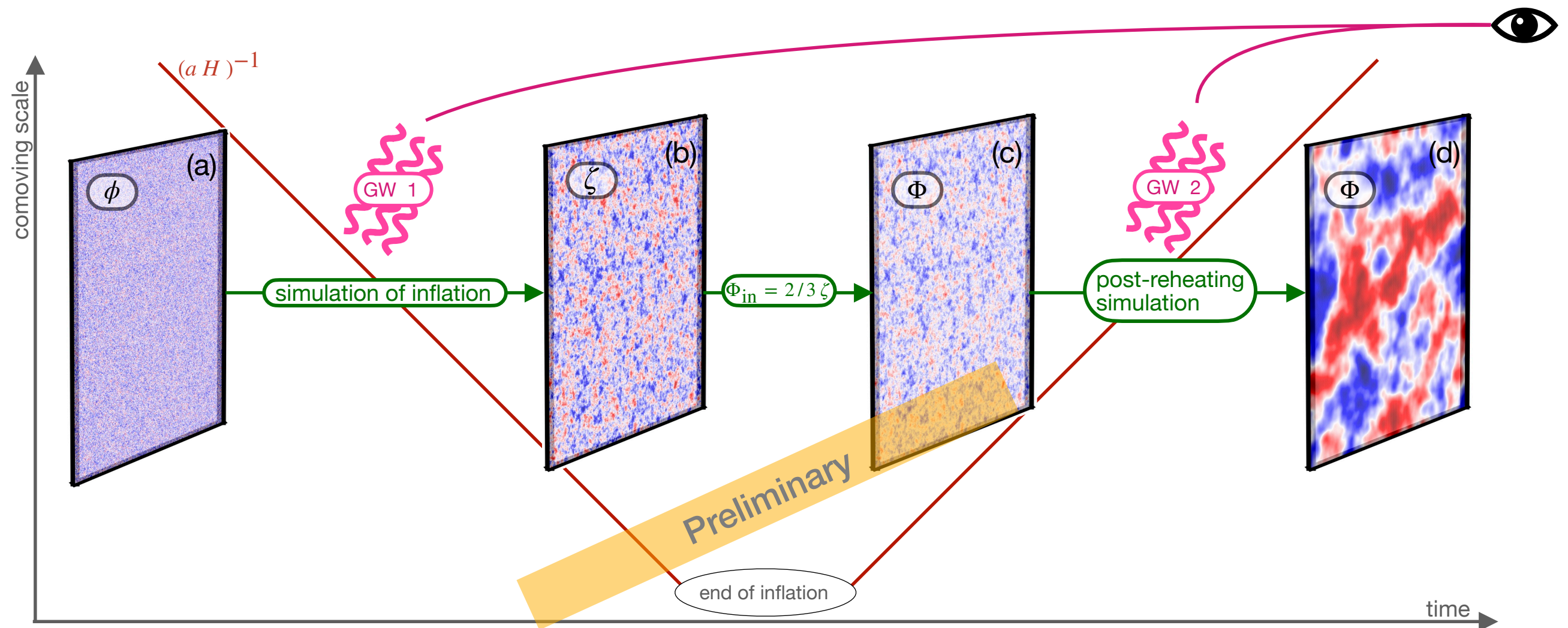
What goes wrong with the log relation: **nonlinear  $\neq$  nonperturbative**

**The notion of a unique background is lost**

# Ultra-Slow-Roll inflation

Some ongoing work:

Fully nonlinear calculations of GWs from inflation:

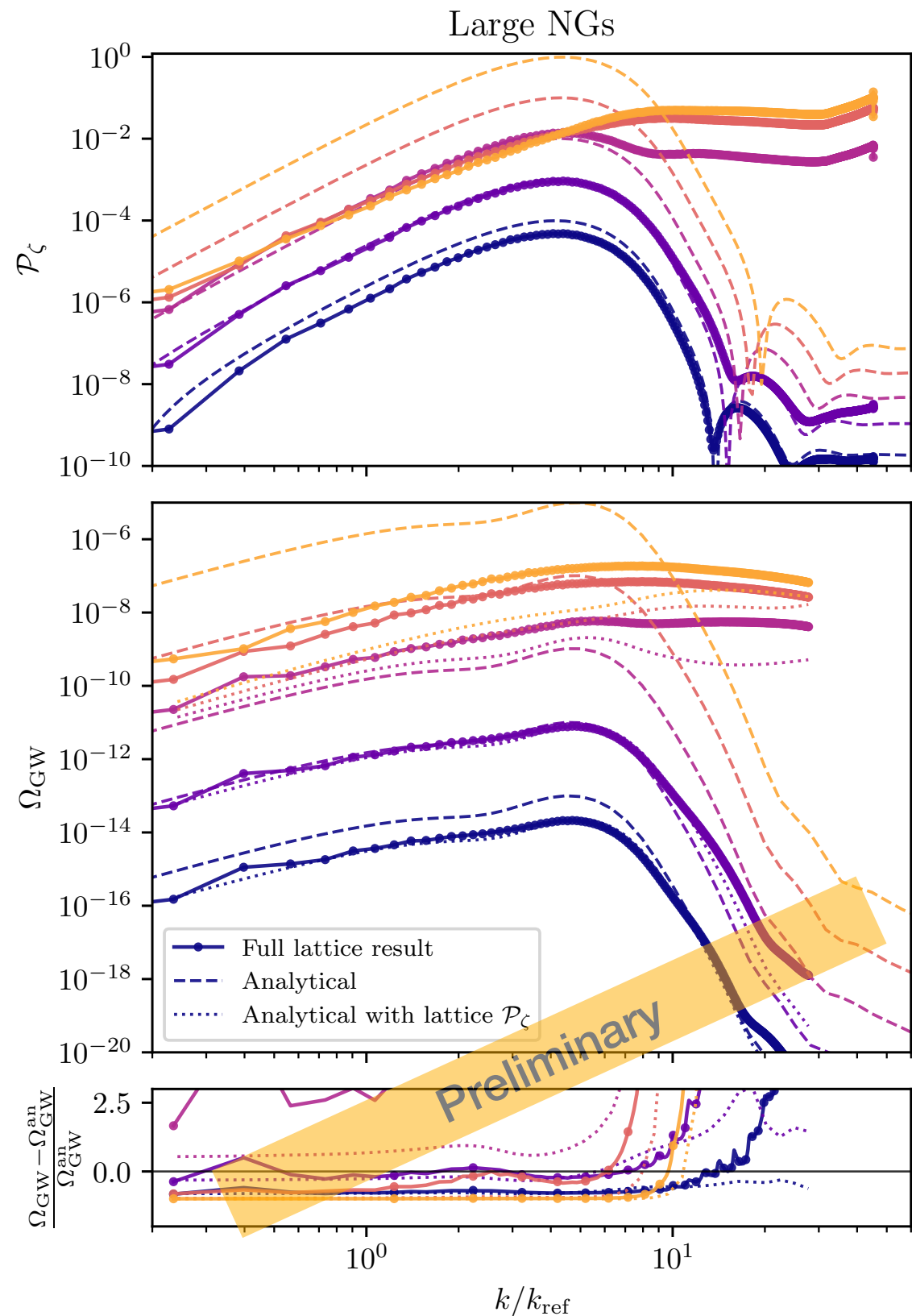


# Ultra-Slow-Roll inflation

Some ongoing work:

Fully nonlinear calculations of  
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**Stay tuned!**





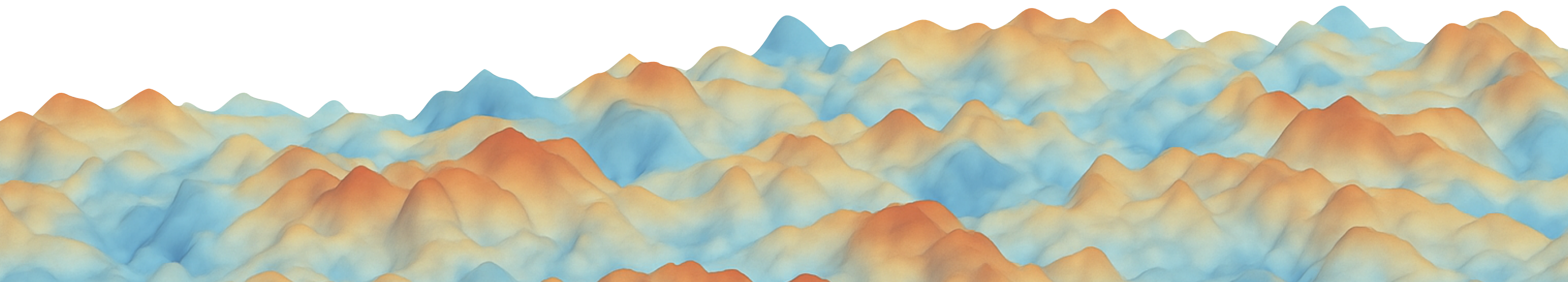
# Summary



- Lattice simulations of inflation are a **new technique**, made publicly available
- We finally know what happens **when perturbation theory breaks** down in inflation.

Applied to the small scale physics of inflation, but there are a lot of other applications!

- What's next?
  - Develop techniques to calculate **measurable quantities** directly from the simulation (e.g GW spectrum).
  - Explore more models, e.g. **multi-field** inflation







Thank you for the attention!

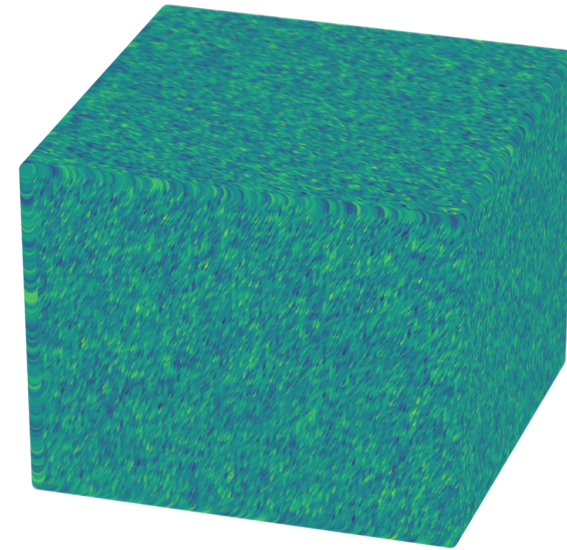


**Backup slides**

# Lattice simulation: initial conditions

- $$\hat{\phi}(\vec{n}) = \sum_{\vec{m}} \left[ \hat{a}_{\vec{m}} u(\vec{k}_{\vec{m}}) e^{i\frac{2\pi}{N}\vec{n}\cdot\vec{m}} + \hat{a}_{\vec{m}}^\dagger u^\dagger(\vec{k}_{\vec{m}}) e^{-i\frac{2\pi}{N}\vec{n}\cdot\vec{m}} \right]$$

$\vec{n} = \text{lattice site}, \quad n_i, m_i \in 1, \dots, N. \quad \vec{k}_{\vec{m}} = \frac{2\pi}{L}\vec{m}$



- Discrete Bunch-Davies spectrum:

[AC+ 2102.06378]

$$u(\vec{k}) = \frac{L^{3/2}}{a\sqrt{2\omega_{\vec{k}}}} e^{-i\omega_{\vec{k}}\tau}, \quad \omega_{\vec{k}}^2 = k_{\text{eff}}^2(\vec{k}) + m^2 \quad (\text{discrete dispersion relation})$$

- Stochastic approximation:

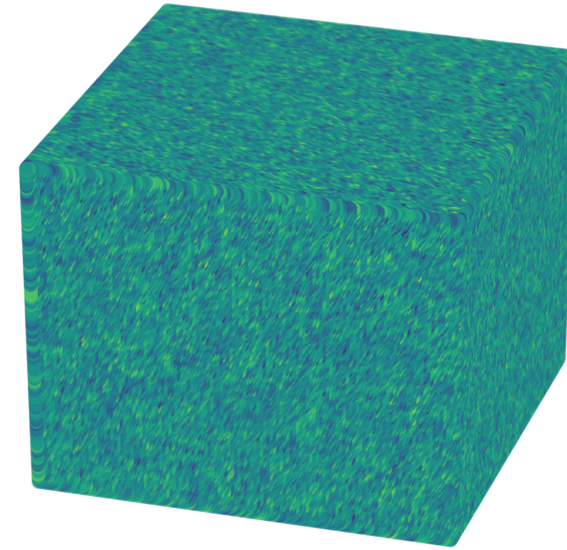
$$\hat{a}_{\vec{m}} = e^{i2\pi\hat{Y}_{\vec{m}}} \sqrt{-\ln(\hat{X}_{\vec{m}})/2},$$

$\hat{X}_{\vec{m}}, \hat{Y}_{\vec{m}}$  uniform randoms between 0 and 1

# Lattice approach: initial conditions

- $$\hat{\phi}(\vec{n}) = \sum_{\vec{m}} \left[ \hat{a}_{\vec{m}} u(\vec{k}_{\vec{m}}) e^{i\frac{2\pi}{N}\vec{n}\cdot\vec{m}} + \hat{a}_{\vec{m}}^\dagger u^\dagger(\vec{k}_{\vec{m}}) e^{-i\frac{2\pi}{N}\vec{n}\cdot\vec{m}} \right]$$

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(discrete dispersion relation)

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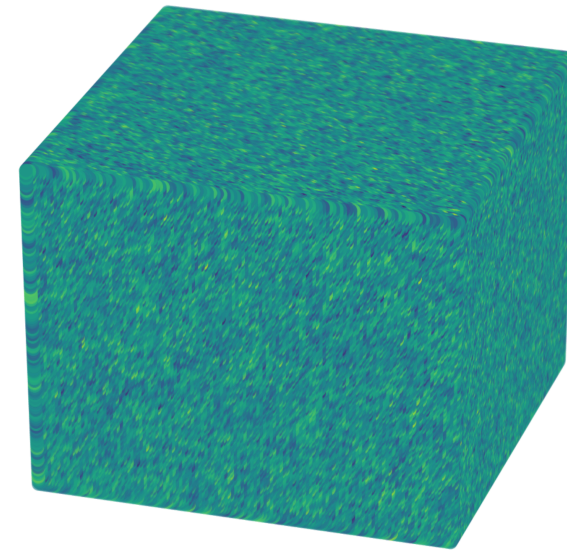
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$$k_{\text{eff}}^2(\vec{k}_{\vec{m}}) = \frac{4}{(dx)^2} \left[ \sin^2\left(\frac{\pi m_1}{N}\right) + \sin^2\left(\frac{\pi m_2}{N}\right) + \sin^2\left(\frac{\pi m_3}{N}\right) \right].$$

- Stochastic approximation:

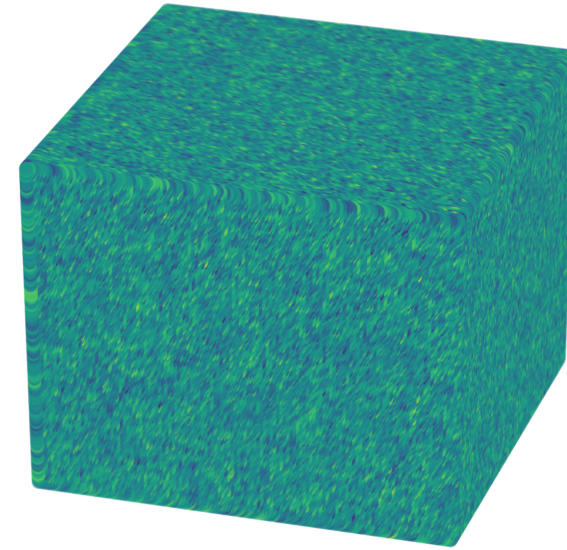
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[AC+ 2102.06378]

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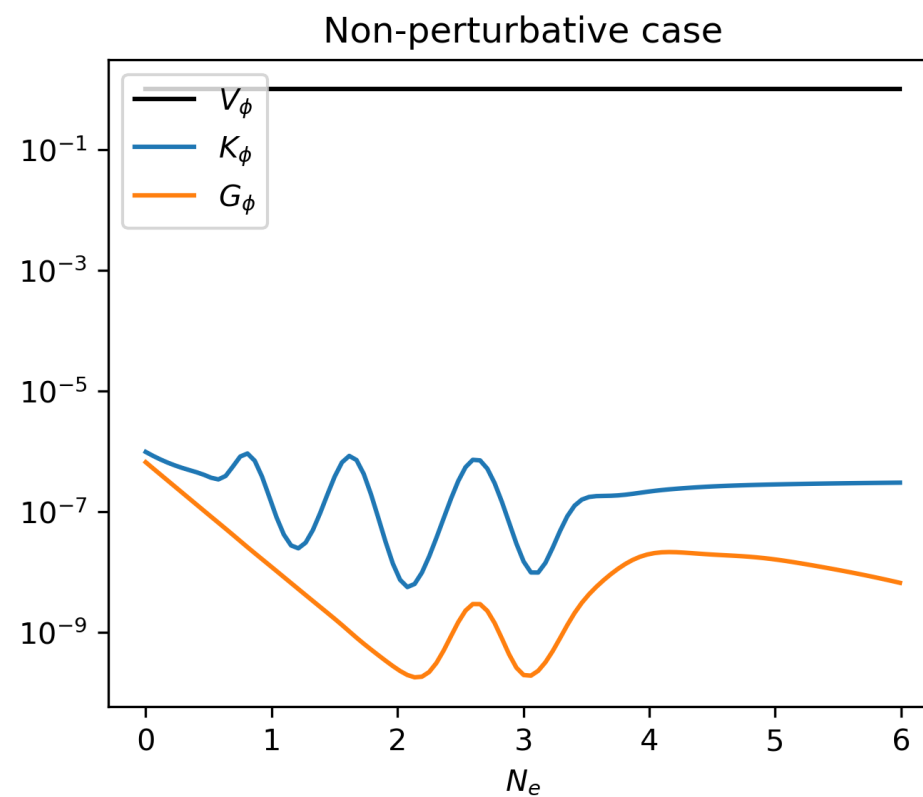
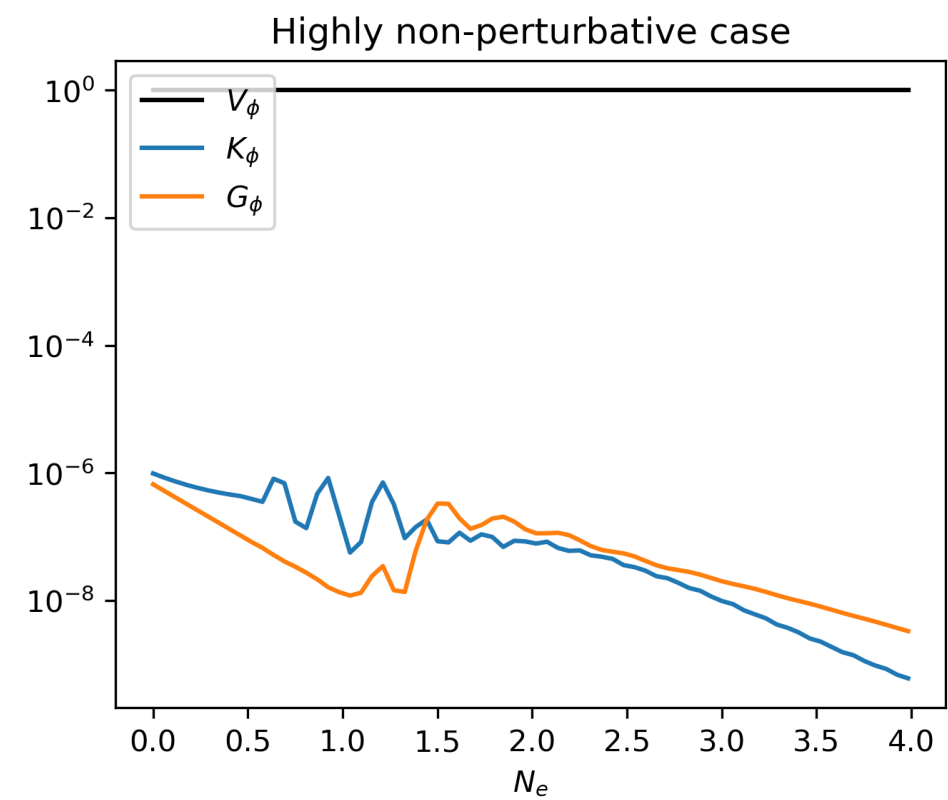
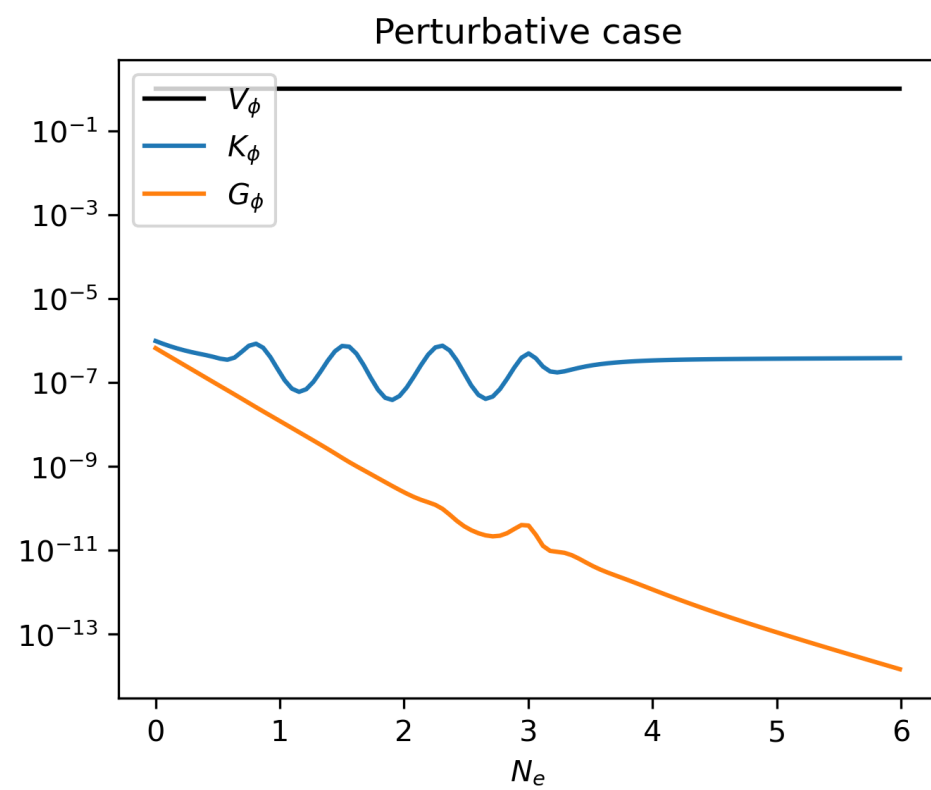
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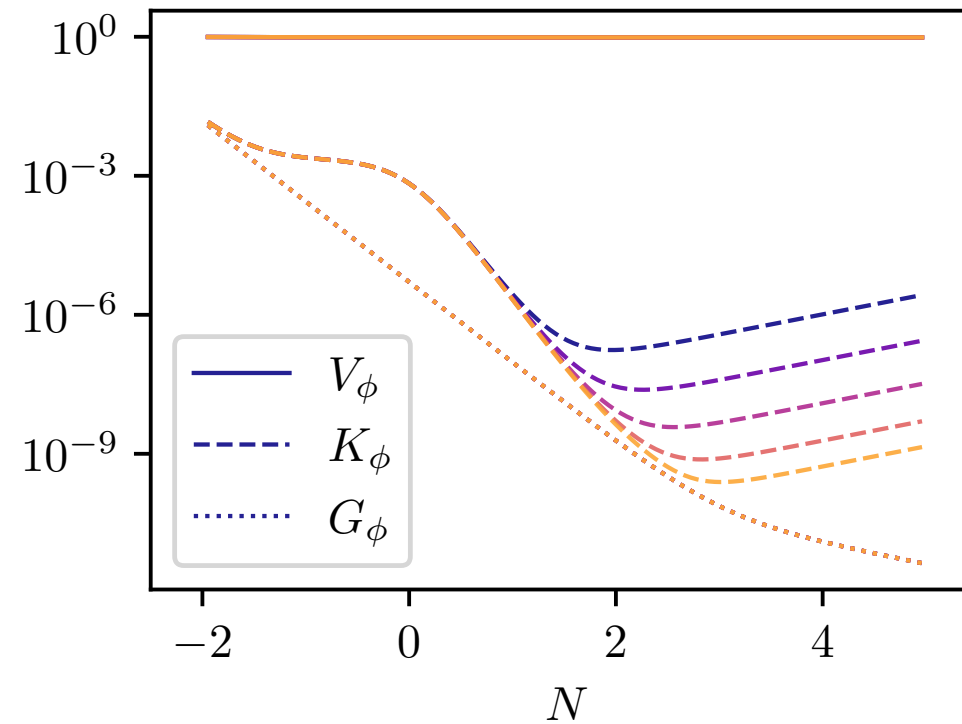
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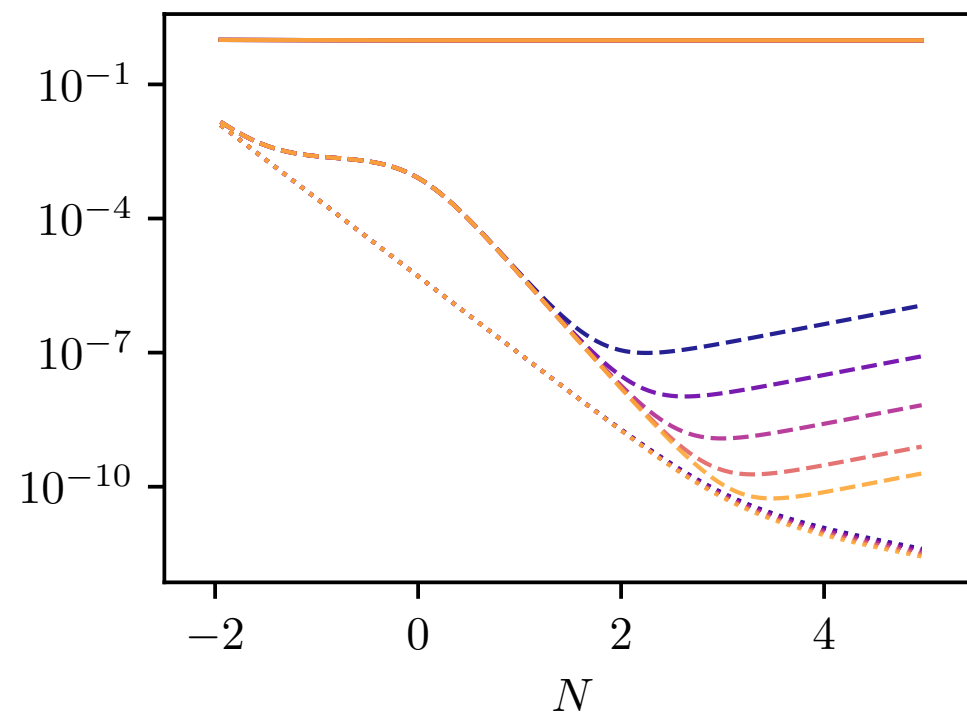
# Energy contributions in oscillatory potentials



case I (WD)



case II (repulsive)



case III (attractive)

